IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

68-0157 (9-06) - 3091078 - EI

ALISON L SLY Claimant

APPEAL NO. 07A-UI-04338-S2T

ADMINISTRATIVE LAW JUDGE DECISION

ALLEN MEMORIAL HOSPITAL

Employer

OC: 03/18/07 R: 02 Claimant: Respondent (1)

Section 96.6-2 – Timeliness of Appeal Section 96.5-2-a – Discharge for Misconduct

STATEMENT OF THE CASE:

Allen Memorial Hospital (employer) appealed a representative's April 12, 2007 decision (reference 02) that concluded Alison Sly (claimant) was discharged and there was no evidence of willful or deliberate misconduct. After hearing notices were mailed to the parties' last-known addresses of record, a telephone hearing was held on May 15, 2007. The claimant participated personally. The employer participated by Nathan Stucky, Human Resources Manager; Sarah Bruner, Long Term Care Manager; and Beverly Meester, Director of Nursing. Exhibit D-1 was received into evidence.

ISSUE:

The issue is whether the appeal was filed in a timely manner and, if so, whether the claimant was separated from employment for any disqualifying reason.

FINDINGS OF FACT:

The administrative law judge, having heard the testimony and having considered all of the evidence in the record, finds that: The claimant was hired on August 1, 2005, as a full-time certified nurse aid and certified medication aid. The employer issued the claimant warnings on February 14, August 10, and November 7, 2006, because her behavior was snappy, bossy, short, explosive, and she "copped an attitude". The claimant did not agree with the warnings, because all the employees were acting the same way. The employer never saw the claimant act in this manner.

On March 16, 2007, the employer had a meeting with the claimant. They were going to terminate the claimant for her behavior, for failing to follow transfer policies and for absenteeism. The claimant transferred residents alone when the employer did not schedule another employee to work with the claimant. She was absent eight days in the preceding year for properly reported illness.

A disqualification decision was mailed to the employer's address of record on April 12, 2007. The employer did not receive the decision. It telephoned Iowa Workforce Development on

April 27, 2007, asking if a decision had been issued. Iowa Workforce Development faxed the employer a copy of the decision immediately. The employer appealed the decision that same day.

The testimony of the employer and claimant was inconsistent. The administrative law judge finds the claimant's testimony to be more credible because the employer did not provide a firsthand witness to the behavior for which the claimant was terminated.

REASONING AND CONCLUSIONS OF LAW:

The first issue to be considered in this appeal is whether the employer's appeal is timely. The administrative law judge determines it is.

Iowa Code section 96.6-2 provides:

2. Initial determination. A representative designated by the director shall promptly notify all interested parties to the claim of its filing, and the parties have ten days from the date of mailing the notice of the filing of the claim by ordinary mail to the last known address to protest payment of benefits to the claimant. The representative shall promptly examine the claim and any protest, take the initiative to ascertain relevant information concerning the claim, and, on the basis of the facts found by the representative, shall determine whether or not the claim is valid, the week with respect to which benefits shall commence, the weekly benefit amount payable and its maximum duration, and whether any disgualification shall be imposed. The claimant has the burden of proving that the claimant meets the basic eligibility conditions of section 96.4. The employer has the burden of proving that the claimant is disgualified for benefits pursuant to section 96.5, except as provided by this subsection. The claimant has the initial burden to produce evidence showing that the claimant is not disgualified for benefits in cases involving section 96.5, subsection 10, and has the burden of proving that a voluntary guit pursuant to section 96.5, subsection 1, was for good cause attributable to the employer and that the claimant is not disgualified for benefits in cases involving section 96.5, subsection 1, paragraphs "a" through "h". Unless the claimant or other interested party, after notification or within ten calendar days after notification was mailed to the claimant's last known address, files an appeal from the decision, the decision is final and benefits shall be paid or denied in accordance with the decision. If an administrative law judge affirms a decision of the representative, or the appeal board affirms a decision of the administrative law judge allowing benefits, the benefits shall be paid regardless of any appeal which is thereafter taken, but if the decision is finally reversed, no employer's account shall be charged with benefits so paid and this relief from charges shall apply to both contributory and reimbursable employers, notwithstanding section 96.8, subsection 5.

The employer did not have an opportunity to appeal the fact-finder's decision, because the decision was not received. Without notice of a disqualification, no meaningful opportunity for appeal exists. See *Smith v. Iowa Employment Security Commission*, 212 N.W.2d 471, 472 (Iowa 1973). The employer timely appealed the decision as soon as it became aware of its existence. Therefore, the appeal shall be accepted as timely.

The next issue is whether the claimant was discharged for misconduct. The administrative law judge concludes she was not discharged for misconduct.

Iowa Code section 96.5-2-a provides:

An individual shall be disqualified for benefits:

2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:

a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

871 IAC 24.32(1)a provides:

Discharge for misconduct.

(1) Definition.

a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

871 IAC 24.32(8) provides:

(8) Past acts of misconduct. While past acts and warnings can be used to determine the magnitude of a current act of misconduct, a discharge for misconduct cannot be based on such past act or acts. The termination of employment must be based on a current act.

The employer has the burden of proof in establishing disqualifying job misconduct. Excessive absences are not misconduct unless unexcused. Absences due to properly reported illness can never constitute job misconduct since they are not volitional. <u>Cosper v. Iowa Department of Job Service</u>, 321 N.W.2d 6 (Iowa 1982). The employer must establish not only misconduct, but that there was a final incident of misconduct which precipitated the discharge. All incidents of absence were for a properly reported illness. The claimant's absences do not amount to job misconduct, because they were properly reported.

If a party has the power to produce more explicit and direct evidence than it chooses to do, it may be fairly inferred that other evidence would lay open deficiencies in that party's case. <u>Crosser v. Iowa Department of Public Safety</u>, 240 N.W.2d 682 (Iowa 1976). The administrative law judge concludes that the hearsay evidence provided by the employer regarding the claimant's behavior is not more persuasive than the claimant's denial of such conduct. Poor work performance is not misconduct in the absence of evidence of intent. <u>Miller v.</u> <u>Employment Appeal Board</u>, 423 N.W.2d 211 (Iowa App. 1988). The employer discharged the claimant for lifting residents alone and has the burden of proof to show evidence of intent. The employer did not provide any evidence of intent at the hearing. The claimant's failure to use a two-person lift was a problem the employer needed to correct by scheduling more employees.

The employer has not carried its burden of proof to establish that the claimant committed any act of misconduct in connection with employment for which she was discharged. Misconduct has not been established. The claimant is allowed unemployment insurance benefits.

DECISION:

The representative's April 12, 2007 decision (reference 02) is affirmed. The employer's appeal is timely. The claimant was discharged. Misconduct has not been established. Benefits are allowed, provided the claimant is otherwise eligible.

Beth A. Scheetz Administrative Law Judge

Decision Dated and Mailed

bas/kjw