# IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

68-0157 (9-06) - 3091078 - EI

**LUCINDA M ALLEN** 

Claimant

**APPEAL NO. 11A-UI-07996-JTT** 

ADMINISTRATIVE LAW JUDGE DECISION

**WAL-MART STORES INC** 

Employer

OC: 05/08/11

Claimant: Appellant (2-R)

Section 96.5(2)(a) – Discharge for Misconduct

### STATEMENT OF THE CASE:

Lucinda Allen filed a timely appeal from the June 6, 2011, reference 02, decision that denied benefits. After due notice was issued, a hearing was held on July 14, 2011. Ms. Allen participated. Assistant Manager Stacey Vannoni represented the employer. Exhibits One, Two, Four, Five and A were received into evidence.

#### ISSUE:

Whether the claimant was discharged for misconduct in connection with the employment that disqualifies the claimant for unemployment insurance benefits.

## **FINDINGS OF FACT:**

Having reviewed all of the evidence in the record, the administrative law judge finds: Lucinda Allen was employed by Wal-Mart as a full-time deli associate from 2009 until May 10, 2011, when Assistant Manager Rosalee Roland discharged her for attendance. The final absences that triggered the discharge occurred on May 6, 7, and 8, 2011. Each was for illness and was properly reported to the employer. Ms. Allen had gone home early on May 2 due to illness and had property notified her supervisor before she left. The next most recent absence was on March 2, 2011.

## **REASONING AND CONCLUSIONS OF LAW:**

Iowa Code section 96.5-2-a provides:

An individual shall be disqualified for benefits:

- 2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:
- a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

871 IAC 24.32(1)a provides:

Discharge for misconduct.

- (1) Definition.
- a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

The employer has the burden of proof in this matter. See Iowa Code section 96.6(2). Misconduct must be substantial in order to justify a denial of unemployment benefits. Misconduct serious enough to warrant the discharge of an employee is not necessarily serious enough to warrant a denial of unemployment benefits. See <a href="Lee v. Employment Appeal Board">Lee v. Employment Appeal Board</a>, 616 N.W.2d 661 (Iowa 2000). The focus is on deliberate, intentional, or culpable acts by the employee. See <a href="Gimbel v. Employment Appeal Board">Gimbel v. Employment Appeal Board</a>, 489 N.W.2d 36, 39 (Iowa Ct. App. 1992).

While past acts and warnings can be used to determine the magnitude of the current act of misconduct, a discharge for misconduct cannot be based on such past act(s). The termination of employment must be based on a current act. See 871 IAC 24.32(8). In determining whether the conduct that prompted the discharge constituted a "current act," the administrative law judge considers the date on which the conduct came to the attention of the employer and the date on which the employer notified the claimant that the conduct subjected the claimant to possible discharge. See also <u>Greene v. EAB</u>, 426 N.W.2d 659, 662 (lowa App. 1988).

Allegations of misconduct or dishonesty without additional evidence shall not be sufficient to result in disqualification. If the employer is unwilling to furnish available evidence to corroborate the allegation, misconduct cannot be established. See 871 IAC 24.32(4). When it is in a party's power to produce more direct and satisfactory evidence than is actually produced, it may fairly be inferred that the more direct evidence will expose deficiencies in that party's case. See Crosser v. lowa Dept. of Public Safety, 240 N.W.2d 682 (lowa 1976).

In order for a claimant's absences to constitute misconduct that would disqualify the claimant from receiving unemployment insurance benefits, the evidence must establish that the claimant's *unexcused* absences were excessive. See 871 IAC 24.32(7). The determination of whether absenteeism is excessive necessarily requires consideration of past acts and warnings. However, the evidence must first establish that the most recent absence that prompted the decision to discharge the employee was unexcused. See 871 IAC 24.32(8). Absences related to issues of personal responsibility, such as transportation and oversleeping, are considered unexcused. On the other hand, absences related to illness are considered excused, provided the employee has complied with the employer's policy regarding notifying the employer of the

absence. Tardiness is a form of absence. See <u>Higgins v. Iowa Department of Job Service</u>, 350 N.W.2d 187 (Iowa 1984).

The evidence in the record establishes that Ms. Allen's discharge was based on a series of final absences that were all for illness properly reported to the employer. Each was an excused absence under the applicable law and cannot be used as a basis for disqualifying Ms. Allen for unemployment insurance benefits. Ms. Allen was discharged for no disqualifying reason. Accordingly, Ms. Allen is eligible for benefits, provided she is otherwise eligible. The employer's account may be charged for benefits paid to Ms. Allen.

The issue of whether Ms. Allen has been able to work and available for work since she filed her claim for benefits was listed as an issue for the appeal hearing, even though that issue had not been addressed in the decision from which Ms. Allen appealed. The administrative law judge concludes there is insufficient medical evidence in the record upon which to determine that set of issues. Accordingly, the matter will be remanded to the Claims Division for investigation into and determination of whether Ms. Allen has been able to work and available for work since she established her claim for benefits.

#### **DECISION:**

The Agency representative's June 6, 2011, reference 02, decision is reversed. The claimant was discharged for no disqualifying reason. The claimant is eligible for benefits, provided she is otherwise eligible. The employer's account may be charged.

The matter is remanded to the Claims Division for investigation into and determination of whether the claimant has been able to work and available for work since she established her claim for benefits.

James E. Timberland	
Administrative Law Judge	
Decision Dated and Mailed	
jet/kjw	