IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

68-0157 (9-06) - 3091078 - EI

NICOLE M ERICKSON

Claimant

APPEAL NO. 15A-UI-11281-S1-T

ADMINISTRATIVE LAW JUDGE DECISION

WELLS FARGO BANK NA

Employer

OC: 09/13/15

Claimant: Respondent (1)

Section 96.5-2-a – Discharge for Misconduct

STATEMENT OF THE CASE:

Wells Fargo Bank (employer) appealed a representative's October 1, 2015 (reference 01) decision that concluded Nicole Erickson (claimant) was eligible to receive unemployment insurance benefits. After hearing notices were mailed to the parties' last-known addresses of record, a telephone hearing was scheduled for October 26, 2015. The claimant did not provide a telephone number for the hearing and, therefore, did not participate. The employer was represented by Jaclyn Fischler, Hearings Representative, and participated by Carl Hartson, Store Manager. Exhibit D-1 was received into evidence. The employer offered and Exhibit One was received into evidence.

ISSUE:

The issue is whether the claimant was separated from employment for any disqualifying reason.

FINDINGS OF FACT:

The administrative law judge, having heard the testimony and considered all of the evidence in the record, finds that: The claimant was hired on October 21, 2014 as a part-time teller. The claimant had access to the employer's electronic handbook. She took training on various online courses, including ethics. On June 25, 2015, the employer issued the claimant a written warning for cash differences. The employer notified the claimant that further infractions could result in termination from employment. The manager had talked to the claimant about putting "ok per manager" without permission on documents and waiving fees when there were long lines. The manager also talked to her about leaving work five minutes earlier than the claimant marked on her time card. After the manager talked with the claimant about her behavior, the claimant did not engage in the practices again.

The last time the claimant put the manager's initials with an "ok" was on August 22, 2015. The employer discovered this on August 24, 2015. The claimant continued to work through September 10, 2015. On September 10, 2015, the employer terminated the claimant for placing the manager's initials on a document.

The claimant filed for unemployment insurance benefits with an effective date of September 13, 2015. The employer did not participate in the fact-finding interview on September 30, 2015.

REASONING AND CONCLUSIONS OF LAW:

For the reasons that follow the administrative law judge concludes the claimant was not discharged for misconduct.

Iowa Code § 96.5-2-a provides:

An individual shall be disqualified for benefits:

- 2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:
- a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

Iowa Admin. Code r. 871-24.32(1)a and (8) provide:

Discharge for misconduct.

- (1) Definition.
- a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

This definition has been accepted by the Iowa Supreme Court as accurately reflecting the intent of the legislature. *Huntoon v. Iowa Dep't of Job Serv.*, 275 N.W.2d 445, 448 (Iowa 1979).

(8) Past acts of misconduct. While past acts and warnings can be used to determine the magnitude of a current act of misconduct, a discharge for misconduct cannot be based on such past act or acts. The termination of employment must be based on a current act.

The employer has the burden of proof in establishing disqualifying job misconduct. <u>Cosper v. lowa Department of Job Service</u>, 321 N.W.2d 6 (lowa 1982). The employer must establish not only misconduct but that there was a final incident of misconduct which precipitated the discharge. The last incident provided by the employer occurred on August 24, 2015.

The claimant was not discharged until September 10, 2015; more than two weeks later. The employer has failed to provide any evidence of willful and deliberate misconduct which was the final incident leading to the discharge and disqualification may not be imposed.

DECISION:

The representative's October 1, 2015 (reference 01) decision is affirmed. The employer has not met its proof to establish job-related misconduct. Benefits are allowed.

Beth A. Scheetz Administrative Law Judge

Decision Dated and Mailed

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