IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

68-0157 (9-06) - 3091078 - EI

THOMASIN M COLE

Claimant

APPEAL NO: 11A-UI-10835-DT

ADMINISTRATIVE LAW JUDGE

DECISION

FIVE STAR QUALITY CARE INC

Employer

OC: 07/17/11

Claimant: Respondent (1)

Section 96.5-2-a – Discharge

STATEMENT OF THE CASE:

Five Star Quality Care, Inc. (employer) appealed a representative's August 9, 2011 decision (reference 01) that concluded Thomasin M. Cole (claimant) was qualified to receive unemployment insurance benefits after a separation from employment. After hearing notices were mailed to the parties' last-known addresses of record, a telephone hearing was held on September 8, 2011. The claimant participated in the hearing. The employer failed to respond to the hearing notice by contacting the Appeals Section and providing a telephone number at which a witness or representative could be reached for the hearing and did not participate in the hearing. Based on the evidence, the arguments of the claimant, and the law, the administrative law judge enters the following findings of fact, reasoning and conclusions of law, and decision.

ISSUE:

Was the claimant discharged for work-connected misconduct?

FINDINGS OF FACT:

The claimant started working for the employer on or about April 5, 2004. She worked full time as a certified nursing aide (CNA) in the employer's ICFMR (intermediate care facility for mentally retarded). She worked overnight from 9:45 p.m. to 6:15 a.m. Her last day of work was the shift from the evening of July 10 into the morning of July 11, 2011. The employer suspended her on July 11, and discharged her on July 18, 2011. The reason asserted for the discharge was inappropriate interaction, failing to follow a support plan, and not doing documentation of a behavior, all related to an alleged incident on the evening of July 10.

On the evening of July 10 a resident did have a behavior which resulted in the intervention of three staff persons, including the claimant. The roommate of the resident, who was known to fabricate stories, later claimed that the three staff persons had physically restrained the resident who had exhibited a behavior, which was not allowed under that resident's support plan. The claimant denied that there was any physical restraint of the resident; therefore there was no inappropriate interaction or failure to follow the support plan. She acknowledged that there had not been proper documentation of the behavior which resulted in the intervention, but indicated that this duty was usually completed by either the team leader or the primary staff person

assigned responsibility for that resident, of which the claimant was neither. The employer discharged the claimant due to the allegations of the resident's roommate.

REASONING AND CONCLUSIONS OF LAW:

A claimant is not qualified to receive unemployment insurance benefits if an employer has discharged the claimant for reasons constituting work-connected misconduct. Iowa Code § 96.5-2-a. Before a claimant can be denied unemployment insurance benefits, the employer has the burden to establish the claimant was discharged for work-connected misconduct. Cosper v. IDJS, 321 N.W.2d 6 (Iowa 1982). The question is not whether the employer was right to terminate the claimant's employment, but whether the claimant is entitled to unemployment insurance benefits. Infante v. IDJS, 364 N.W.2d 262 (Iowa App. 1984). What constitutes misconduct justifying termination of an employee and what is misconduct that warrants denial of unemployment insurance benefits are two separate matters. Pierce v. IDJS, 425 N.W.2d 679 (Iowa App. 1988).

In order to establish misconduct such as to disqualify a former employee from benefits an employer must establish the employee was responsible for a deliberate act or omission which was a material breach of the duties and obligations owed by the employee to the employer. 871 IAC 24.32(1)a; Huntoon v. lowa Department of Job Service, 391 N.W.2d 731, 735 (Iowa App. 1986). The conduct must show a willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. 871 IAC 24.32(1)a; Huntoon, supra; Henry, supra. In contrast, mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute. 871 IAC 24.32(1)a; Huntoon, supra; Newman v. lowa Department of Job Service, 351 N.W.2d 806 (Iowa App. 1984).

The reason cited by the employer for discharging the claimant was based upon the allegation there having been an inappropriate physical restraint of a resident. Assessing the credibility of the witnesses and reliability of the evidence in conjunction with the applicable burden of proof, as shown in the factual conclusions reached in the above-noted findings of fact, the administrative law judge concludes that the employer has not satisfied its burden to establish by a preponderance of the evidence that there was a physical restraint or that the claimant was responsible for the failure to properly document the behavior which resulted in the staff intervention. The employer has not met its burden to show disqualifying misconduct. Cosper, supra. Based upon the evidence provided, the claimant's actions were not misconduct within the meaning of the statute, and the claimant is not disqualified from benefits.

DECISION:

The representative's August 9, 2011 decision (reference 01) is affirmed. The employer did discharge the claimant but not for disqualifying reasons. The claimant is qualified to receive unemployment insurance benefits, if she is otherwise eligible.

Lynette A. F. Donner Administrative Law Judge

Decision Dated and Mailed

Id/css