### IOWA DEPARTMENT OF INSPECTIONS AND APPEALS ADMINISTRATIVE HEARINGS DIVISION, UI APPEALS BUREAU

MIAMBER STECKMAN Claimant

#### APPEAL 22A-UI-17778-ED-T

ADMINISTRATIVE LAW JUDGE DECISION

# PROGRESS INDUSTRIES

Employer

OC: 10/17/21 Claimant: Respondent (02)

Iowa Code § 96.5(2)a – Discharge for Misconduct Iowa Code § 96.5(1) – Voluntary Quitting Iowa Code § 96.3(7) – Overpayment of Benefits Iowa Admin. Code r. 871-24.10

## STATEMENT OF THE CASE:

The employer filed an appeal from the September 30, 2022, (reference 04) unemployment insurance decision that allowed benefits based upon claimant's discharge from employment. The parties were properly notified of the hearing. A telephone hearing was held on November 1, 2022. The claimant, Miamber Steckman, participated personally. The employer, Progress Industries, participated through Pam Eiler and Sandy Ham. Employer's Exhibits A and B were admitted.

### **ISSUES:**

Was the claimant discharged for disqualifying job-related misconduct? Did claimant voluntarily quit the employment with good cause attributable to employer?

### FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: Claimant was employed beginning July 11, 2022 time as a full-time day habilitation manager. Claimant's immediate supervisor was Angela Wells. The last day the claimant physically worked for the company was August 29, 2022. The claimant was discharged from employment on September 6, 2022. The claimant showed up to work on September 5 and the building was locked. She went up to the main building. Sandy Ham told her they were terminating her employment. was discharged from employment for failing to have her time off approved and for failing to report to work for four consecutive days in a row. The four days were August 30, August 31, September 1 and September 2, 2022. The employer has a policy that all time off must be pre-approved. The claimant must notify the supervisor if unable to attend work at least one hour prior to attending work. On June 23, 2022 the claimant acknowledged receipt of the policy. On the evening of August 30, the claimant sent an email stating she would not return to work until September 2. The claimant did not provide notice for August 30 or September 2. The claimant was not eligible for time off yet because she was still in the probationary period. On August 24, 2022, the claimant was warned about missing work.

Claimant had received a verbal warning regarding her attendance and failure to adhere to the policy requiring her to properly report her absences. The most recent warning prior to the final incident occurred on August 24, 2022.

On August 30, August 31, September 1 and September 2, the claimant did not attend work due to her grandparent's death and the process of moving into a new home after a fire destroyed the old home. Claimant was not approved for the four days off. Further, the claimant did not properly report her absence on August 30 or September 2, 2022 prior to her scheduled shift start time.

## **REASONING AND CONCLUSIONS OF LAW:**

For the reasons that follow, the administrative law judge concludes the claimant was discharged from employment due to job-related misconduct. Benefits are denied.

As a preliminary matter, I find that Claimant did not quit. Claimant was discharged from employment for job-related misconduct.

lowa Code § 96.5(2) a provides:

An individual shall be disqualified for benefits:

2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:

a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

lowa Admin. Code r. 871-24.32(1)a provides:

Discharge for misconduct.

(1) Definition.

a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

This definition has been accepted by the lowa Supreme Court as accurately reflecting the intent of the legislature. *Huntoon v. lowa Dep't of Job Serv.*, 275 N.W.2d 445, 448 (lowa 1979).

Iowa Admin. Code r. 871-24.32(4) provides:

(4) Report required. The claimant's statement and employer's statement must give detailed facts as to the specific reason for the claimant's discharge. Allegations of misconduct or dishonesty without additional evidence shall not be sufficient to result in disqualification. If the employer is unwilling to furnish available evidence to corroborate the allegation, misconduct cannot be established. In cases where a suspension or disciplinary layoff exists, the claimant is considered as discharged, and the issue of misconduct shall be resolved.

lowa Admin. Code r.871-24.32(8) provides:

(8) Past acts of misconduct. While past acts and warnings can be used to determine the magnitude of a current act of misconduct, a discharge for misconduct cannot be based on such past act or acts. The termination of employment must be based on a current act.

Iowa Admin. Code r. 871-24.32(7) provides:

(7) Excessive unexcused absenteeism. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer.

The employer has the burden of proof in establishing disqualifying job misconduct. *Cosper v. Iowa Dep't of Job Serv.*, 321 N.W.2d 6 (Iowa 1982). Excessive absences are not considered misconduct unless unexcused. *Id.* at 10. Absences due to properly reported illness cannot constitute work-connected misconduct since they are not volitional, even if the employer was fully within its rights to assess points or impose discipline up to or including discharge for the absence under its attendance policy. *Gaborit v. Emp't Appeal Bd.*, 743 N.W.2d 554 (Iowa Ct. App. 2007). Medical documentation is not essential to a determination that an absence due to illness should be treated as excused. *Id.* at 558.

Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct **except for illness or other reasonable grounds** for which the employee was absent and that were properly reported to the employer. lowa Admin. Code r. 871-24.32(7) (emphasis added); see *Higgins v. lowa Dept of Job Serv.*, 350 N.W.2d 187, 190, n. 1 (lowa 1984) holding "rule [2]4.32(7)...accurately states the law." The requirements for a finding of misconduct based on absences are therefore twofold. First, the absences must be excessive. *Sallis v. Empt Appeal Bd.*, 437 N.W.2d 895 (lowa 1989). The determination of whether unexcused absenteeism is excessive necessarily requires consideration of past acts and warnings. *Higgins*, 350 N.W.2d at 192 (lowa 1984). Second, the absences must be unexcused. *Cosper*, 321 N.W.2d at 10 (lowa 1982). The requirement of "unexcused" can be satisfied in two ways. An absence can be unexcused either because it was not for "reasonable grounds," *Higgins*, 350 N.W.2d at 191 or because it was not "properly reported." *Higgins*, 350 N.W.2d at 191 (lowa 1984) and *Cosper*, 321 N.W.2d at 10 (lowa 1982). Excused absences are those "with appropriate notice." *Cosper*, 321 N.W.2d at 10 (lowa 1982).

The term "absenteeism" also encompasses conduct that is more accurately referred to as "tardiness." An absence is an extended tardiness and an incident of tardiness is a limited absence. *Higgins*, 350 N.W.2d at 190 (lowa 1984). Absences related to issues of personal responsibility such as transportation, lack of childcare, and oversleeping is not considered

excused. *Id.* at 191. Absences due to illness or injury must be properly reported in order to be excused. *Cosper*, 321 N.W.2d at 10-11 (lowa 1982). Absences in good faith, for good cause, with appropriate notice, are not misconduct. *Id.* at 10. They may be grounds for discharge but not for disqualification of benefits because substantial disregard for the employer's interest is not shown and this is essential to a finding of misconduct. *Id.* 

Excessive absenteeism has been found when there has been seven unexcused absences in five months; five unexcused absences and three instances of tardiness in eight months; three unexcused absences over an eight-month period; three unexcused absences over seven months; and missing three times after being warned. *See Higgins*, 350 N.W.2d at 192 (lowa 1984); *Infante v. Iowa Dept of Job Serv.*, 321 N.W.2d 262 (lowa App. 1984); *Armel v. EAB*, 2007 WL 3376929\*3 (lowa App. Nov. 15, 2007); *Hiland v. EAB*, No. 12-2300 (lowa App. July 10, 2013); and *Clark v. Iowa Dept of Job Serv.*, 317 N.W.2d 517 (lowa App. 1982).

In this case, the claimant had received one verbal warning on August 24, 2022 regarding attendance. The claimant knew that he needed to come to work on time. She understood the attendance policy and knew that she needed to report any absences prior to her scheduled shift start times.

In this case claimant had two unexcused absences August 30 and September 2. These absences were not due to the claimant's illness. Two absences in one week is excessive.

The employer has established that the claimant was warned that further unexcused absences could result in termination of employment and the final incidents on August 30 and September 2, 2022 were not excused. The absences amount to job-related misconduct. Benefits are denied.

### **DECISION:**

The September 30, 2022, (reference 04) unemployment insurance decision is reversed. The claimant was discharged from employment due to job-related misconduct. Benefits are withheld in regards to this employer until such time as she is deemed eligible.

Emily Drenkow Can

Emily Drenkow Carr Administrative Law Judge

<u>November 7, 2022</u> Decision Dated and Mailed

ed/mh

**APPEAL RIGHTS.** If you disagree with the decision, you or any interested party may:

1. Appeal to the Employment Appeal Board within fifteen (15) days of the date under the judge's signature by submitting a written appeal via mail, fax, or online to:

#### Employment Appeal Board 4<sup>th</sup> Floor – Lucas Building Des Moines, Iowa 50319 Fax: (515)281-7191 Online: eab.iowa.gov

The appeal period will be extended to the next business day if the last day to appeal falls on a weekend or a legal holiday.

AN APPEAL TO THE BOARD SHALL STATE CLEARLY:

- 1) The name, address, and social security number of the claimant.
- 2) A reference to the decision from which the appeal is taken.
- 3) That an appeal from such decision is being made and such appeal is signed.
- 4) The grounds upon which such appeal is based.

An Employment Appeal Board decision is final agency action. If a party disagrees with the Employment Appeal Board decision, they may then file a petition for judicial review in district court.

2. If no one files an appeal of the judge's decision with the Employment Appeal Board within fifteen (15) days, the decision becomes final agency action, and you have the option to file a petition for judicial review in District Court within thirty (30) days after the decision becomes final. Additional information on how to file a petition can be found at low a Code §17A.19, which is online at <a href="https://www.legis.iowa.gov/docs/code/17A.19.pdf">https://www.legis.iowa.gov/docs/code/17A.19.pdf</a> Or by contacting the District Court Clerk of Court <a href="https://www.iowacourts.gov/iowa-courts/court-directory/">https://www.iowacourts.gov/iowa-courts/court-directory/</a>.

**Note to Parties:** YOU MAY REPRESENT yourself in the appeal or obtain a law yer or other interested party to do so provided there is no expense to Workforce Development. If you wish to be represented by a law yer, you may obtain the services of either a private attorney or one whose services are paid for with public funds.

Note to Claimant: It is important that you file your weekly claim as directed, while this appeal is pending, to protect your continuing right to benefits.

#### SERVICE INFORMATION:

A true and correct copy of this decision was mailed to each of the parties listed.

DERECHOS DE APELACIÓN. Si no está de acuerdo con la decisión, usted o cualquier parte interesada puede:

1. Apelar a la Junta de Apelaciones de Empleo dentro de los quince (15) días de la fecha bajo la firma del juez presentando una apelación por escrito por correo, fax o en línea a:

#### Employment Appeal Board 4th Floor – Lucas Building Des Moines, Iowa 50319 Fax: (515)281-7191 En línea: eab.iowa.gov

El período de apelación se extenderá hasta el siguiente día hábil si el último día para apelar cae en fin de semana o día feriado legal.

UNA APELACIÓN A LA JUNTA DEBE ESTABLECER CLARAMENTE:

1) El nombre, dirección y número de seguro social del reclamante.

2) Una referencia a la decisión de la que se toma la apelación.

3) Que se interponga recurso de apelación contra tal decisión y se firme dicho recurso.

4) Los fundamentos en que se funda dicho recurso.

Una decisión de la Junta de Apelaciones de Empleo es una acción final de la agencia. Si una de las partes no está de acuerdo con la decisión de la Junta de Apelación de Empleo, puede presentar una petición de revisión judicial en el tribunal de distrito.

2. Si nadie presenta una apelación de la decisión del juez ante la Junta de Apelaciones Laborales dentro de los quince (15) días, la decisión se convierte en acción final de la agencia y usted tiene la opción de presentar una petición de revisión judicial en el Tribunal de Distrito dentro de los treinta (30) días después de que la decisión adquiera firmeza. Puede encontrar información adicional sobre cómo presentar una petición en el Código de low a §17A.19, que se encuentra en línea en https://w ww.legis.iowa.gov/docs/code/17A.19.pdf o comunicándose con el Tribunal de Distrito Secretario del tribunal https://w ww.iowacourts.gov/iowa-courts/court-directory/.

**Nota para las partes:** USTED PUEDE REPRESENTARSE en la apelación u obtener un abogado u otra parte interesada para que lo haga, siempre que no haya gastos para Workforce Development. Si desea ser representado por un abogado, puede obtener los servicios de un abogado privado o uno cuyos servicios se paguen con fondos públicos.

**Nota para el reclamante:** es importante que presente su reclamo semanal según las instrucciones, mientras esta apelación está pendiente, para proteger su derecho continuo a los beneficios.

#### SERVICIO DE INFORMACIÓN:

Se envió por correo una copia fiel y correcta de esta decisión a cada una de las partes enumeradas.