IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

BRANDYN BUTLER

Claimant

APPEAL NO. 14A-UI-11137-B2T

ADMINISTRATIVE LAW JUDGE DECISION

NORDSTROM INC

Employer

OC: 05/14/14

Claimant: Appellant (2)

Iowa Code § 96.5-2-a – Discharge for Misconduct

STATEMENT OF THE CASE:

Claimant filed an appeal from a decision of a representative dated October 23, 2014, reference 04, which held claimant ineligible for unemployment insurance benefits. After due notice, a hearing was scheduled for and held on November 17, 2014. Claimant participated personally. Employer participated by hearing officer Thomas Kuiper; with witnesses Melissa Neilson, Kris Smith, and Chris Barnes. Employer's Exhibits One through Five were admitted into evidence.

ISSUE:

The issue in this matter is whether claimant was discharged for misconduct?

FINDINGS OF FACT:

The administrative law judge, having heard the testimony and considered all of the evidence in the record, finds: Claimant last worked for employer on September 9, 2014. Employer discharged claimant on September 10, 2014 because claimant was insubordinate to his supervisor.

On September 9, 2014 claimant brought a Gatorade bottle onto the floor at work. Employer has a policy, that claimant knew about, which states that only certain containers are allowed on the work floor. Gatorade bottles are not allowed. Claimant worked third shift. A second shift supervisor came to claimant when she saw the bottle and asked it to be taken to his locker or thrown away. Claimant did nothing to obey this directive. Employer asked again for the bottle to be thrown away or taken off the floor. Claimant again stated "ok," but did neither. After claimant had not acted for approximately thirty seconds, and employer had asked three times, the employer grabbed for the bottle to remove it. Claimant grabbed the bottle and told employer that she was disrespecting him. Claimant stated that he was simply putting items in his pocket and was going to act on the request, but hadn't been given sufficient time.

The supervisor met with claimant's direct supervisor and when they wanted to talk about the situation claimant asked to be a part of the discussion. He was denied. After the supervisors discussed the situation, they called the department manager. The manager made the decision that claimant would be discharged as claimant had received multiple warnings or "opportunity checks". Claimant had received warnings for attendance issues, impeding the productivity of a coworker, and his own productivity.

REASONING AND CONCLUSIONS OF LAW:

Iowa Code § 96.5-2-a provides:

An individual shall be disqualified for benefits:

- 2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:
- a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

Iowa Admin. Code r. 871-24.32(1)a provides:

Discharge for misconduct.

- (1) Definition.
- a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

This definition has been accepted by the Iowa Supreme Court as accurately reflecting the intent of the legislature. *Huntoon v. Iowa Dep't of Job Serv.*, 275 N.W.2d 445, 448 (Iowa 1979).

Iowa Admin. Code r. 871-24.32(8) provides:

(8) Past acts of misconduct. While past acts and warnings can be used to determine the magnitude of a current act of misconduct, a discharge for misconduct cannot be based on such past act or acts. The termination of employment must be based on a current act.

A claimant is not qualified to receive unemployment insurance benefits if an employer has discharged the claimant for reasons constituting work connected misconduct. Iowa Code § 96.5-2-a. Before a claimant can be denied unemployment insurance benefits, the employer has the burden to establish the claimant was discharged for work-connected misconduct. Cosper v. Iowa Department of Job Service, 321 N.W.2d 6 (Iowa 1982), Iowa Code § 96.5-2-a.

The issue is not whether the employer made a correct decision in separating claimant, but whether the claimant is entitled to unemployment insurance benefits. Infante v. Iowa Dep't of Job Serv., 364 N.W.2d 262 (Iowa Ct. App. 1984). What constitutes misconduct justifying termination of an employee and what misconduct warrants denial of unemployment insurance benefits are two separate decisions. Pierce v. Iowa Dep't of Job Serv., 425 N.W.2d 679 (Iowa Ct. App. 1988).

In order to establish misconduct as to disqualify a former employee from benefits an employer must establish the employee was responsible for a deliberate act or omission which was a material breach of the duties and obligations owed by the employee to the employer. Rule 871 IAC 24.32(1)a; Huntoon v. Iowa Department of Job Service, 275 N.W.2d 445 (Iowa 1979); Henry v. Iowa Department of Job Service, 391 N.W.2d 731, 735 (Iowa Ct. App. 1986). The conduct must show a willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or the employee's duties and obligations to the employer. Rule 871 IAC 24.32(1)a; *Huntoon* supra; *Henry* supra. In contrast, mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not deemed misconduct within the meaning of the statute. Rule 871 IAC 24.32(1)a; Huntoon supra; Newman v. Iowa Department of Job Service, 351 N.W.2d 806 (Iowa Ct. App. 1984).

The employer bears the burden of proving that a claimant is disqualified from receiving benefits because of substantial misconduct within the meaning of lowa Code section 96.5(2). *Myers*, 462 N.W.2d at 737. Because our unemployment compensation law is designed to protect workers from financial hardships when they become unemployed through no fault of their own, we construe the provisions "liberally to carry out its humane and beneficial purpose." *Bridgestone/Firestone, Inc. v. Emp't Appeal Bd.*, 570 N.W.2d 85, 96 (Iowa 1997). "[C]ode provisions which operate to work a forfeiture of benefits are strongly construed in favor of the claimant." *Diggs v. Emp't Appeal Bd.*, 478 N.W.2d 432, 434 (Iowa Ct. App. 1991).

The gravity of the incident, number of policy violations and prior warnings are factors considered when analyzing misconduct. The lack of a current warning may detract from a finding of an intentional policy violation. In this matter, the evidence fails to establish that claimant was discharged for an act constituting substantial misconduct when claimant violated employer's policy concerning keeping fluids off of the work floor. Claimant was not warned concerning this policy, but had heard employer discuss it.

The last incident, which brought about the discharge, fails to constitute misconduct because claimant's acts had never been the subject of concern by employer. Amongst claimant's warnings were no previous insubordinate acts of any type. The administrative law judge holds that claimant was not discharged for an act of misconduct and, as such, is not disqualified for the receipt of unemployment insurance benefits.

DECISION:

The	decision	of	the	represe	entative	dated	October 2	3, 2014,	referenc	e 04,	is	reverse	ed.
Clair	nant is eli	gible	e to	receive	unempl	oyment	insurance	benefits,	provided	claima	ant	meets	all
other eligibility requirements.													

Blair A. Bennett Administrative Law Judge

Decision Dated and Mailed

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