IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

CHRISTOPHER AGUILERA Claimant

APPEAL 21A-UI-01370-ED

ADMINISTRATIVE LAW JUDGE DECISION

PRESTAGE FOODS OF IOWA LLC Employer

> OC: 09/13/20 Claimant: Appellant (1)

Iowa Code § 96.5(2)a – Discharge for Misconduct Iowa Code § 96.5(1) – Discharge for Misconduct Iowa Code § 96.3(7) – Overpayment of Benefits Iowa Admin. Code r. 871-24.10 – Employer Participation at Fact-finding Interview

STATEMENT OF THE CASE:

The claimant/appellant filed an appeal from the December 10, 2020 (reference 01) unemployment insurance decision that found the claimant was not eligible for unemployment insurance benefits due to his separation from employment. The parties were properly notified of the hearing. A telephonic hearing was held on February 18, 2021. The claimant, Christopher Aguilera, participated personally. The employer, Prestage Foods of lowa LLC participated through witness Sarah Adams. No exhibits were received. The administrative law judge took official notice of the claimant's unemployment insurance benefits records.

ISSUES:

Was the claimant discharged for disqualifying job-related misconduct?

FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: Claimant was employed full time as a production team member. He was employed from May 4, 2020 until September 14, 2020, when he was discharged from employment. Claimant's immediate supervisor was Ted Berns.

The employer has a policy that allows up to 10 points. Once you reach ten points, you are terminated. On September 14, 2020, claimant called in and was absent. On September 11, 2020, claimant left work early. Claimant no showed for a meeting with Ms. Adams on September 11. On September 10 and September 9, claimant was absent. On September 8, claimant was absent for Covid as he was tested. August 28 claimant was absent. On August 27 was absent due to Covid testing. On August 26 claimant was absent due to Covid testing. On August 26 claimant was absent due to Covid testing. On August 14 he was absent. On August 13, 12 and 11, claimant was absent for Covid. On August 10, claimant was absent. On July 30, 29, 28 and 27, claimant was absent for Covid. On July 16, and 15, claimant was absent. On July 9,

claimant was late. On July 6, June 16, May 27, May 21 and May 21 claimant was absent. On May 15, May 14 and May 12, claimant left early.

On September 14, 2020 claimant obtained his 10 points and was discharged pursuant to the employer policy.

Between May 4, 2020 and September 14, 2020, Claimant was absent or tardy sixteen times.

REASONING AND CONCLUSIONS OF LAW:

For the reasons that follow, the administrative law judge concludes the claimant was discharged from employment due to job-related misconduct. Benefits are denied.

As a preliminary matter, I find that Claimant did not quit. Claimant was discharged from employment for job-related misconduct.

lowa Code § 96.5(2) a provides:

An individual shall be disqualified for benefits:

2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:

a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

lowa Admin. Code r. 871-24.32(1)a provides:

Discharge for misconduct.

(1) Definition.

a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

This definition has been accepted by the lowa Supreme Court as accurately reflecting the intent of the legislature. *Huntoon v. lowa Dep't of Job Serv.*, 275 N.W.2d 445, 448 (lowa 1979).

Iowa Admin. Code r. 871-24.32(4) provides:

(4) Report required. The claimant's statement and employer's statement must give detailed facts as to the specific reason for the claimant's discharge. Allegations of misconduct or dishonesty without additional evidence shall not be sufficient to result in disqualification. If the employer is unwilling to furnish available evidence to corroborate the allegation, misconduct cannot be established. In cases where a suspension or disciplinary layoff exists, the claimant is considered as discharged, and the issue of misconduct shall be resolved.

Iowa Admin. Code r.871-24.32(8) provides:

(8) Past acts of misconduct. While past acts and warnings can be used to determine the magnitude of a current act of misconduct, a discharge for misconduct cannot be based on such past act or acts. The termination of employment must be based on a current act.

lowa Admin. Code r. 871-24.32(7) provides:

(7) Excessive unexcused absenteeism. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer.

The employer has the burden of proof in establishing disqualifying job misconduct. *Cosper v. Iowa Dep't of Job Serv.*, 321 N.W.2d 6 (Iowa 1982). Excessive absences are not considered misconduct unless unexcused. *Id.* at 10. Absences due to properly reported illness cannot constitute work-connected misconduct since they are not volitional, even if the employer was fully within its rights to assess points or impose discipline up to or including discharge for the absence under its attendance policy. *Gaborit v. Emp't Appeal Bd.*, 743 N.W.2d 554 (Iowa Ct. App. 2007). Medical documentation is not essential to a determination that an absence due to illness should be treated as excused. *Id.* at 558.

Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct **except for illness or other reasonable grounds** for which the employee was absent and that were properly reported to the employer. lowa Admin. Code r. 871-24.32(7) (emphasis added); see *Higgins v. lowa Dep't of Job Serv.*, 350 N.W.2d 187, 190, n. 1 (lowa 1984) holding "rule [2]4.32(7)...accurately states the law." The requirements for a finding of misconduct based on absences are therefore twofold. First, the absences must be excessive. *Sallis v. Emp't Appeal Bd.*, 437 N.W.2d 895 (lowa 1989). The determination of whether unexcused absenteeism is excessive necessarily requires consideration of past acts and warnings. *Higgins*, 350 N.W.2d at 192 (lowa 1984). Second, the absences must be unexcused. *Cosper*, 321 N.W.2d at 10 (lowa 1982). The requirement of "unexcused" can be satisfied in two ways. An absence can be unexcused either because it was not for "reasonable grounds," *Higgins*, 350 N.W.2d at 191 or because it was not "properly reported." *Higgins*, 350 N.W.2d at 191 (lowa 1984) and *Cosper*, 321 N.W.2d at 10 (lowa 1982). Excused absences are those "with appropriate notice." *Cosper*, 321 N.W.2d at 10 (lowa 1982).

The term "absenteeism" also encompasses conduct that is more accurately referred to as "tardiness." An absence is an extended tardiness and an incident of tardiness is a limited absence. *Higgins*, 350 N.W.2d at 190 (lowa 1984). Absences related to issues of personal responsibility such as transportation, lack of childcare, and oversleeping is not considered excused. *Id.* at 191. Absences due to illness or injury must be properly reported in order to be excused. *Cosper*, 321 N.W.2d at 10-11 (lowa 1982). Absences in good faith, for good cause,

with appropriate notice, are not misconduct. *Id.* at 10. They may be grounds for discharge but not for disqualification of benefits because substantial disregard for the employer's interest is not shown and this is essential to a finding of misconduct. *Id.*

Excessive absenteeism has been found when there has been seven unexcused absences in five months; five unexcused absences and three instances of tardiness in eight months; three unexcused absences over an eight-month period; three unexcused absences over seven months; and missing three times after being warned. See Higgins, 350 N.W.2d at 192 (lowa 1984); Infante v. Iowa Dep't of Job Serv., 321 N.W.2d 262 (lowa App. 1984); Armel v. EAB, 2007 WL 3376929*3 (lowa App. Nov. 15, 2007); Hiland v. EAB, No. 12-2300 (lowa App. July 10, 2013); and Clark v. Iowa Dep't of Job Serv., 317 N.W.2d 517 (lowa App. 1982).

It is the duty of the administrative law judge as the trier of fact in this case, to determine the credibility of witnesses, weigh the evidence and decide the facts in issue. Arndt v. City of LeClaire, 728 N.W.2d 389, 394-395 (lowa 2007). The administrative law judge may believe all, part or none of any witness's testimony. State v. Holtz, 548 N.W.2d 162, 163 (lowa App. 1996). In assessing the credibility of witnesses, the administrative law judge should consider the evidence using his or her own observations, common sense and experience. Id.. In determining the facts, and deciding what testimony to believe, the fact finder may consider the following factors: whether the testimony is reasonable and consistent with other believable evidence; whether a witness has made inconsistent statements; the witness's appearance, conduct, age, intelligence, memory and knowledge of the facts; and the witness's interest in the trial, their motive, candor, bias and prejudice. Id. After assessing the credibility of the witnesses who testified during the hearing, considering the applicable factors listed above, and using her own common sense and experience, the administrative law judge finds the employer more credible than the claimant.

In this case, the claimant had received several verbal warnings, and skipped a final meeting with his supervisor to discuss his absenteeism. The claimant knew that he needed to come to work on time. He understood the attendance policy and knew that he needed to report any absences prior to his scheduled shift start times.

In this case, claimant had 16 unexcused absences in a four month time period, with three in a row just prior to his termination. These were not properly reported. 16 absences in four months is excessive.

The employer has established that the claimant was warned that further unexcused absences could result in termination of employment and the final incidents on September 9, 10 and 11, 2020 were not excused. The final absences, in combination with the claimant's history of unexcused absenteeism, amount to job-related misconduct. Benefits are denied.

DECISION:

The December 10, 2020, (reference 01) unemployment insurance decision is affirmed. The claimant was discharged from employment due to job-related misconduct. Benefits are withheld in regards to this employer until such time as he is deemed eligible.

Emily Drenkow Cam

Emily Drenkow Carr Administrative Law Judge

March 2, 2021 Decision Dated and Mailed

ed/scn