# IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

68-0157 (9-06) - 3091078 - EI

**JOSEPH D PARKER** 

Claimant

APPEAL NO. 19A-UI-00190-S1-T

ADMINISTRATIVE LAW JUDGE DECISION

**QPS EMPLOYMENT GROUP INC** 

Employer

OC: 12/09/18

Claimant: Respondent (2)

Section 96.5-1-j – Separation from Temporary Employer Section 96.3-7 – Overpayment

#### STATEMENT OF THE CASE:

QPS Employment Group (employer) appealed a representative's January 4, 2019, decision (reference 04) that concluded Joseph Parker (claimant) was eligible to receive unemployment insurance benefits. After hearing notices were mailed to the parties' last-known addresses of record, a telephone hearing was scheduled for January 24, 2019. The claimant did not provide a telephone number for the hearing and, therefore, did not participate. The employer participated by Mai Lor, Unemployment Specialist, and Miguel Guillermo Ortiz, On Site Supervisor. Exhibit D-1 was received into evidence. The employer offered and Exhibit 1 was received into evidence.

# ISSUE:

The issue is whether the claimant was separated from employment for any disqualifying reason.

#### FINDINGS OF FACT:

The administrative law judge, having heard the testimony and considered all of the evidence in the record, finds that: The employer is a temporary employment service. The claimant performed services from October 10 through October 21, 2018. He signed a document on October 4, 2018, indicating he was to contact the employer within three working days following the completion of an assignment to request placement in a new assignment. The document did indicate the consequences of a failure to notify the employer. The claimant was given a copy of the document which was separate from the contract for hire.

After October 21, 2018, the claimant did not appear for work or notify the employer of his absence. On November 7, 2018, the employer's customer service person called and left the claimant a message. Later that day, the claimant returned the call and said he had been incarcerated. He was released on November 7, 2018, and would like to be considered for another assignment.

The claimant filed for unemployment insurance benefits with an effective date of December 9, 2018. He received \$936.00 in benefits after the separation from employment. The employer

provided the name and number of Jennifer Yang as the person who would participate in the fact-finding interview on January 3, 2019. Fact Finder Dana called Ms. Yang at 10:00 a.m. and left a voice message stating she already had information that the claimant was separated from employment due to incarceration. The fact finder did not call at 9:55 a.m. as indicated in Exhibit D-1. On January 3, 2019, at 10:23 a.m., Ms. Yang faxed the fact finder documents and received confirmation the documents were received. The fact finder did not make those documents part of the department's record.

## **REASONING AND CONCLUSIONS OF LAW:**

The administrative law judge finds the claimant was disqualified for unemployment insurance benefits based on his incarceration.

Iowa Code section 96.5(11) provides:

An individual shall be disqualified for benefits, regardless of the source of the individual's wage credits:

- 11. Incarceration--disqualified.
- a. If the department finds that the individual became separated from employment due to the individual's incarceration in a jail, municipal holding facility, or correctional institution or facility, unless the department finds all of the following:
- (1) The individual notified the employer that the individual would be absent from work due to the individual's incarceration prior to any such absence.
- (2) Criminal charges relating to the incarceration were not filed against the individual, all criminal charges against the individual relating to the incarceration were dismissed, or the individual was found not guilty of all criminal charges relating to the incarceration.
- (3) The individual reported back to the employer within two work days of the individual's release from incarceration and offered services.
- (4) The employer rejected the individual's offer of services.
- b. A disqualification under this subsection shall continue until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

Based upon the evidence provided, the claimant was separated from employment due to his incarceration. He did not notify the employer of the absence. The status of the claimant's criminal charges are unknown. The claimant contacted the employer a few days after his release from prison and offered to return to work but no work was available to him. The claimant did not meet the requirements of the lowa Code related to disqualification for incarceration because he did not notify the employer prior to his absence from work. Therefore, the claimant is not eligible to receive unemployment insurance benefits.

The unemployment insurance law requires benefits be recovered from a claimant who receives benefits and is later denied benefits even if the claimant acted in good faith and was not at fault. However, a claimant will not have to repay an overpayment when an initial decision to award benefits on an employment separation issue is reversed on appeal if two conditions are met:

(1) the claimant did not receive the benefits due to fraud or willful misrepresentation, and (2) the employer failed to participate in the initial proceeding that awarded benefits. In addition, if a claimant is not required to repay an overpayment because the employer failed to participate in the initial proceeding, the employer's account will be charged for the overpaid benefits. Iowa Code section 96.3(7)a, b.

Iowa Admin. Code r. 871-24.10 provides:

Employer and employer representative participation in fact-finding interviews.

- (1) "Participate," as the term is used for employers in the context of the initial determination to award benefits pursuant to Iowa Code section 96.6, subsection 2, means submitting detailed factual information of the quantity and quality that if unrebutted would be sufficient to result in a decision favorable to the employer. The most effective means to participate is to provide live testimony at the interview from a witness with firsthand knowledge of the events leading to the separation. If no live testimony is provided, the employer must provide the name and telephone number of an employee with firsthand information who may be contacted, if necessary, for rebuttal. A party may also participate by providing detailed written statements or documents that provide detailed factual information of the events leading to separation. At a minimum, the information provided by the employer or the employer's representative must identify the dates and particular circumstances of the incident or incidents, including, in the case of discharge, the act or omissions of the claimant or, in the event of a voluntary separation. the stated reason for the quit. The specific rule or policy must be submitted if the claimant was discharged for violating such rule or policy. In the case of discharge for attendance violations, the information must include the circumstances of all incidents the employer or the employer's representative contends meet the definition of unexcused absences as set forth in 871-subrule 24.32(7). On the other hand, written or oral statements or general conclusions without supporting detailed factual information and information submitted after the fact-finding decision has been issued are not considered participation within the meaning of the statute.
- (2) "A continuous pattern of nonparticipation in the initial determination to award benefits," pursuant to lowa Code section 96.6, subsection 2, as the term is used for an entity representing employers, means on 25 or more occasions in a calendar quarter beginning with the first calendar quarter of 2009, the entity files appeals after failing to participate. Appeals filed but withdrawn before the day of the contested case hearing will not be considered in determining if a continuous pattern of nonparticipation exists. The division administrator shall notify the employer's representative in writing after each such appeal.
- (3) If the division administrator finds that an entity representing employers as defined in lowa Code section 96.6, subsection 2, has engaged in a continuous pattern of nonparticipation, the division administrator shall suspend said representative for a period of up to six months on the first occasion, up to one year on the second occasion and up to ten years on the third or subsequent occasion. Suspension by the division administrator constitutes final agency action and may be appealed pursuant to lowa Code section 17A.19.
- (4) "Fraud or willful misrepresentation by the individual," as the term is used for claimants in the context of the initial determination to award benefits pursuant to lowa Code section 96.6, subsection 2, means providing knowingly false statements or

knowingly false denials of material facts for the purpose of obtaining unemployment insurance benefits. Statements or denials may be either oral or written by the claimant. Inadvertent misstatements or mistakes made in good faith are not considered fraud or willful misrepresentation.

This rule is intended to implement Iowa Code section 96.3(7)"b" as amended by 2008 Iowa Acts, Senate File 2160.

The claimant has received unemployment insurance benefits that he was not entitled to receive. The employer attempted to participate in the fact finding interview and is not chargeable. The claimant is overpaid unemployment insurance benefits.

## **DECISION:**

bas/rvs

The representative's January 4, 2019, decision (reference 04) is reversed. The claimant left work based on his incarceration. Benefits are withheld until the claimant has worked in and has been paid wages for insured work equal to ten times the claimant's weekly benefit amount provided the claimant is otherwise eligible.

The claimant has received unemployment insurance benefits that he was not entitled to receive. The employer attempted to participate in the fact finding interview and is not chargeable. The claimant is overpaid unemployment insurance benefits.

Beth A. Scheetz Administrative Law Judge	
Decision Dated and Mailed	