IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

SHEENA M DUNSTON

Claimant

APPEAL 21A-UI-02385-DZ-T

ADMINISTRATIVE LAW JUDGE DECISION

VETTERS INC CULLIGAN WATER TECHNO

Employer

OC: 10/11/20

Claimant: Appellant (1)

Iowa Code § 96.5(2)a – Discharge for Misconduct Iowa Code § 96.5(1) – Voluntary Quit

STATEMENT OF THE CASE:

Sheena M Dunston, the claimant/appellant, filed an appeal from the December 18, 2020, (reference 01) unemployment insurance decision that denied benefits. The parties were properly notified of the hearing. A telephone hearing was held on March 4, 2021. Ms. Dunston participated and testified. The employer participated through Kelsey Dettbarn, administrative manager and Sean McCarthy, president. Claimant's Exhibit A and B and Employer's Exhibit 1 were admitted into evidence.

ISSUE:

Was Ms. Dunston discharged for misconduct?

FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: Ms. Dunston began working for the employer on September 3, 2019. She worked as a full-time customer service representative. Her employment was terminated on October 9, 2020.

The employer's policy provides that employees must use an app on the company provided phone to clock in at the beginning of their shift and clock out at the end of their shift. The policy prohibits employees from using their personal phone to clock in or out. Employees are required to clock in when they arrive in the building. Employees are required to arrive at work on time. The policy further provides that employee's lunch break is thirty minutes. Ms. Dunston acknowledged receiving the policy by signing the handbook on her hire date

Ms. Dunston was given warnings on February 13, April 27, April 29, May 1, May 27, June 2 and July 27 for being late to work, late clocking in after lunch, and/or clocking in before she arrived in the building. Ms. Dunston used her personal phone to clock in and out. On July 27, the employer began requiring Ms. Dunston to clock in and clock out for lunch, in addition to clocking in at the beginning of her shift and clocking out at the end of her shift. Ms. Dunston was warned again on September 15 for the same issues and placed on a 90-day probationary period. Ms. Dunston was given a final warning on October 5 for the same issues and placed on a 90-day

probationary period again. On October 6, Ms. Dunston clocked in before she was in the building. The primary reason Ms. Dunston was late getting to work or returning from lunch was due to childcare issues. Another reason Ms. Dunston was late returning from lunch was that another employee who was not her manager told her that her lunch break was 30-40 minutes. On October 9, the employer terminated her employment.

REASONING AND CONCLUSIONS OF LAW:

For the reasons that follow, the administrative law judge concludes Ms. Dunston was discharged from employment due to job-related misconduct.

Iowa Code section 96.5(2)a provides:

An individual shall be disqualified for benefits:

- 2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:
- a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

871 IAC 24.32(1)a provides:

Discharge for misconduct.

- (1) Definition.
- a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

This definition has been accepted by the lowa Supreme Court as accurately reflecting the intent of the legislature. *Huntoon v. lowa Department of Job Service*, 275 N.W.2d 445, 448 (lowa 1979).

The employer has the burden of proof in establishing disqualifying job misconduct. *Cosper v. lowa Dep't of Job Serv.*, 321 N.W.2d 6 (lowa 1982). The issue is not whether the employer made a correct decision in separating claimant, but whether the claimant is entitled to unemployment insurance benefits. *Infante v. lowa Dep't of Job Serv.*, 364 N.W.2d 262 (lowa

Ct. App. 1984). Misconduct must be "substantial" to warrant a denial of job insurance benefits. *Newman v. Iowa Dep't of Job Serv.*, 351 N.W.2d 806 (Iowa Ct. App. 1984).

Iowa Admin. Code r. 871-24.32(7) provides:

(7) Excessive unexcused absenteeism. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer.

Iowa Admin. Code r. 871-24.32(8) provides:

(8) Past acts of misconduct. While past acts and warnings can be used to determine the magnitude of a current act of misconduct, a discharge for misconduct cannot be based on such past act or acts. The termination of employment must be based on a current act.

Excessive absences are not considered misconduct unless unexcused. The term "absenteeism" also encompasses conduct that is more accurately referred to as "tardiness." An absence is an extended tardiness; and an incident of tardiness is a limited absence. The requirements for a finding of misconduct based on absences are therefore twofold. First, the absences must be excessive. Sallis v. Emp't Appeal Bd., 437 N.W.2d 895 (lowa 1989). The determination of whether unexcused absenteeism is excessive necessarily requires consideration of past acts and warnings. Higgins v. lowa Dep't of Job Serv., 350 N.W.2d 187, 192 (lowa 1984). Second, the absences must be unexcused. Cosper, 321 N.W.2d at 10. The requirement of "unexcused" can be satisfied in two ways. An absence can be unexcused either because it was not for "reasonable grounds," Higgins, 350 N.W.2d at 191, or because it was not "properly reported," holding excused absences are those "with appropriate notice." Cosper, 321 N.W.2d at 10.

Absences due to properly reported illness cannot constitute work-connected misconduct since they are not volitional, even if the employer was fully within its rights to assess points or impose discipline up to or including discharge for the absence under its attendance policy. Iowa Admin. Code r. 871-24.32(7); Cosper, 321 N.W.2d at 9; Gaborit v. Emp't Appeal Bd., 734 N.W.2d 554 (Iowa Ct. App. 2007). Medical documentation is not essential to a determination that an absence due to illness should be treated as excused. See Gaborit, 734 N.W.2d at 555-558. An employer's no-fault absenteeism policy or point system is not dispositive of the issue of qualification for unemployment insurance benefits. Absences related to issues of personal responsibility such as transportation, lack of childcare, and oversleeping are not considered excused. Higgins, 350 N.W.2d at 191. When claimant does not provide an excuse for an absence the absences is deemed unexcused. Id.; see also Spragg v. Becker-Underwood, Inc., 672 N.W.2d 333, 2003 WL 22339237 (Iowa App. 2003).

Excessive absenteeism has been found when there have been seven unexcused absences in five months; five unexcused absences and three instances of tardiness in eight months; three unexcused absences over an eight-month period; three unexcused absences over seven months; and missing three times after being warned. See Higgins, 350 N.W.2d at 192 (Iowa 1984); Infante v. Iowa Dep't of Job Serv., 364 N.W.2d 262 (Iowa App. 1984); Armel v. EAB, 2007 WL 3376929*3 (Iowa App. Nov. 15, 2007); Hiland v. EAB, No. 12-2300 (Iowa App. July 10, 2013); and Clark v. Iowa Dep't of Job Serv., 317 N.W.2d 517 (Iowa App. 1982).

The employer is entitled to establish reasonable work rules and expect employees to abide by them. The employer has presented credible evidence that Ms. Dunston continued to be late to

work, clocked in late after lunch, and/or clocked in before she arrived in the building after having been warned multiple times. This is disqualifying misconduct. Benefits are denied.

DECISION:

The December 18, 2020, (reference 01) unemployment insurance decision is affirmed. Ms. Dunston was discharged from employment due to job-related misconduct. Benefits are withheld until such time as she has worked in and been paid wages for insured work equal to ten times her weekly benefit amount, provided she is otherwise eligible.

Daniel Zeno

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March 10, 2021

Decision Dated and Mailed

dz/kmj