IOWA WORKFORCE DEVELOPMENT Unemployment Insurance Appeals Section 1000 East Grand—Des Moines, Iowa 50319 DECISION OF THE ADMINISTRATIVE LAW JUDGE 68-0157 (7-97) – 3091078 - EI

NANCY L LISTMAN 7315 – 27<sup>TH</sup> AVE WATKINS IA 52354

SCIENCE STATION 427 – 1<sup>ST</sup> ST SE CEDAR RAPIDS IA 52401 Appeal Number: 04A-UI-11875-CT

OC: 08/15/04 R: 03 Claimant: Respondent (1)

This Decision Shall Become Final, unless within fifteen (15) days from the date below, you or any interested party appeal to the Employment Appeal Board by submitting either a signed letter or a signed written Notice of Appeal, directly to the *Employment Appeal Board*, 4<sup>th</sup> Floor—Lucas Building, Des Moines, Iowa 50319.

The appeal period will be extended to the next business day if the last day to appeal falls on a weekend or a legal holiday.

### STATE CLEARLY

- The name, address and social security number of the claimant.
- A reference to the decision from which the appeal is taken.
- That an appeal from such decision is being made and such appeal is signed.
- 4. The grounds upon which such appeal is based.

YOU MAY REPRESENT yourself in this appeal or you may obtain a lawyer or other interested party to do so provided there is no expense to Workforce Development. If you wish to be represented by a lawyer, you may obtain the services of either a private attorney or one whose services are paid for with public funds. It is important that you file your claim as directed, while this appeal is pending, to protect your continuing right to benefits.

(Administrative Law Judge)
(Decision Dated & Mailed)

Section 96.6-2 - Timeliness of Appeal

### STATEMENT OF THE CASE:

Science Station filed an appeal from a representative's decision dated September 1, 2004, reference 01, which held that no disqualification would be imposed regarding Nancy Listman's separation from employment. After due notice was issued, a hearing was held by telephone on November 30, 2004. Ms. Listman participated personally. The employer participated by Roy Nowers, Interim Executive Director; Terry Breheny, Accountant; and Terry Bergen, President, Board of Directors.

## FINDINGS OF FACT:

The administrative law judge, having considered all of the evidence in the record, finds that: A decision allowing benefits to Ms. Listman was mailed to the employer's last known address of record on September 1, 2004. The employer received the decision. The decision contained a warning that an appeal must be postmarked or received by the Appeals Section by September 11, 2004. The appeal was not filed until October 26, 2004, which is after the date noticed on the disqualification decision.

The decision at issue was received by the employer's former executive director, Joe Nolte, who left the employment on September 17, 2004, prior to when the employer's appeal was due. Mr. Nolte did not bring the decision to the attention of the employer's board of directors as requested. The employer learned of the qualifying decision after Mr. Nolte's departure. The employer learned of the decision by no later than October 8, 2004. The employer still waited over two weeks before filing an appeal on October 26, 2004.

## REASONING AND CONCLUSIONS OF LAW:

Iowa Code section 96.6-2 provides:

2. Initial determination. A representative designated by the director shall promptly notify all interested parties to the claim of its filing, and the parties have ten days from the date of mailing the notice of the filing of the claim by ordinary mail to the last known address to protest payment of benefits to the claimant. The representative shall promptly examine the claim and any protest, take the initiative to ascertain relevant information concerning the claim, and, on the basis of the facts found by the representative, shall determine whether or not the claim is valid, the week with respect to which benefits shall commence, the weekly benefit amount payable and its maximum duration, and whether any disqualification shall be imposed. The claimant has the burden of proving that the claimant meets the basic eligibility conditions of section 96.4. The employer has the burden of proving that the claimant is disqualified for benefits pursuant to section 96.5, except as provided by this subsection. The claimant has the initial burden to produce evidence showing that the claimant is not disqualified for benefits in cases involving section 96.5, subsection 10, and has the burden of proving that a voluntary quit pursuant to section 96.5, subsection 1, was for good cause attributable to the employer and that the claimant is not disqualified for benefits in cases involving section 96.5, subsection 1, paragraphs "a" through "h". Unless the claimant or other interested party, after notification or within ten calendar days after notification was mailed to the claimant's last known address, files an appeal from the decision, the decision is final and benefits shall be paid or denied in accordance with the decision. If an administrative law judge affirms a decision of the representative, or the appeal board affirms a decision of the administrative law judge allowing benefits, the benefits shall be paid regardless of any appeal which is thereafter taken, but if the decision is finally reversed, no employer's account shall be charged with benefits so paid and this relief from charges shall apply to both contributory and reimbursable employers, notwithstanding section 96.8, subsection 5.

The ten calendar days for appeal begins running on the mailing date. The "decision date" found in the upper right-hand portion of the representative's decision, unless otherwise corrected immediately below that entry, is presumptive evidence of the date of mailing.

<u>Gaskins v. Unempl. Comp. Bd. of Rev.</u>, 429 A.2d 138 (Pa. Comm. 1981); <u>Johnson v. Board of Adjustment</u>, 239 N.W.2d 873, 92 A.L.R.3d 304 (Iowa 1976).

Pursuant to rules 871 IAC 26.2(96)(1) and 871 IAC 24.35(96)(1), appeals are considered filed when postmarked, if mailed. Messina v. IDJS, 341 N.W.2d 52 (Iowa 1983).

The record in this case shows that more than ten calendar days elapsed between the mailing date and the date this appeal was filed. The Iowa Supreme Court has declared that there is a mandatory duty to file appeals from representatives' decisions within the time allotted by statute, and that the administrative law judge has no authority to change the decision of a representative if a timely appeal is not filed. Franklin v. IDJS, 277 N.W.2d 877, 881 (lowa 1979). Compliance with appeal notice provisions is jurisdictional unless the facts of a case show that the notice was invalid. Beardslee v. IDJS, 276 N.W.2d 373, 377 (lowa 1979); see also In re Appeal of Elliott 319 N.W.2d 244, 247 (Iowa 1982). The question in this case thus becomes whether the appellant was deprived of a reasonable opportunity to assert an appeal in a timely fashion. Hendren v. IESC, 217 N.W.2d 255 (Iowa 1974); Smith v. IESC, 212 N.W.2d 471, 472 (lowa 1973). The record shows that the appellant did have a reasonable opportunity to file a timely appeal. It was apparently the decision of the former executive director that there would be no protest or appeal on Ms. Listman's claim. Even if Mr. Nolte did not have the authority to waive the employer's right to an appeal, the fact remains that the employer still did not act within ten days of discovering the decision that allowed benefits to Ms. Listman. Therefore, because the employer did not act with due dispatch in filing an appeal when it became aware that none had been filed, the administrative law judge concludes that the agency does not have jurisdiction to change the underlying decision allowing benefits to Ms. Listman. Statutory deadlines must be met, regardless of the merits of a party's appeal.

The administrative law judge concludes that failure to file a timely appeal within the time prescribed by the Iowa Employment Security Law was not due to any Agency error or misinformation or delay or other action of the United States Postal Service pursuant to 871 IAC 24.35(2). The administrative law judge further concludes that the appeal was not timely filed pursuant to Iowa Code section 96.6-2, and the administrative law judge lacks jurisdiction to make a determination with respect to the nature of the appeal. See <u>Beardslee v. IDJS</u>, 276 N.W.2d 373 (Iowa 1979) and <u>Franklin v. IDJS</u>, 277 N.W.2d 877 (Iowa 1979).

# **DECISION:**

The decision of the representative dated September 1, 2004, reference 01, is affirmed. The appeal in this case was not timely, and the decision of the representative remains in effect. Benefits are allowed, provided Ms. Listman satisfies all other conditions of eligibility.

cfc/tjc