IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

68-0157 (9-06) - 3091078 - EI

PATRICK M ANDERSON Claimant

APPEAL NO. 12A-UI-12754-VST

ADMINISTRATIVE LAW JUDGE DECISION

PARKER-HANNIFIN CORP Employer

> OC: 09/23/12 Claimant: Respondent (2R)

Section 96.5-2-A – Discharge for Misconduct Section 96.3-7 – Overpayment of Benefits

STATEMENT OF THE CASE:

The employer filed an appeal from a decision of a representative dated October 15, 2012, reference 01, which held that the claimant was eligible for unemployment insurance benefits. After due notice, a telephone conference hearing was scheduled for and held on November 28, 2012. The claimant participated personally. The employer participated by Anna Namvansy, the human resources manager, and Kyle Schleucher, the plant manager. Tom Kuiper represented the employer. The record consists of the testimony of Anna Namvansy; the testimony of Kyle Schleucher; the testimony of Patrick Anderson; and Employer's Exhibits1-6.

ISSUE:

Whether the claimant was discharged for misconduct; and

Whether the claimant has been overpaid unemployment insurance benefits.

FINDINGS OF FACT:

The administrative law judge, having heard the testimony of the witnesses and having considered all of the evidence in the record, makes the following findings of fact:

The employer is a hydraulic hose manufacturer. The claimant was hired on December 29, 2011, and worked at the employer's Davenport, Iowa, facility. He was a full-time hose fabricator. His last day of work was September 25, 2012. He was terminated on September 25, 2012, for violation of the employer's attendance policy. The employer has written attendance policy, of which the claimant was aware, that called for termination after the accumulation of eight points.

The claimant's attendance showed the following:

September 21, 2012	Tardy	Overslept
September 21, 2012	Tardy	Car Trouble
August 21, 2012	Tardy	Personal Reasons
July 28, 2012	Left Early	Personal Illness
June 25, 2012	Tardy	Unknown
June15, 2012	Left Early	Personal Illness
May 31, 2012	Tardy	Unknown
May 21, 2012	Tardy	Unknown
May 11, 2012	Left Early	Personal Illness
April 18, 2012	Absent	Personal Illness
March 1, 2012	Missing Punch	
January 12, 2012	Absent	Personal Illness

(Exhibit 1)

The claimant was suspended for his absenteeism on August 21, 2012. He knew his job was in jeopardy. He had received a reprimand on June 25, 2012, and counseling on May 11, 2012.

REASONING AND CONCLUSIONS OF LAW:

Iowa Code section 96.5-2-a provides:

An individual shall be disqualified for benefits:

2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:

a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

871 IAC 24.32(1)a provides:

Discharge for misconduct.

(1) Definition.

a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute. 871 IAC 24.32(8) provides:

(8) Past acts of misconduct. While past acts and warnings can be used to determine the magnitude of a current act of misconduct, a discharge for misconduct cannot be based on such past act or acts. The termination of employment must be based on a current act.

Misconduct that disqualifies an individual from receiving unemployment insurance benefits occurs when there are deliberate acts or omissions that constitute a material breach of the worker's duty to the employer. Excessive unexcused absenteeism is one form of misconduct. See <u>Higgins v. Iowa Department of Job Service</u>, 350 N.W.2d 187 (Iowa 1984). The concept includes tardiness and leaving early. Absence due to matters of personal responsibility, such transportation problems and oversleeping, is considered unexcused. See <u>Harlan v. IDJS</u>, 350 N.W.2d 192 (Iowa 1984) Absence due to illness and other excusable reasons is deemed excused if the employee properly notifies the employer. See <u>Higgins</u>, supra, and 871 IAC 24.32(7) In order to justify disqualification, the evidence must establish that the final incident leading to the decision to discharge was a current act of misconduct. See 871 IAC 24.32(8) See also <u>Greene v. EAB</u>, 426 N.W.2d 659 (Iowa App. 1988) The employer has the burden of proof to establish misconduct.

The claimant is not eligible for unemployment insurance benefits. The employer has shown excessive unexcused absenteeism. A review of the claimant's absence records shows that he was either absent, tardy or left early for a variety of reasons. There were six instances of tardiness, none of which were excused. Six instances of tardiness over approximately nine months is excessive. The final instance was absenteeism was due to oversleeping, which is a personal responsibility and is not excused. Since the employer has shown excessive unexcused absenteeism, benefits are denied.

The next issue is overpayment of benefits.

Iowa Code section 96.3-7, as amended in 2008, provides:

7. Recovery of overpayment of benefits.

a. If an individual receives benefits for which the individual is subsequently determined to be ineligible, even though the individual acts in good faith and is not otherwise at fault, the benefits shall be recovered. The department in its discretion may recover the overpayment of benefits either by having a sum equal to the overpayment deducted from any future benefits payable to the individual or by having the individual pay to the department a sum equal to the overpayment.

b. (1) If the department determines that an overpayment has been made, the charge for the overpayment against the employer's account shall be removed and the account shall be credited with an amount equal to the overpayment from the unemployment compensation trust fund and this credit shall include both contributory and reimbursable employers, notwithstanding section 96.8, subsection 5. However, provided the benefits were not received as the result of fraud or willful misrepresentation by the individual, benefits shall not be recovered from an individual if the employer did not participate in the initial determination to award benefits pursuant to section 96.6, subsection 2, and an overpayment occurred because of a subsequent reversal on appeal regarding the issue of the individual's separation from employment. The employer shall not be charged with the benefits.

(2) An accounting firm, agent, unemployment insurance accounting firm, or other entity that represents an employer in unemployment claim matters and demonstrates a continuous pattern of failing to participate in the initial determinations to award benefits, as determined and defined by rule by the department, shall be denied permission by the department to represent any employers in unemployment insurance matters. This subparagraph does not apply to attorneys or counselors admitted to practice in the courts of this state pursuant to section 602.10101.

The overpayment issue is remanded to the Claims Section for determination.

DECISION:

The decision of the representative dated October 15, 2012, reference 01, is reversed. Unemployment insurance benefits shall be withheld until claimant has worked in and been paid wages for insured work equal to ten times claimant's weekly benefit amount, provided claimant is otherwise eligible. The overpayment issue is remanded to the Claims Section for determination.

Vicki L. Seeck Administrative Law Judge

Decision Dated and Mailed

vls/css