# IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

**BRYAN L WEST** 

Claimant

**APPEAL 21A-UI-07848-AW-T** 

ADMINISTRATIVE LAW JUDGE DECISION

**BOBALEE INC** 

Employer

OC: 11/29/20

Claimant: Respondent (1)

Iowa Code § 96.5(2)a – Discharge for Misconduct

Iowa Code § 96.3(7) – Recovery of Benefit Overpayment

Iowa Admin. Code r. 871-24.10 – Employer/Representative Participation Fact-finding Interview PL 116-136, Sec. 2104 – Federal Pandemic Unemployment Compensation

#### STATEMENT OF THE CASE:

Employer filed an appeal from the March 11, 2021 (reference 01) unemployment insurance decision that allowed benefits. The parties were properly notified of the hearing. A telephone hearing was held on May 27, 2021, at 3:00 p.m. Claimant did not participate. Employer participated through Ken Witham, Human Resources and Safety Manager. Employer's Exhibit 1 was admitted. Official notice was taken of the administrative record.

### ISSUES:

Whether claimant's separation was a discharge for disqualifying job-related misconduct. Whether claimant was overpaid benefits.

Whether claimant should repay those benefits and/or whether employer should be charged based upon its participation in the fact-finding interview.

Whether claimant is eligible for Federal Pandemic Unemployment Compensation.

## **FINDINGS OF FACT:**

Having reviewed all of the evidence in the record, the administrative law judge finds: Claimant was employed as a full-time Maintenance Technician from October 22, 2018 until his employment with Bobalee ended on December 3, 2020. Claimant worked Monday through Friday from 6:00 a.m. until 4:30 p.m. Claimant's direct supervisor was Dennis Leuer, Director of Maintenance and Quality Assurance Manager.

Employer has an attendance policy in its employee handbook. The policy states that employees are expected to be at their work stations at the beginning of their shift and to remain at work until the end of their shift. (Exhibit 1, pp. 7, 11) The policy states that excessive absenteeism, lateness or leaving early will lead to disciplinary action, including possible dismissal. (Exhibit 1, pp. 7, 11) Claimant received a copy of the policy. (Exhibit 1, pp. 11, 12)

On November 11, 2019, claimant received a verbal warning regarding his attendance. (Exhibit 1, p. 8) The warning states that the next violation will result in a three-day suspension.

(Exhibit 1, p. 8) On January 16, 2020, claimant received a written warning regarding his attendance. (Exhibit 1, p. 6) The warning states that the next occurrence will result in a three-day suspension. (Exhibit 1, p. 6) Claimant signed both warnings.

On December 2, 2020, claimant argued with a coworker. Claimant stated he was not "putting up with this shit" and was going home. Claimant did not say that he quit. Claimant left work at 6:45 a.m. Claimant reported to work on December 3, 2020 and was discharged by employer for absenteeism. Claimant received no warnings for attendance between January 16, 2020 and December 3, 2020. Claimant did not receive a three-day suspension pursuant to the verbal and written warnings. Employer's choice to terminate claimant was based upon job abandonment and the employer's policy that provides for discipline up to and including termination of employment.

#### **REASONING AND CONCLUSIONS OF LAW:**

For the reasons that follow, the administrative law judge concludes claimant was discharged for no disqualifying reason. Benefits are allowed provided claimant is otherwise eligible.

Iowa Code section 96.5(2)(a) provides:

An individual shall be disqualified for benefits:

- 2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:
- a. The disqualification shall continue until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

Iowa Admin. Code r. 871-24.32(1)(a) provides:

a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

This definition of misconduct has been accepted by the Iowa Supreme Court as accurately reflecting the intent of the legislature. *Reigelsberger v. Emp't Appeal Bd.*, 500 N.W.2d 64, 66 (Iowa 1993); *accord Lee v. Emp't Appeal Bd.*, 616 N.W.2d 661, 665 (Iowa 2000). Further, the employer has the burden of proof in establishing disqualifying job misconduct. *Cosper v. Iowa Dep't of Job Serv.*, 321 N.W.2d 6 (Iowa 1982).

Iowa Admin. Code r. 871-24.32(7) provides:

(7) Excessive unexcused absenteeism. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be

considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer.

Iowa Admin. Code r. 871-24.32(8) provides:

(8) Past acts of misconduct. While past acts and warnings can be used to determine the magnitude of a current act of misconduct, a discharge for misconduct cannot be based on such past act or acts. The termination of employment must be based on a current act.

The issue is not whether the employer made a correct decision in separating claimant, but whether the claimant is entitled to unemployment insurance benefits. *Infante v. Iowa Dep't of Job Serv.*, 364 N.W.2d 262 (Iowa Ct. App. 1984). What constitutes misconduct justifying termination of an employee and what misconduct warrants denial of unemployment insurance benefits are two separate decisions. *Pierce v. Iowa Dep't of Job Serv.*, 425 N.W.2d 679 (Iowa Ct. App. 1988).

Excessive absences are not considered misconduct unless unexcused. The requirements for a finding of misconduct based on absences are therefore twofold. First, the absences must be excessive. Sallis v. Emp't Appeal Bd., 437 N.W.2d 895 (lowa 1989). The determination of whether unexcused absenteeism is excessive necessarily requires consideration of past acts and warnings. Higgins v. lowa Dep't of Job Serv., 350 N.W.2d 187, 192 (lowa 1984). Second, the absences must be unexcused. Cosper, 321 N.W.2d at 10. The requirement of "unexcused" can be satisfied in two ways. An absence can be unexcused either because it was not for "reasonable grounds," Higgins, 350 N.W.2d at 191, or because it was not "properly reported," holding excused absences are those "with appropriate notice." Cosper, 321 N.W.2d at 10.

Absences due to properly reported illness cannot constitute work-connected misconduct since they are not volitional, even if the employer was fully within its rights to assess points or impose discipline up to or including discharge for the absence under its attendance policy. Iowa Admin. Code r. 871-24.32(7); Cosper, 321 N.W.2d at 9; Gaborit v. Emp't Appeal Bd., 734 N.W.2d 554 (Iowa Ct. App. 2007). Medical documentation is not essential to a determination that an absence due to illness should be treated as excused. See Gaborit, 734 N.W.2d at 555-558. An employer's no-fault absenteeism policy or point system is not dispositive of the issue of qualification for unemployment insurance benefits. Absences related to issues of personal responsibility such as transportation, lack of childcare, and oversleeping are not considered excused. Higgins, 350 N.W.2d at 191. When claimant does not provide an excuse for an absence the absences is deemed unexcused. Id.; see also Spragg v. Becker-Underwood, Inc., 672 N.W.2d 333, 2003 WL 22339237 (Iowa App. 2003). The term "absenteeism" also encompasses conduct that is more accurately referred to as "tardiness." An absence is an extended tardiness; and an incident of tardiness is a limited absence.

Excessive absenteeism has been found when there have been seven unexcused absences in five months; five unexcused absences and three instances of tardiness in eight months; three unexcused absences over an eight-month period; three unexcused absences over seven months; and missing three times after being warned. See Higgins, 350 N.W.2d at 192 (Iowa 1984); Infante v. Iowa Dep't of Job Serv., 364 N.W.2d 262 (Iowa App. 1984); Armel v. EAB, 2007 WL 3376929\*3 (Iowa App. Nov. 15, 2007); Hiland v. EAB, No. 12-2300 (Iowa App. July 10, 2013); and Clark v. Iowa Dep't of Job Serv., 317 N.W.2d 517 (Iowa App. 1982).

Claimant's absence on December 2, 2020 was properly reported to employer but was not for reasonable grounds. Therefore, the absence was unexcused. It is unknown whether claimant's absence that led to the January 16, 2020 warning was properly reported or for reasonable

grounds. Even if the absence was unexcused, employer has only established two unexcused absences in over a ten month period of time, which is not considered excessive.

Employer has not met its burden of proving disqualifying, job-related misconduct. Claimant was discharged for no disqualifying reason. Benefits are allowed.

Because claimant's separation was not disqualifying, the issues of overpayment, repayment and chargeability are moot. Because claimant is eligible for regular unemployment insurance benefits, claimant is also eligible for Federal Pandemic Unemployment Compensation. See PL 116-136 §2104(B).

## **DECISION:**

The March 11, 2021 (reference 01) unemployment insurance decision is affirmed. Claimant was discharged for no disqualifying reason. Benefits are allowed provided claimant is otherwise eligible. The issues of overpayment, repayment and chargeability are moot. Claimant is eligible for Federal Pandemic Unemployment Compensation.

Adrienne C. Williamson

Administrative Law Judge

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June 14, 2021

**Decision Dated and Mailed** 

acw/scn