# IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

**WILLIAM G GUGENHAN** 

Claimant

**APPEAL 18A-UI-01559-NM-T** 

ADMINISTRATIVE LAW JUDGE DECISION

**ORSCHELN FARM & HOME LLC** 

Employer

OC: 06/25/17

Claimant: Appellant (1)

Iowa Code § 96.6(2) – Timeliness of Appeal

### STATEMENT OF THE CASE:

The claimant filed an appeal from the July 19, 2017, (reference 01) unemployment insurance decision that denied benefits based upon his discharge for leaving work without permission. The parties were properly notified of the hearing. A telephone hearing was held on February 27, 2018. The claimant participated and testified. Also present on behalf of the claimant was witness Mike Rose. The employer participated through Jeffrey Lecher. Department's Exhibit D-1 was received.

#### ISSUE:

Is the claimant's appeal is timely?

### FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: A disqualifying unemployment insurance decision was mailed to the claimant's last known address of record on July 19, 2017. He received the decision within the appeal period. The decision contained a warning that an appeal must be postmarked or received by the Appeals Bureau by July 29, 2017. Claimant testified he believed he had properly filed an appeal online using a neighbor's phone at the time he received the decision and prior to July 29, 2017. Claimant's appeal was not received. After several months had passed, sometime in either November or December claimant contacted Iowa Workforce Development to ask about his appeal. Claimant testified he did not call sooner because he did not have a phone. Claimant was maintaining some contact with his neighbors during this time, but did not ask to borrow their phone to call about his appeal. When claimant finally spoke to someone at IWD in November/December, he learned his appeal had not been received and he would need to refile. Claimant was advised that the best way to do this would be online, though he also received the appeal instructions indicating the appeal could also be mailed. Claimant did not file his appeal via regular mail because he had difficulty writing and did not have any stamps or envelopes. Claimant did not have access to a computer and it was another month or so before he could get into Clarinda to use a computer to file his appeal. Claimant's appeal was not filed until February 2, 2018.

### **REASONING AND CONCLUSIONS OF LAW:**

For the reasons that follow, the administrative law judge concludes the claimant's appeal is untimely.

Iowa Code § 96.6(2) provides:

Initial determination. A representative designated by the director shall promptly notify all interested parties to the claim of its filing, and the parties have ten days from the date of mailing the notice of the filing of the claim by ordinary mail to the last known address to protest payment of benefits to the claimant. The representative shall promptly examine the claim and any protest, take the initiative to ascertain relevant information concerning the claim, and, on the basis of the facts found by the representative, shall determine whether or not the claim is valid, the week with respect to which benefits shall commence, the weekly benefit amount payable and its maximum duration, and whether any disqualification shall be imposed. The claimant has the burden of proving that the claimant meets the basic eligibility conditions of § 96.4. The employer has the burden of proving that the claimant is disqualified for benefits pursuant to § 96.5, except as provided by this subsection. The claimant has the initial burden to produce evidence showing that the claimant is not disqualified for benefits in cases involving § 96.5, subsection 10, and has the burden of proving that a voluntary quit pursuant to § 96.5, subsection 1, was for good cause attributable to the employer and that the claimant is not disqualified for benefits in cases involving § 96.5, subsection 1, paragraphs "a" through "h". Unless the claimant or other interested party, after notification or within ten calendar days after notification was mailed to the claimant's last known address, files an appeal from the decision, the decision is final and benefits shall be paid or denied in accordance with the decision. If an administrative law judge affirms a decision of the representative, or the appeal board affirms a decision of the administrative law judge allowing benefits, the benefits shall be paid regardless of any appeal which is thereafter taken, but if the decision is finally reversed, no employer's account shall be charged with benefits so paid and this relief from charges shall apply to both contributory and reimbursable employers, notwithstanding § 96.8, subsection 5.

The ten calendar days for appeal begins running on the mailing date. The "decision date" found in the upper right-hand portion of the representative's decision, unless otherwise corrected immediately below that entry, is presumptive evidence of the date of mailing. *Gaskins v. Unempl. Comp. Bd. of Rev.*, 429 A.2d 138 (Pa. Comm. 1981); *Johnson v. Bd. of Adjustment*, 239 N.W.2d 873, 92 A.L.R.3d 304 (Iowa 1976).

Here, claimant's appeal was due by July 29, 2017. Claimant provided credible testimony that he initially believed he filed an appeal within that time frame. However, claimant did not follow up with lowa Workforce Development until four to five months went by without him hearing anything. When it was confirmed to claimant that his prior appeal was not received, he waiting another month before a second appeal was filed. While the hearing officer is sympathetic to claimant's struggles and the obstacles he had in filing his appeal, he has not shown good cause for the delay in filing.

The record in this case shows that more than ten calendar days elapsed between the mailing date and the date this appeal was filed. The Iowa Supreme Court has declared that there is a

mandatory duty to file appeals from representatives' decisions within the time allotted by statute, and that the administrative law judge has no authority to change the decision of a representative if a timely appeal is not filed. *Franklin v. Iowa Dep't of Job Serv.*, 277 N.W.2d 877, 881 (Iowa 1979). Compliance with appeal notice provisions is jurisdictional unless the facts of a case show that the notice was invalid. *Beardslee v. Iowa Dep't of Job Serv.*, 276 N.W.2d 373, 377 (Iowa 1979); see also *In re Appeal of Elliott*, 319 N.W.2d 244, 247 (Iowa 1982). The question in this case thus becomes whether the appellant was deprived of a reasonable opportunity to assert an appeal in a timely fashion. *Hendren v. Iowa Emp't Sec. Comm'n*, 217 N.W.2d 255 (Iowa 1974); *Smith v. Iowa Emp't Sec. Comm'n*, 212 N.W.2d 471, 472 (Iowa 1973). The record shows that the appellant did have a reasonable opportunity to file a timely appeal.

The administrative law judge concludes that failure to file a timely appeal within the time prescribed by the Iowa Employment Security Law was not due to any Agency error or misinformation or delay or other action of the United States Postal Service pursuant to Iowa Admin. Code r. 871-24.35(2). The administrative law judge further concludes that the appeal was not timely filed pursuant to Iowa Code § 96.6(2), and the administrative law judge lacks jurisdiction to make a determination with respect to the nature of the appeal. See *Beardslee v. Iowa Dep't of Job Serv.*, 276 N.W.2d 373 (Iowa 1979) and *Franklin v. Iowa Dep't of Job Serv.*, 277 N.W.2d 877 (Iowa 1979).

## **DECISION:**

nm/rvs

The July 19, 2017, (reference 01) unemployment insurance decision is affirmed. The appeal in this case was not timely, and the decision of the representative remains in effect.

Nicole Merrill Administrative Law Judge	
Decision Dated and Mailed	