## IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

JASON M SHOOP Claimant

# APPEAL 17A-UI-04464-JP-T

ADMINISTRATIVE LAW JUDGE DECISION

PALMER COMPANIES INC Employer

> OC: 04/02/17 Claimant: Respondent (1)

Iowa Code § 96.5(2)a – Discharge for Misconduct Iowa Code § 96.3(7) – Recovery of Benefit Overpayment Iowa Admin. Code r. 871-24.10 – Employer/Representative Participation Fact-finding Interview

### STATEMENT OF THE CASE:

The employer filed an appeal from the April 18, 2017, (reference 01) unemployment insurance decision that allowed benefits. The parties were properly notified about the hearing. A telephone hearing was held on May 16, 2017. Claimant participated. Employer participated through recruiter Jaci Bruns. Employer exhibit one was admitted into evidence with no objection. Employer exhibit two was offered into evidence. Claimant objected to employer exhibit two because he had not received employer exhibit two. Claimant's objection was sustained and employer exhibit two was not admitted into evidence. Official notice was taken of the administrative record, including claimant's benefit payment history and fact-finding documents, with no objection.

#### **ISSUES:**

Was the claimant discharged for disqualifying job-related misconduct?

Has the claimant been overpaid unemployment insurance benefits, and if so, can the repayment of those benefits to the agency be waived?

Can charges to the employer's account be waived?

#### FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: Claimant was employed temp-to-hire, full-time, as a loan servicing specialist two, last assigned at Wells Fargo from June 28, 2016, and was separated from the assignment, and the employer on April 4, 2017. Claimant was separated due to absenteeism. The employer was notified by Wells Fargo on April 4, 2017 that claimant's assignment was over due to absenteeism. On April 4, 2017, the employer called claimant and told him his assignment was over and that he was separated from the employer.

The employer has a written attendance policy that an assignment may end at any time due to excessive absenteeism. The employer requires employees contact the employer and report

their absence prior to the start of their shift. Claimant was aware of the employer's policy. Wells Fargo has an attendance policy that if someone has six attendance infractions in a rolling six month period they will be separated. Claimant understood from Wells Fargo that the attendance was covered under the employer's attendance policy.

The final incidents occurred when claimant was absent from his scheduled shifts on April 3, 2017 and April 4, 2017. Claimant was absent on April 3 and 4, 2017 because he was in the hospital due to an illness. On April 3, 2017, claimant contacted the employer prior to the start of his shift and reported that he would be absent due to illness. On April 4, 2017, claimant contacted the employer prior to the start of his shift and reported that he would be absent due to illness.

Claimant was issued a written warning for his attendance infractions on March 7, 2017. The employer gave claimant a verbal warning on March 15, 2017, that he faced termination from employment upon another incident of unexcused absenteeism. Claimant was also absent on March 29, 2017 due to illness and he properly reported this absence.

#### **REASONING AND CONCLUSIONS OF LAW:**

For the reasons that follow, the administrative law judge concludes the claimant was discharged from employment for no disqualifying reason. Benefits are allowed.

Iowa Code section 96.5(2)*a* provides:

An individual shall be disqualified for benefits:

2. *Discharge for misconduct.* If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:

*a.* The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

Iowa Admin. Code r. 871-24.32(7) provides:

(7) *Excessive unexcused absenteeism.* Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer.

The employer has the burden to prove the claimant was discharged for work-connected misconduct as defined by the unemployment insurance law. *Cosper v. Iowa Dep't of Job Serv.*, 321 N.W.2d 6 (Iowa 1982). The issue is not whether the employer made a correct decision in separating claimant, but whether the claimant is entitled to unemployment insurance benefits. *Infante v. Iowa Dep't of Job Serv.*, 364 N.W.2d 262 (Iowa Ct. App. 1984). What constitutes misconduct justifying termination of an employee and what misconduct warrants denial of unemployment insurance benefits are two separate decisions. *Pierce v. Iowa Dep't of Job Serv.*, 425 N.W.2d 679 (Iowa Ct. App. 1988). The law limits disqualifying misconduct to substantial and willful wrongdoing or repeated carelessness or negligence that equals willful misconduct in culpability. *Lee v. Emp't Appeal Bd.*, 616 N.W.2d 661 (Iowa 2000). Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct **except for illness or other reasonable** 

**grounds** for which the employee was absent and that were properly reported to the employer. lowa Admin. Code r. 871-24.32(7) (emphasis added); see *Higgins v. Iowa Dep't of Job Serv.*, 350 N.W.2d 187, 190, n. 1 (lowa 1984) holding "rule [2]4.32(7)...accurately states the law." The requirements for a finding of misconduct based on absences are therefore twofold. First, the absences must be excessive. *Sallis v. Emp't Appeal Bd.*, 437 N.W.2d 895 (lowa 1989). The determination of whether unexcused absenteeism is excessive necessarily requires consideration of past acts and warnings. *Higgins* at 192. Second, the absences must be unexcused. *Cosper* at 10. The requirement of "unexcused" can be satisfied in two ways. An absence can be unexcused either because it was not for "reasonable grounds," *Higgins* at 191, or because it was not "properly reported," holding excused absences are those "with appropriate notice." *Cosper* at 10. The term "absenteeism" also encompasses conduct that is more accurately referred to as "tardiness." An absence is an extended tardiness, and an incident of tardiness is a limited absence. Absences related to issues of personal responsibility such as transportation, lack of childcare, and oversleeping are not considered excused. *Higgins, supra*.

An employer's attendance policy is not dispositive of the issue of qualification for unemployment insurance benefits. Excessive absences are not necessarily unexcused. Absences must be both excessive and unexcused to result in a finding of misconduct.

The employer has not established that claimant had excessive absences which would be considered unexcused for purposes of unemployment insurance eligibility. Absences due to properly reported illness cannot constitute work-connected misconduct since they are not volitional, even if the employer was fully within its rights to assess points or impose discipline up to or including discharge for the absence under its attendance policy. Iowa Admin. Code r. 871-24.32(7); *Cosper*, supra; *Gaborit v. Emp't Appeal Bd.*, 743 N.W.2d 554 (Iowa Ct. App. 2007). Medical documentation is not essential to a determination that an absence due to illness should be treated as excused. *Gaborit*, supra. Because claimant's last absences on April 3 and 4, 2017, were related to properly reported illness, no final or current incident of unexcused absenteeism occurred which establishes work-connected misconduct. Since the employer has not established a current or final act of misconduct, and, without such, the history of other incidents need not be examined. Accordingly, benefits are allowed.

As benefits are allowed, the issues of overpayment, repayment, and the chargeability of the employer's account are moot.

## **DECISION:**

The April 18, 2017, (reference 01) unemployment insurance decision is affirmed. Claimant was discharged from employment for no disqualifying reason. Benefits are allowed, provided claimant is otherwise eligible. Any benefits claimed and withheld on this basis shall be paid.

Jeremy Peterson Administrative Law Judge

Decision Dated and Mailed

jp/scn