IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

CIERA CARR

Claimant

APPEAL 18A-UI-02828-LJ-T

ADMINISTRATIVE LAW JUDGE DECISION

IMAGINE THE POSSIBILITIES INC

Employer

OC: 01/28/18

Claimant: Respondent (1)

Iowa Code § 96.5(2)a – Discharge for Misconduct

Iowa Code § 96.3(7) - Recovery of Benefit Overpayment

Iowa Admin. Code r. 871-24.10 - Employer/Representative Participation Fact-finding Interview

STATEMENT OF THE CASE:

The employer filed an appeal from the February 22, 2018, (reference 01) unemployment insurance decision that allowed benefits based upon a determination that claimant was discharged and the employer did not establish she was discharged for willful or deliberate misconduct. The parties were properly notified of the hearing. A telephone hearing was held on March 28, 2018. The claimant, Ciera Carr, participated. The employer, Imagine the Possibilities, Inc., participated through Maggie Mulhausen, Personnel Administrator; and Anna Kuhl, QIPD Manager for Prairie House. Employer's Exhibits 1 through 27 was received and admitted into the record. The administrative law judge took official notice of the administrative record and the fact-finding documents.

ISSUES:

Was the claimant discharged for disqualifying job-related misconduct?

Has the claimant been overpaid unemployment insurance benefits, and if so, can the repayment of those benefits to the agency be waived?

Can charges to the employer's account be waived?

FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: Claimant was employed full-time, most recently as a direct support professional, from February 25, 2016, until February 2, 2018, when she was discharged. Claimant was working on January 14, 2018, the date of the final incident. That day, the first-shift employees told claimant that a certain individual had not been eating. At suppertime, claimant was trying to help him eat. She held a spoonful of food next to his mouth, and if he raised his hands up, she touched them and he put them down. Claimant had been trained to use this method for this particular individual by her co-workers on both first and second shift. This method had always worked to get the individual to eat. After trying this, claimant's team lead told her, "I don't think he's hungry. Why don't you go get a plate of food?" Claimant was not aware at the time that she had done anything wrong. On January 25 or 26, someone reported this incident to Kuhl. Kuhl immediately suspended

claimant pending an investigation. She was discharged approximately one week later for this incident.

In November 2017, claimant received a final notice for using inappropriate language. The employer alleges claimant swore at or in front of one of the individuals she was serving. She also made comments to her co-workers about quitting her job. She made these comments in front of the individuals she served. After this incident, the employer had claimant re-take the Crisis Prevention and Intervention class to try and help her further develop skills to remain calm and cope with stress. Claimant had received warnings in the past for using her cell phone, for insubordination, and for neglecting her job duties.

The administrative record reflects that claimant has received unemployment benefits in the amount of \$2,536.00, since filing a claim with an effective date of January 28, 2018, for the eight weeks ending March 24, 2018. The administrative record also establishes that the employer did participate in the fact-finding interview, make a first-hand witness available for rebuttal, or provide written documentation that, without rebuttal, would have resulted in disqualification. Both Mulhausen and Kuhl participated in the fact-finding interview.

REASONING AND CONCLUSIONS OF LAW:

For the reasons that follow, the administrative law judge concludes claimant was discharged from employment for no disqualifying reason. Benefits are allowed, provided she is otherwise eligible.

Iowa Code § 96.5(2)a provides:

An individual shall be disqualified for benefits:

- 2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:
- a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

Iowa Admin. Code r. 871-24.32(1)a provides:

Discharge for misconduct.

- (1) Definition.
- a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or

incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

This definition has been accepted by the Iowa Supreme Court as accurately reflecting the intent of the legislature. *Huntoon v. Iowa Dep't of Job Serv.*, 275 N.W.2d 445, 448 (Iowa 1979).

It is the duty of the administrative law judge as the trier of fact in this case, to determine the credibility of witnesses, weigh the evidence and decide the facts in issue. *Arndt v. City of LeClaire*, 728 N.W.2d 389, 394-395 (Iowa 2007). The administrative law judge may believe all, part or none of any witness's testimony. *State v. Holtz*, 548 N.W.2d 162, 163 (Iowa App. 1996). In assessing the credibility of witnesses, the administrative law judge should consider the evidence using his or her own observations, common sense and experience. *Id.*. In determining the facts, and deciding what testimony to believe, the fact finder may consider the following factors: whether the testimony is reasonable and consistent with other believable evidence; whether a witness has made inconsistent statements; the witness's appearance, conduct, age, intelligence, memory and knowledge of the facts; and the witness's interest in the trial, their motive, candor, bias and prejudice. *Id.* After assessing the credibility of the witnesses who testified during the hearing, considering the applicable factors listed above, and using her own common sense and experience, the administrative law judge finds the claimant provided credible testimony regarding the events of January 14, 2018.

In an at-will employment environment an employer may discharge an employee for any number of reasons or no reason at all if it is not contrary to public policy, but if it fails to meet its burden of proof to establish job related misconduct as the reason for the separation, it incurs potential liability for unemployment insurance benefits related to that separation. A determination as to whether an employee's act is misconduct does not rest solely on the interpretation or application of the employer's policy or rule. A violation is not necessarily disqualifying misconduct even if the employer was fully within its rights to impose discipline up to or including discharge for the incident under its policy.

An employee is entitled to fair warning that the employer will no longer tolerate certain performance and conduct. Without fair warning, an employee has no reasonable way of knowing that there are changes that need be made in order to preserve the employment. If an employer expects an employee to conform to certain expectations or face discharge, appropriate (preferably written), detailed, and reasonable notice should be given. Training or general notice to staff about a policy is not considered a disciplinary warning. In this case, claimant had never been warned for her feeding practices in the past. Additionally, claimant was feeding the individual in a manner that other people did routinely without apparent discipline. The conduct for which claimant was discharged was at most an isolated incident of poor judgment and inasmuch as employer had not previously warned claimant about the issue leading to the separation, it has not met the burden of proof to establish that claimant acted deliberately or with recurrent negligence in violation of company policy, procedure, or prior warning. Benefits are allowed. As claimant's separation from employment is not disqualifying, the issues of overpayment, repayment, and chargeability are moot.

DECISION:

The February 22, 2018, (reference 01) unemployment insurance decision is affirmed.	Claimant
was discharged from employment for no disqualifying reason. Benefits are allowed,	provided
she is otherwise eligible. The issues of overpayment, repayment, and chargeability are	e moot.

Elizabeth A. Johnson Administrative Law Judge

Decision Dated and Mailed

lj/scn