## IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

DUSTIN D VANDERWAAL Claimant

## APPEAL 18A-UI-02929-DG-T

ADMINISTRATIVE LAW JUDGE DECISION

## IOWA WORKFORCE DEVELOPMENT DEPARTMENT

OC: 12/31/17 Claimant: Appellant (1)

Iowa Code § 96.6(1) – Filing Claims Iowa Admin. Code r. 871-24.2(1)h(1), (2) – Backdating

## STATEMENT OF THE CASE:

Claimant filed a timely appeal from the February 27, 2018, (reference 01) unemployment insurance decision that denied the request to backdate the claim for benefits prior to February 25, 2018. After due notice was issued, a hearing was scheduled to be held by telephone conference call on March 30, 2018. Claimant participated.

#### ISSUE:

May the claim be backdated prior to February 25, 2018?

#### FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: The claimant filed a claim for benefits with an effective date of December 31, 2017, and an additional claim date effective February 25, 2018, and desires to backdate the claim to February 18, 2018, because of incorrect advice and direction from an IWD representative in the Des Moines Iowa Works office.

The department has not failed to recognize the expiration of the claimant's previous benefit year and there is not an interstate claim against another state which has been determined as ineligible.

## **REASONING AND CONCLUSIONS OF LAW:**

For the reasons that follow, the administrative law judge concludes the claimant's request to backdate the claim must be denied.

Iowa Code section 96.6(1) provides:

1. Filing. Claims for benefits shall be made in accordance with such regulations as the department may prescribe.

Iowa Admin. Code r. 871-24.2(1)*h*(1) and (2) provide:

# Procedures for workers desiring to file a claim for benefits for unemployment insurance.

(1) Section 96.6 of the employment security law of lowa states that claims for benefits shall be made in accordance with such rules as the department prescribes. The department of workforce development accordingly prescribes:

*h.* Effective starting date for the benefit year.

(1) Filing for benefits shall be effective as of Sunday of the current calendar week in which, subsequent to the individual's separation from work, an individual files a claim for benefits.

(2) The claim may be backdated prior to the first day of the calendar week in which the claimant does report and file a claim for the following reasons:

1. The failure of the department to recognize the expiration of the claimant's previous benefit year;

2. The claimant filed an interstate claim against another state which has been determined as ineligible.

The September 2017, version of the *Unemployment Insurance Benefits Handbook*, provides in pertinent part at pages 13 and 14:

#### **Claim Effective Date**

The effective date of all UI claims, regardless of filing method, will be the Sunday of the week in which the application was filed. The effective date of a claim will not be changed for applications that were not filed in a timely manner.

and

## **Reactivating a Claim**

An individual can start and stop claiming weekly benefits as many times as necessary during the benefit year. This is called a break in reporting status. Any break in reporting requires the individual to file another initial claim application during the week he/she wants to start collecting benefits again. Any employment during the break must be reported.

The September 2017, version of the *Unemployment Insurance Benefits Handbook*, provides in pertinent part at pages 19 and 20:

#### Filing Weekly Claims

#### How to File

After you file your initial claim, file weekly claims online at iowaworkforcedevelopment.gov for every week you are unemployed or your hours are reduced. You must file a weekly claim for any week that you want payment even if your eligibility is being decided or you have an appeal pending.

To request UI benefit payments during weeks of unemployment, individuals must certify they:

- Are currently unemployed or working reduced hours
- Are able to work and available for work
- Have not refused any job offers or referrals to a job
- Are actively looking for work (unless waived)
- Are reporting any pay or pension payment

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Start of UI						Last day of
claim week						week one (no
one						filing)
First day to	File for	File for	File for week	File for	Last day to	Last day of
file week	week one	week one	one	week one	file for	week two (no
one					week one	filing)
First day to	File for	File for	File for week	File for	Last day to	Last day of
file week	week two	week two	two	week two	file for	week three
two					week two	(no filing)
First day to	File for	File for	File for week	File for	Last day to	Last day of
file week	week	week	three	week three	file for	week four (no
three	three	three			week three	filing)

#### When to File

The current week is the week that just ended on Saturday. Weekly claims must be filed 8 am Sunday through 5:30 pm Friday for the prior week only. This means individuals have six days to file the previous week's claim. See the chart above for the days available to file. Weekly claims can be filed online using a mobile device or computer at <a href="https://uiclaims.iwd.iowa.gov/weeklyclaims/">https://uiclaims.iwd.iowa.gov/weeklyclaims/</a>.

Delay in filing your weekly claim could result in denial of benefits.

**IMPORTANT:** You will receive confirmation that the claim has been processed successfully. If you don't receive confirmation, the process must be repeated until the claim has been successfully submitted.

Elsewhere in the September 2017, version of the handbook at page 8, contact information includes days and hours for filing a claim and weekly claim reporting:

#### File a Claim

Hours: Sunday through Friday, 8:00 am to 4:30 pm www.iowaworkforcedevelopment.gov https://uiclaims.iwd.iowa.gov/UIInitialClaim/

#### Weekly Claim Reporting

Hours: Sunday 8:00 am – 11:30 pm and Monday through Friday 8:00 am through 5:30 pm https://uiclaims.iwd.iowa.gov/weeklyclaims/

While the claimant has presented reasons for backdating that would have previously been considered "sufficient grounds" to grant the request, after an agency and legislative rulemaking process, effective July 12, 2017, Iowa Admin. Code r. 871-24.2(1)h(1) and (2) allows backdating for only the two reasons cited above. Neither of those reasons applies in this case. Accordingly, the backdating request must be denied.

## **DECISION:**

The February 27, 2018, (reference 01) unemployment insurance decision is affirmed. The claimant's request to backdate the claim is denied.

Duane L. Golden Administrative Law Judge

Decision Dated and Mailed

dlg/scn