# IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

68-0157 (9-06) - 3091078 - EI

**ERICA L KENNIS** 

Claimant

APPEAL NO. 10A-UI-02907-S2T

ADMINISTRATIVE LAW JUDGE DECISION

**US BANK NATIONAL ASSOCIATION** 

Employer

OC: 01/24/10

Claimant: Respondent (1)

Section 96.5-2-a – Discharge for Misconduct

#### STATEMENT OF THE CASE:

US Bank National Association (employer) appealed a representative's February 15, 2010 decision (reference 01) that concluded Erica Kennis (claimant) was discharged and there was no evidence of willful or deliberate misconduct. After hearing notices were mailed to the parties' last-known addresses of record, a telephone hearing was scheduled for April 5, 2010. The claimant participated personally. The employer participated by Penny Guenther, Branch Manager, and William Holtz, Human Resources Generalist.

## **ISSUE:**

The issue is whether the claimant was separated from employment for any disqualifying reason.

#### FINDINGS OF FACT:

The administrative law judge, having heard the testimony and considered all of the evidence in the record, finds that: The claimant was hired on November 22, 2004, as a part-time teller. The employer issued the claimant written warnings on May 21, 2008, and December 23, 2009, for failure to follow instructions. The employer notified the claimant that further infractions could result in termination from employment.

The employer puts its handbook on line. Employees can view it if they wish. The employer has sick and vacation time that employees may take if they need time off. The claimant did not know what the handbook stated but her co-workers used sick time for many different reasons other than personal illness. On January 21, 2010, the claimant's children could not go to her in-laws' house for the day because the children had minor illnesses. The claimant reported to her supervisor that she needed to take a personal day. The supervisor approved the absence even though the employer did not have personal time. At some point the claimant went to the gym to work out while someone else watched the children. The husband of the branch manager saw the claimant.

On January 23, 2010, the claimant asked the same supervisor for advice regarding completion of her time record. The claimant asked if she should record the time as sick or vacation time. The supervisor told the claimant to record the time as sick time. On January 25, 2010, the

employer terminated the claimant for falsifying her time record. The claimant recorded she was sick and went to the gym to work out.

## **REASONING AND CONCLUSIONS OF LAW:**

For the reasons that follow the administrative law judge concludes the claimant was not discharged for misconduct.

Iowa Code section 96.5-2-a provides:

An individual shall be disqualified for benefits:

- 2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:
- a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

871 IAC 24.32(1)a provides:

Discharge for misconduct.

- (1) Definition.
- a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

The employer has the burden of proof in establishing disqualifying job misconduct. <u>Cosper v. lowa Department of Job Service</u>, 321 N.W.2d 6 (lowa 1982). Misconduct serious enough to warrant discharge is not necessarily serious enough to warrant a denial of job insurance benefits. Such misconduct must be "substantial." <u>Newman v. lowa Department of Job Service</u>, 351 N.W.2d 806 (lowa App. 1984).

An employer may discharge an employee for any number of reasons or no reason at all, but if it fails to meet its burden of proof to establish job-related misconduct as the reason for the separation, employer incurs potential liability for unemployment insurance benefits related to that separation. Inasmuch as employer had not previously warned claimant about any of the issues leading to the separation, it has not met the burden of proof to establish that claimant acted deliberately or negligently in violation of company policy, procedure, or prior warning. If an employer expects an employee to conform to certain expectations or face discharge,

Appeal No. 10A-UI-02907-S2T

appropriate (preferably written), detailed, and reasonable notice should be given. The employer did not provide sufficient evidence of job-related misconduct. The employer did not meet its burden of proof to show misconduct. Benefits are allowed.

# **DECISION:**

The representative's February 15, 2010 decision (reference 01) is affirmed. The employer has not met its proof to establish job-related misconduct. Benefits are allowed.

Beth A. Scheetz Administrative Law Judge

Decision Dated and Mailed

bas/css