# IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

**MELISSA M SCHWIERJOHANN** 

Claimant

**APPEAL 20A-UI-08161-ED-T** 

ADMINISTRATIVE LAW JUDGE DECISION

**CARE INITIATIVES** 

Employer

OC: 05/03/20

Claimant: Appellant (1)

Iowa Code § 96.5(2)a – Discharge for Misconduct Iowa Code § 96.5(1) – Voluntary Quitting

#### STATEMENT OF THE CASE:

The claimant/appellant filed an appeal from the July 1, 2020 (reference 01) unemployment insurance decision that disallowed benefits based upon claimant's discharge from employment. The parties were properly notified of the hearing. A telephone hearing was held on August 24, 2020. The claimant, Melissa Schwierjohann, participated personally. Gina Harkrider participated on behalf of claimant. The employer, Care Initiatives, participated through Jennifer Groenwold and Nick Jedlicka. Employer's Exhibit 1-6 was admitted.

## **ISSUES:**

Was the claimant discharged for disqualifying job-related misconduct?

Did claimant voluntarily quit the employment with good cause attributable to employer?

## FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: Claimant was employed full-time as a Director of Nursing. Claimant's role as Director of Nursing included being responsible for managing resident falls. Claimant was employed from May 16, 2016 until May 1, 2020, when she was discharged from employment. Nick Jedlicka was claimant's immediate supervisor.

This employer has a written disciplinary policy in place that states a violation of its Standards of Conduct, HIPAA and Compliance Training policies could lead to corrective action, up to and including discharge. Claimant signed an acknowledgement of the policies and had daily access to the policies as part of her job duties as a Director of Nursing.

The incident leading to discharge occurred on May 1, 2020. Claimant was discharged for misconduct. There was a resident fall that occurred on the overnight hours of April 16 to 17, 2020 that was undocumented. The expectation is that a resident's fall is to be documented as soon as possible, preferably during the shift that the fall occurred. Staffmember, Jordan Drake approached Nick Jedlicka on April 20, 2020 to ask why the resident's fall had not been documented or addressed yet. This was the first that Mr. Jedlicka had learned of the resident's

fall. Mr. Jedlicka asked the claimant to look into the fall. Later that same day, the claimant approached Jordan Drake at the nursing station and told her she felt very disrespected and that she should've gone directly to claimant to report the fall and not to Mr. Jedlicka. Claimant told Jordan Drake about the importance of following the chain of command and that if things like this continued, Jordan Drake's job would be in jeopardy. Ms. Drake reported this conversation to Mr. Jedlicka.

On May 1, 2020, Mr. Jedlicka called claimant into his office to ask her about the conversation that Jordan Drake had reported to him. Claimant confirmed that she told Jordan Drake she felt disrespected and that if things like this happened again, Ms. Drake's job would be in jeopardy. Mr. Jedlicka informed claimant that falls needed to be recorded and documented in accordance with federal regulations. Because claimant advised Ms. Drake not to report falls to Mr. Jedlicka immediately, because claimant threatened Ms. Drake's job, and because the fall had not been reported in a timely manner, Mr. Jedlicka terminated claimant's employment, effective immediately.

#### **REASONING AND CONCLUSIONS OF LAW:**

For the reasons that follow, the administrative law judge concludes the claimant was discharged for job-related misconduct. Benefits are denied.

As a preliminary matter, I find that the Claimant did not quit. Claimant was discharged from employment.

Iowa Code § 96.5(2)a provides:

An individual shall be disqualified for benefits:

- 2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:
- a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

Iowa Admin. Code r. 871-24.32(1)a provides:

Discharge for misconduct.

- (1) Definition.
- a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith

errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

This definition has been accepted by the Iowa Supreme Court as accurately reflecting the intent of the legislature. *Huntoon v. Iowa Dep't of Job Serv.*, 275 N.W.2d 445, 448 (Iowa 1979).

Iowa Admin. Code r. 871-24.32(4) provides:

(4) Report required. The claimant's statement and employer's statement must give detailed facts as to the specific reason for the claimant's discharge. Allegations of misconduct or dishonesty without additional evidence shall not be sufficient to result in disqualification. If the employer is unwilling to furnish available evidence to corroborate the allegation, misconduct cannot be established. In cases where a suspension or disciplinary layoff exists, the claimant is considered as discharged, and the issue of misconduct shall be resolved.

Iowa Admin. Code r.871-24.32(8) provides:

(8) Past acts of misconduct. While past acts and warnings can be used to determine the magnitude of a current act of misconduct, a discharge for misconduct cannot be based on such past act or acts. The termination of employment must be based on a current act.

It is the duty of the administrative law judge as the trier of fact in this case, to determine the credibility of witnesses, weigh the evidence and decide the facts in issue. *Arndt v. City of LeClaire*, 728 N.W.2d 389, 394-395 (Iowa 2007). The administrative law judge may believe all, part or none of any witness's testimony. *State v. Holtz*, 548 N.W.2d 162, 163 (Iowa App. 1996). In assessing the credibility of witnesses, the administrative law judge should consider the evidence using his or her own observations, common sense and experience. *Id.* In determining the facts, and deciding what testimony to believe, the fact finder may consider the following factors: whether the testimony is reasonable and consistent with other believable evidence; whether a witness has made inconsistent statements; the witness's appearance, conduct, age, intelligence, memory and knowledge of the facts; and the witness's interest in the trial, their motive, candor, bias and prejudice. *Id.* After assessing the credibility of the witnesses who testified during the hearing, considering the applicable factors listed above, and using her own common sense and experience, the Administrative Law Judge finds that Mr. Jedlicka's testimony is more credible than claimant's testimony.

The employer has the burden of proof in establishing disqualifying job misconduct. *Cosper v. Iowa Dep't of Job Serv.*, 321 N.W.2d 6 (Iowa 1982). The issue is not whether the employer made a correct decision in separating claimant, but whether the claimant is entitled to unemployment insurance benefits. *Infante v. Iowa Dep't of Job Serv.*, 364 N.W.2d 262 (Iowa Ct. App. 1984). Misconduct serious enough to warrant discharge is not necessarily serious enough to warrant a denial of job insurance benefits. Such misconduct must be "substantial." *Newman v. Iowa Dep't of Job Serv.*, 351 N.W.2d 806 (Iowa Ct. App. 1984). The focus of the administrative code definition of misconduct is on deliberate, intentional or culpable acts by the employee. *Id.* When based on carelessness, the carelessness must actually indicate a "wrongful intent" to be disqualifying in nature. *Id.* Negligence does not constitute misconduct unless recurrent in nature; a single act is not disqualifying unless indicative of a deliberate disregard of the employer's interests. *Henry v. Iowa Dep't of Job Serv.*, 391 N.W.2d 731 (Iowa Ct. App. 1986). Further, poor work performance is not misconduct in the absence of evidence of intent. *Miller v. Emp't Appeal Bd.*, 423 N.W.2d 211 (Iowa Ct. App. 1988). The law limits

disqualifying misconduct to substantial and willful wrongdoing or repeated carelessness or negligence that equals willful misconduct in culpability. Lee v. Employment Appeal Bd., 616 N.W.2d 661 (Iowa 2000). A lapse of 11 days from final act until discharge when claimant was notified on fourth day that his conduct was grounds for dismissal did not make final act a "past act". Greene v. Emp't Appeal Bd., 426 N.W.2d 659 (Iowa Ct. App. 1988).

This was not an incident of carelessness or poor work performance. Claimant intentionally confronted staff member Jordan Drake and told her she felt disrespected when Ms. Drake asked administrator, Mr. Jedlicka, why a resident's fall was undocumented and unaddressed instead of asking the claimant. Because of the serious nature and federal regulatory implications of reporting resident falls timely, advising a staff member not to report a resident fall to the administrator was a substantial violation of the employer's policies and procedures of standards of conduct.

The employer has a right to expect that an employee will not jeopardize the liability of the employer by intentionally violating policies that are in place. There is substantial evidence in the record to support the conclusion that claimant deliberately violated these rightful expectations in this case. Accordingly, the employer has met its burden of proof in establishing that the claimant's conduct consisted of deliberate acts that constituted an intentional and substantial disregard of the employer's interests. These actions rise to the level of willful misconduct. As such, benefits are denied.

## **DECISION:**

The July 1, 2020 (reference 01) unemployment insurance decision is affirmed. Claimant was discharged from employment for job-related misconduct. Benefits are withheld in regards to this employer until such time as claimant is deemed eligible.

Emily Drenkow Carr Administrative Law Judge

Emily Drenkow Ca

September 3, 2020
Decision Dated and Mailed

ed/scn