# IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

THOMAS M MARX

Claimant

**APPEAL 16A-UI-07171-DL-T** 

ADMINISTRATIVE LAW JUDGE DECISION

IOWA WORKFORCE DEVELOPMENT DEPARTMENT

OC: 06/19/16

Claimant: Appellant (1)

Iowa Code § 96.6(1) – Filing Claims Iowa Admin. Code r. 871-24.2(1)a & h(1) & (2) – Backdating

#### STATEMENT OF THE CASE:

Claimant filed a timely appeal from the June 23, 2016, (reference 01) unemployment insurance decision that denied the request to backdate the claim for benefits prior to June 19, 2016. After due notice was issued, a hearing was scheduled to be held by telephone conference call on July 18, 2016. Claimant participated.

## **ISSUE:**

May the claim be backdated prior to June 19, 2016?

# **FINDINGS OF FACT:**

Having reviewed all of the evidence in the record, the administrative law judge finds: The claimant filed a claim for benefits with an effective date of June 19, 2016, and desires to backdate the claim to June 5, 2016. Claimant initially asked to backdate the claim because he did not think he would need the money but changed his mind. His appeal letter said he delayed filing because he was unaware of how the process worked even though he knew he was eligible. He said he had difficulty with the web site and had a friend help him on Sunday, June 19. Filing an initial claim on a Sunday is not possible. At hearing claimant testified he delayed filing the claim because attempted to file his claim on June 2 or 3, 2016, and was unable to get the claim filed online so he had his stepmother help him as soon as he was able to drive to her home two weeks later. He did not attempt to contact IWD customer service by telephone.

## **REASONING AND CONCLUSIONS OF LAW:**

For the reasons that follow, the administrative law judge concludes the claimant's request to backdate the claim is denied.

Iowa Code § 96.6-1 provides:

1. Filing. Claims for benefits shall be made in accordance with such regulations as the department may prescribe.

Iowa Admin. Code r. 871-24.2(1)h(1) and (2) provide:

Procedures for workers desiring to file a claim for benefits for unemployment insurance.

- (1) Section 96.6 of the employment security law of lowa states that claims for benefits shall be made in accordance with such rules as the department prescribes. The department of workforce development accordingly prescribes:
- h. Effective starting date for the benefit year.
- (1) Filing for benefits shall be effective as of Sunday of the current calendar week in which, subsequent to the individual's separation from work, an individual reports in person at a workforce development center and registers for work in accordance with paragraph "a" of this rule.
- (2) The claim may be backdated prior to the first day of the calendar week in which the claimant does report and file a claim for the following reasons:

Backdated prior to the week in which the individual reported if the individual presents to the department sufficient grounds to justify or excuse the delay;

There is scheduled filing in the following week because of a mass layoff;

The failure of the department to recognize the expiration of the claimant's previous benefit year;

The individual is given incorrect advice by a workforce development employee;

The claimant filed an interstate claim against another state which has been determined as ineligible;

Failure on the part of the employer to comply with the provisions of the law or of these rules;

Coercion or intimidation exercised by the employer to prevent the prompt filing of such claim:

Failure of the department to discharge its responsibilities promptly in connection with such claim, the department shall extend the period during which such claim may be filed to a date which shall be not less than one week after the individual has received appropriate notice of potential rights to benefits, provided, that no such claim may be filed after the 13 weeks subsequent to the end of the benefit year during which the week of unemployment occurred. In the event continuous jurisdiction is exercised under the provisions of the law, the department may, in its discretion, extend the period during which claims, with respect to week of unemployment affected by such redetermination, may be filed.

The Unemployment Insurance Benefits Handbook, provides in pertinent part at page 5:

#### **CLAIM EFFECTIVE DATE**

The effective date of all UI claims, regardless of filing method, will be the Sunday of the week in which the application was filed.

and

#### **REACTIVATING A CLAIM**

An individual can start and stop claiming weekly benefits as many times as necessary during the benefit year. This is called a break in reporting status. Any break in reporting requires the individual to file another initial claim application during the week they want to start collecting benefits again.

The Unemployment Insurance Benefits Handbook, provides in pertinent part at page 9:

## **CLAIMING BENEFITS EACH WEEK**

To request UI benefit payments during weeks of unemployment, individuals must certify they:

- are currently unemployed or working reduced hours
- are able to work and available for work
- have not refused any job offers or referrals to a job
- are actively looking for work (unless waived)
- are reporting any pay or pension payment received

Eligibility can be certified online or by phone.

## **CERTIFYING ONLINE**

The weekly continued claim is available on the IWD website at www.iowaworkforcedevelopment.gov. Individuals must follow the instructions to log in. Once logged in, eligibility questions are presented.

# **CERTIFYING BY TELEPHONE**

The weekly continued claim is available by calling the Interactive Voice Response unit (IVR) at 800-850-5627. Pre-recorded messages will present the eligibility questions.

Individuals should listen carefully to each question and the instructions since the menu responses may be different. Some questions will instruct individuals to enter the pound key/hash tag (#) at the end of the answer.

**IMPORTANT**: Both systems (whether filing online or by phone) will confirm that the claim has been processed successfully. If disconnected before seeing or hearing this message, the process must be repeated until the claim has been successfully submitted.

The current week is the week that just ended on Saturday.

#### HOURS TO SUBMIT WEEKLY CONTINUED CLAIM

Individuals may submit a weekly continued claim:

Monday through Friday, 8:00 am to 6:00 pm 9:00 am Saturday to 11:30 pm, Sunday

The claimant's testimony is generally not considered credible as it is inconsistent with prior statements to IWD. Further, failure to investigate availability of unemployment insurance benefits when not related to misinformation is not a good reason to delay filing a claim for benefits.

## **DECISION:**

The June 23, 2016, (reference 01) unemployment insurance decision is affirmed. The claimant's request to backdate the claim to June 5, 2016, is denied.

D' M.I.

Dévon M. Lewis Administrative Law Judge

Decision Dated and Mailed

dml/pjs