IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

BRENDA TORRES

Claimant

APPEAL 16A-UI-09277-CL-T

ADMINISTRATIVE LAW JUDGE DECISION

WILLIAM RIEKEN

Employer

OC: 07/31/16

Claimant: Appellant (6)

Iowa Code § 17A.12(3) – Default Decision Iowa Admin. Code r. 871-26.14(7) – Dismissal of Appeal on Default

STATEMENT OF THE CASE:

Claimant/appellant filed an appeal from the August 23, 2016, (reference 03) unemployment insurance decision that concluded claimant was not eligible for unemployment insurance benefits. Notices of hearing were mailed to the parties' last known addresses of record on August 29, 2016, for a telephone hearing scheduled for September 13, 2016, at 3:00 p.m. At 1:53 p.m. on the day of the hearing, the appellant submitted a written statement indicating she wished to participate in the hearing by email or fax. The appellant submitted supporting documentation. The appellant did not provide the documents to the employer/respondent and did not allow IWD sufficient time to do so prior to the scheduled hearing. The appellant failed to provide a telephone number at which the appellant could be reached for the scheduled hearing. Thus, no hearing was held.

ISSUE:

Should the appeal be dismissed based on the appellant's failure to appear and participate?

FINDINGS OF FACT:

The parties were properly notified of the scheduled hearing for this appeal. The appellant did not provide a telephone number for the scheduled hearing and did not participate or request a postponement of the hearing as required by the hearing notice. Official notice of the Clear2there hearing control screen is taken to establish that appellant did not call or register online with the Appeals Bureau to provide a telephone number and/or name of a representative.

The hearing notice instruction specifically advises parties of the date and time of the hearing. It also states:

You must register for the hearing immediately!

You must register your phone number and the name(s) and phone number(s) of any witness(es) with the Appeals Bureau. If you do not register, the judge will not be able to call you or your witness(es) for the hearing.

The back page of the hearing notice provides further instruction and warning:

If you do not participate in the hearing, the judge may dismiss the appeal or issue a decision without considering your evidence or witness(es).

The information quoted above also appears on the hearing notice in Spanish.

One hour before the scheduled hearing, the appellant submitted documents and indicated she wished to participate in the hearing by email or fax. Nothing on the hearing notice which claimant received indicates that parties are allowed to participate in the hearing by email or fax.

Furthermore, the appellant did not provide the documents to the employer/respondent and did not allow IWD sufficient time to do so prior to the scheduled hearing.

The representative's decision had concluded that the claimant was not eligible for unemployment insurance benefits.

REASONING AND CONCLUSIONS OF LAW:

The Iowa Administrative Procedure Act at Iowa Code § 17A.12(3) provides that if a party fails to appear or participate in a hearing after proper service of notice, the judge may enter a default decision or proceed with the hearing and make a decision in the absence of the party. The statute further states that if a party makes a timely request to vacate the decision and shows good cause for failing to appear, the judge shall vacate the decision and conduct another hearing.

Agency rule Iowa Admin. Code r. 871-26.14(7) provides that if the appealing party has not responded to a notice of telephone hearing by providing the Appeals Bureau with the names and telephone numbers of the persons who are participating in the hearing by the scheduled starting time of the hearing or is not available at the telephone number provided, the judge may decide the appealing party is in default and dismiss the appeal as provided in Iowa Code § 17A.12(3). The record may be reopened if the absent party makes a request to reopen the hearing and shows good cause for reopening the hearing. The rule further states that failure to read or follow the instructions on the notice of hearing is not good cause for reopening the record. Iowa Admin. Code r. 871-26.14(7)c.

The administrative law judge has a duty to inquire fully into the factual matters at issue. Iowa Admin. Code r. 871-26.14(2). The employer/respondent has a right to review the information provided by claimant before it is received into the record. Neither are possible in this situation where claimant provided a written statement one hour prior to the start of the scheduled hearing and did not appear for the hearing. Thus, a default decision will be entered.

If the appellant disagrees with this decision, a written request to reopen the record must be made to the administrative law judge within 15 days after the mailing date of this decision. The written request should be mailed to the administrative law judge at the address listed at the end of this decision and must explain the emergency or other good cause that prevented the appellant from participating in the hearing at the scheduled time. The appellant also has the option to appeal the decision directly to the Employment Appeal Board, whose address is listed at the top right caption.

DECISION:

The August 23, 2016, (reference 03) unemployment insurance decision denying benefits remains in effect as the appellant is in default and the appeal is dismissed.

Christine A. Louis
Administrative Law Judge
Unemployment Insurance Appeals Bureau
1000 East Grand Avenue
Des Moines, Iowa 50319-0209
Fax (515)478-3528

Decision Dated and Mailed

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