IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

68-0157 (9-06) - 3091078 - El

MARIA J JACKSON Claimant

APPEAL NO. 11A-UI-06416-JTT

ADMINISTRATIVE LAW JUDGE DECISION

STREAM INTERNATIONAL INC

Employer

OC: 04/17/11 Claimant: Respondent (2-R)

Iowa Code Section 96.5(2)(a) – Discharge for Misconduct

STATEMENT OF THE CASE:

The employer filed a timely appeal from the May 6, 2011, reference 01, decision that allowed benefits. After due notice was issued, a hearing was held on June 8, 2011. Claimant Maria Jackson did not respond to the hearing notice instructions to provide a telephone number for the hearing and did not participate. Human Resources Generalist Staci Albert represented the employer and presented additional testimony through Team Manager Mike Saunders. Exhibits One through Nine were received into evidence.

ISSUE:

Whether the claimant was discharged for misconduct in connection with the employment that disqualifies the claimant for unemployment insurance benefits.

FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: Maria Jackson was employed by Stream International as a full-time customer support professional from September 2010 until April 13, 2011, when Team Manager Mike Saunders discharged her for attendance.

The employer's written attendance policy required that Ms. Jackson telephone the employer at least one hour prior to the scheduled start of her shift if she needed to be absent or late. The policy required that Ms. Jackson speak directly with an operations planning representative or leave information on the contact line voicemail. Ms. Jackson was aware of the attendance policy.

The final absences that triggered the discharge occurred on April 12 and 13, 2011. On each day, Ms. Jackson notified the employer that she would be late for personal reasons and then failed to appear for her shift. When Team Manager Mike Saunders attempted to reach Ms. Jackson, he learned that Ms. Jackson's telephone number had been disconnected.

In making the decision to discharge Ms. Jackson from the employment, the employer considered prior absences. On October 6 and 20, 2010, Ms. Jackson was late for personal

reasons. On October 31, 2010, Ms. Jackson was absent due to illness, notified the employer of the absence, but did not contact the employer at least an hour before her shift. On November 13 and December 21, 2010, Ms. Jackson was absent due to illness properly reported to the employer. On February 15, 2011, Ms. Jackson was absent due to an unspecified "family emergency" and properly notified the employer. On February 28, 2011, Ms. Jackson was late for personal reasons. On March 3, 2011, Ms. Jackson was absent for personal reasons and properly reported the absence to the employer. On March 24, 2011, Ms. Jackson was absent due to illness properly reported to the employer.

In making the decision to discharge Ms. Jackson from the employment, the employer considered prior reprimands for attendance issued on February 18, March 22, and March 29, 2011.

REASONING AND CONCLUSIONS OF LAW:

Iowa Code section 96.5-2-a provides:

An individual shall be disqualified for benefits:

2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:

a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

871 IAC 24.32(1)a provides:

Discharge for misconduct.

(1) Definition.

a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

The employer has the burden of proof in this matter. See Iowa Code section 96.6(2). Misconduct must be substantial in order to justify a denial of unemployment benefits. Misconduct serious enough to warrant the discharge of an employee is not necessarily serious enough to warrant a denial of unemployment benefits. See Lee v. Employment Appeal Board, 616 N.W.2d 661 (Iowa 2000). The focus is on deliberate, intentional, or culpable acts by the employee. See <u>Gimbel v. Employment Appeal Board</u>, 489 N.W.2d 36, 39 (Iowa Ct. App. 1992).

While past acts and warnings can be used to determine the magnitude of the current act of misconduct, a discharge for misconduct cannot be based on such past act(s). The termination of employment must be based on a current act. See 871 IAC 24.32(8). In determining whether the conduct that prompted the discharge constituted a "current act," the administrative law judge considers the date on which the conduct came to the attention of the employer and the date on which the employer notified the claimant that the conduct subjected the claimant to possible discharge. See also <u>Greene v. EAB</u>, 426 N.W.2d 659, 662 (Iowa App. 1988).

Allegations of misconduct or dishonesty without additional evidence shall not be sufficient to result in disqualification. If the employer is unwilling to furnish available evidence to corroborate the allegation, misconduct cannot be established. See 871 IAC 24.32(4). When it is in a party's power to produce more direct and satisfactory evidence than is actually produced, it may fairly be inferred that the more direct evidence will expose deficiencies in that party's case. See <u>Crosser v. lowa Dept. of Public Safety</u>, 240 N.W.2d 682 (lowa 1976).

In order for a claimant's absences to constitute misconduct that would disqualify the claimant from receiving unemployment insurance benefits, the evidence must establish that the claimant's *unexcused* absences were excessive. See 871 IAC 24.32(7). The determination of whether absenteeism is excessive necessarily requires consideration of past acts and warnings. However, the evidence must first establish that the most recent absence that prompted the decision to discharge the employee was unexcused. See 871 IAC 24.32(8). Absences related to issues of personal responsibility such as transportation and oversleeping are considered unexcused. On the other hand, absences related to illness are considered excused, provided the employee has complied with the employer's policy regarding notifying the employer of the absence. Tardiness is a form of absence. See <u>Higgins v. Iowa Department of Job Service</u>, 350 N.W.2d 187 (Iowa 1984).

The weight of the evidence establishes unexcused absences on October 6, 20, and 31, February 15 and 28, March 3, and April 12 and 13. The rest of the absences were for illness properly reported to the employer and were excused absences under the applicable law. Ms. Jackson's unexcused absences were excessive. Several of the absences occurred in the context of repeated warnings for attendance. Ms. Jackson knew at the time of the final two unexcused absences that her job was in jeopardy due to attendance.

Based on the evidence in the record and application of the appropriate law, the administrative law judge concludes that Ms. Jackson was discharged for misconduct. Accordingly, Ms. Jackson is disqualified for benefits until she has worked in and been paid wages for insured work equal to ten times her weekly benefit amount, provided she is otherwise eligible. The employer's account shall not be charged for benefits paid to Ms. Jackson.

lowa Code section 96.3(7) provides that benefits must be recovered from a claimant who receives benefits and is later determined to be ineligible for benefits, even though the claimant acted in good faith and was not otherwise at fault. The overpayment recovery law was updated in 2008. See Iowa Code section 96.3(7)(b). Under the revised law, a claimant will not be required to repay an overpayment of benefits if all of the following factors are met. First, the prior award of benefits must have been made in connection with a decision regarding the claimant's separation from a particular employment. Second, the claimant must not have engaged in fraud or willful misrepresentation to obtain the benefits or in connection with the Agency's initial decision to award benefits. Third, the employer must not have participated at the initial fact-finding proceeding that resulted in the initial decision to award benefits. If Workforce Development determines there has been an overpayment of benefits, the employer

will not be charged for the benefits, regardless of whether the claimant is required to repay the benefits.

Because the claimant has been deemed ineligible for benefits, any benefits the claimant has received would constitute an overpayment. Accordingly, the administrative law judge will remand the matter to the Claims Division for determination of whether there has been an overpayment, the amount of the overpayment, and whether the claimant will have to repay the benefits.

DECISION:

The Agency representative's May 6, 2011, reference 01, decision is reversed. The claimant was discharged for misconduct. The claimant is disqualified for unemployment benefits until she has worked in and been paid wages for insured work equal to ten times her weekly benefit allowance, provided she meets all other eligibility requirements. The employer's account will not be charged.

This matter is remanded to the Claims Division for determination of whether there has been an overpayment, the amount of the overpayment, and whether the claimant will have to repay the benefits.

James E. Timberland Administrative Law Judge

Decision Dated and Mailed

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