IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

68-0157 (9-06) - 3091078 - El

BELINDA RUEFER Claimant

APPEAL NO: 07A-UI-01184-BT

ADMINISTRATIVE LAW JUDGE DECISION

SEATON CORPORATION

Employer

OC: 12/31/06 R: 04 Claimant: Respondent (2)

Section 96.5-2-a – Discharge for Misconduct Section 96.3-7 - Overpayment

STATEMENT OF THE CASE:

Seaton Corporation (employer) appealed an unemployment insurance decision dated January 23, 2007, reference 01, which held that Belinda Ruefer (claimant) was eligible for unemployment insurance benefits. After hearing notices were mailed to the parties' last-known addresses of record, a telephone hearing was held on March 6, 2007. The claimant participated in the hearing. The employer participated through Richard Yates, Lead Manager at Hon Geneva. Employer's Exhibits One through Three and Claimant's Exhibit A was admitted into evidence. Based on the evidence, the arguments of the parties, and the law, the administrative law judge enters the following findings of fact, reasoning and conclusions of law, and decision.

ISSUE:

The issue is whether the employer discharged the claimant for work-related misconduct.

FINDINGS OF FACT:

The administrative law judge, having heard the testimony and considered all of the evidence in the record, finds that: The claimant was employed as a full-time laborer from February 8, 2006 through December 18, 2006 when she was terminated for excessive unexcused absenteeism. The employer's attendance policy provides that employees are terminated if they attain four points and the claimant was aware of that policy. Leaving early from work or calling in ill without a doctor's note results in one half point, an unexcused absence results in one point and a no-call/no-show results in two points. Written warnings were issued to her and signed by her on April 7, June 28, August 2, October 6, and October 23, 2006. She had three attendance points on October 23, 2006 but received and signed another attendance warning on December 7, 2006 with three attendance points. The claimant left early on December 14 which resulted in a half point and she called in sick on December 15, 2006. The employer called her telephone number on December 15 to let her know that she would need a doctor's note not to go over the four point policy. The claimant's number had been disconnected and the employer could not reach her. The claimant was absent again on December 18 and testified during the hearing that she did not call in prior to the beginning of her shift that day. When she failed to call or report to work on December 18, she exceeded four attendance points and was effectively terminated for

violation of the attendance policy. The claimant reported to work three hours after her scheduled shift began and reportedly left a resignation notice but the employer never received the resignation notice until after the claimant filed for unemployment insurance benefits.

The claimant filed a claim for unemployment insurance benefits effective December 31, 2006 and has received benefits after the separation from employment.

REASONING AND CONCLUSIONS OF LAW:

The issue is whether the reasons for the claimant's separation from employment qualify her to receive unemployment insurance benefits. The claimant is not qualified to receive unemployment insurance benefits if she voluntarily quit without good cause attributable to the employer or if the employer discharged her for work-connected misconduct. Iowa Code sections 96.5-1 and 96.5-2-a. While the claimant contends she voluntarily quit, the facts show she exceeded her attendance points when she failed to call or report to work on December 18, 2006. The claimant was aware termination would occur if she exceeded her attendance points and reportedly submitted a written resignation after that fact. The employer never received the resignation notice and did not see the letter she prepared until after the claimant filed for unemployment insurance benefits.

Iowa Code section 96.5-2-a provides:

An individual shall be disqualified for benefits:

2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:

a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

871 IAC 24.32(1)a provides:

Discharge for misconduct.

- (1) Definition.
- a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

This definition has been accepted by the Iowa Supreme Court as accurately reflecting the intent of the legislature. <u>Huntoon v. Iowa Department of Job Service</u>, 275 N.W.2d 445, 448 (Iowa 1979).

871 IAC 24.32(7) provides:

(7) Excessive unexcused absenteeism. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer.

The employer has the burden to prove the claimant was discharged for work-connected misconduct as defined by the unemployment insurance law. <u>Cosper v. Iowa Department of Job</u> <u>Service</u>, 321 N.W.2d 6 (Iowa 1982). The determination of whether unexcused absenteeism is excessive necessarily requires consideration of past acts and warnings. The term "absenteeism" also encompasses conduct that is more accurately referred to as "tardiness." An absence is an extended tardiness, and an incident of tardiness is a limited absence. Absences related to issues of personal responsibility such as transportation, lack of childcare, and oversleeping are not considered excused. <u>Higgins v. Iowa Department of Job Service</u>, 350 N.W.2d 187 (Iowa 1984).

The employer has established that the claimant was warned that further unexcused absences could result in termination of employment and the final absence was not excused. The final absence, in combination with the claimant's history of absenteeism, is considered excessive. Benefits are denied.

Iowa Code section 96.3-7 provides:

7. Recovery of overpayment of benefits. If an individual receives benefits for which the individual is subsequently determined to be ineligible, even though the individual acts in good faith and is not otherwise at fault, the benefits shall be recovered. The department in its discretion may recover the overpayment of benefits either by having a sum equal to the overpayment deducted from any future benefits payable to the individual or by having the individual pay to the department a sum equal to the overpayment.

If the department determines that an overpayment has been made, the charge for the overpayment against the employer's account shall be removed and the account shall be credited with an amount equal to the overpayment from the unemployment compensation trust fund and this credit shall include both contributory and reimbursable employers, notwithstanding section 96.8, subsection 5.

Because the claimant's separation was disqualifying, benefits were paid to which the claimant was not entitled. Those benefits must be recovered in accordance with the provisions of Iowa law.

DECISION:

The unemployment insurance decision dated January 23, 2007, reference 01, is reversed. The claimant is not eligible to receive unemployment insurance benefits because she was discharged from work for misconduct. Benefits are withheld until she has worked in and been paid wages for insured work equal to ten times her weekly benefit amount, provided she is otherwise eligible. The claimant is overpaid benefits in the amount of \$1,506.00.

Susan D. Ackerman Administrative Law Judge

Decision Dated and Mailed

sda/pjs