IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

68-0157 (9-06) - 3091078 - El

ALYSSA N MEALMAN Claimant	APPEAL NO. 11A-UI-05312-DT
	ADMINISTRATIVE LAW JUDGE DECISION
REM IOWA COMMUNITY SERVICES INC Employer	
	OC: 03/13/11

Claimant: Respondent (2/R)

Section 96.5-2-a – Discharge Section 96.3-7 – Recovery of Overpayment of Benefits 871 IAC 26.14(7) – Late Call

STATEMENT OF THE CASE:

REM Iowa Community Services, Inc. (employer) appealed a representative's April 8, 2011 decision (reference 01) that concluded Alyssa N. Mealman (claimant) was qualified to receive unemployment insurance benefits after a separation from employment. After hearing notices were mailed to the parties' last-known addresses of record, a telephone hearing was held on May 16, 2011. The claimant received the hearing notice and responded by calling the Appeals Section on May 9, 2011. She indicated that she would be available at the scheduled time for the hearing at a specified telephone number. However, when the administrative law judge called that number at the scheduled time for the hearing, the claimant was not available; therefore, she did not participate in the hearing. Matt Hidlebaugh appeared on the employer's behalf. The record was closed at 10:21 a.m. At 11:47 a.m., the claimant called the Appeals Section and requested that the record be reopened. Based on the evidence, the arguments of the parties, and the law, the administrative law judge enters the following findings of fact, reasoning and conclusions of law, and decision.

ISSUES:

Should the hearing record be reopened?

Was the claimant discharged for work-connected misconduct?

FINDINGS OF FACT:

The claimant received the hearing notice prior to the May 16, 2011 hearing. The instructions inform the parties that they are to be available at the specified time for the hearing and that if they cannot be reached at the time of the hearing at the number they provided, the judge may decide the case on the basis of other available evidence. The reason the claimant was not available was that on the evening of May 15 she had gone to Chicago to pick up her sister from the airport, and she did not return home until 7:00 a.m. She then did not hear her alarm or her phone when the administrative law judge attempted to reach her for the hearing.

The claimant started working for the employer on September 3, 2009. She worked full-time as a direct support professional in the employer's Mount Vernon, Iowa, facilities providing residential and vocational services to persons with disabilities. Her last day of work was March 15, 2011. The employer discharged her on March 16, 2011. The reason asserted for the discharge was excessive absenteeism and tardiness.

Between January 2010 and March 4, the claimant had 11 incidents of tardiness and two absences. The two absences were due to oversleeping and lack of transportation. She had been given a total of six written warnings regarding the attendance issues, most recently on October 29, 2010 and February 24, 2011.

The claimant was absent for her entire shift on March 5. A friend called in for her, reporting she had been arrested. The claimant returned to work and discussed the incident with her immediate supervisor on March 8. She confirmed that she had been absent due to being arrested because of intervening in a situation in a bar involving a friend.

The claimant established a claim for unemployment insurance benefits effective March 13, 2011. The claimant has received unemployment insurance benefits after the separation.

REASONING AND CONCLUSIONS OF LAW:

The first issue in this case is whether the claimant's request to reopen the hearing should be granted or denied. After a hearing record has been closed, the administrative law judge may not take evidence from a non-participating party but can only reopen the record and issue a new notice of hearing if the non-participating party has demonstrated good cause for the party's failure to participate. 871 IAC 26.14(7)b. The record shall not be reopened if the administrative law judge does not find good cause for the party's late contact. <u>Id</u>. Failing to read or follow the instructions on the notice of hearing are not good cause for reopening the record. 871 IAC 26.14(7)c.

Although the claimant intended to participate in the hearing, the claimant failed to be available at the scheduled time for the hearing as required by the hearing notice instructions. Her explanation does not provide any bona fide emergency reason to excuse her failure to be available. The rule specifically states that failure to read or follow the instructions on the hearing notice does not constitute good cause to reopen the hearing. The claimant did not establish good cause to reopen the hearing. Therefore, the claimant's request to reopen the hearing is denied.

A claimant is not qualified to receive unemployment insurance benefits if an employer has discharged the claimant for reasons constituting work-connected misconduct. Iowa Code § 96.5-2-a. Before a claimant can be denied unemployment insurance benefits, the employer has the burden to establish the claimant was discharged for work-connected misconduct. Cosper v. IDJS, 321 N.W.2d 6 (Iowa 1982); Iowa Code § 96.5-2-a.

In order to establish misconduct such as to disqualify a former employee from benefits, an employer must establish the employee was responsible for a deliberate act or omission that was a material breach of the duties and obligations owed by the employee to the employer. 871 IAC 24.32(1)a; <u>Huntoon v. Iowa Department of Job Service</u>, 275 N.W.2d 445 (Iowa 1979); <u>Henry v. Iowa Department of Job Service</u>, 391 N.W.2d 731, 735 (Iowa App. 1986). The conduct must show a willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior that the employer has the right to expect of

employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent, or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. 871 IAC 24.32(1)a; <u>Huntoon</u>, supra; <u>Henry</u>, supra. In contrast, mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good-faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute. 871 IAC 24.32(1)a; <u>Huntoon</u>, supra; <u>Newman v. Iowa Department of Job Service</u>, 351 N.W.2d 806 (Iowa App. 1984).

Absenteeism can constitute misconduct; however, to be misconduct, absences must be both excessive and unexcused. 871 IAC 24.32(7). The claimant's final absence was not excused and was not due to illness or other reasonable grounds. The claimant had previously been warned that future absences could result in termination. <u>Higgins v. IDJS</u>, 350 N.W.2d 187 (Iowa 1984). The employer discharged the claimant for reasons amounting to work-connected misconduct.

The unemployment insurance law provides that benefits must be recovered from a claimant who receives benefits and is later determined to be ineligible for benefits, even though the claimant acted in good faith and was not otherwise at fault. However, the overpayment will not be recovered when it is based on a reversal on appeal of an initial determination to award benefits on an issue regarding the claimant's employment separation if: (1) the benefits were not received due to any fraud or willful misrepresentation by the claimant and (2) the employer did not participate in the initial proceeding to award benefits. The employer will not be charged for benefits whether or not the overpayment is recovered. Iowa Code § 96.3-7. In this case, the claimant has received benefits but was ineligible for those benefits. The matter of determining the amount of the overpayment and whether the claimant is eligible for a waiver of overpayment under Iowa Code § 96.3-7-b is remanded to the Claims Section.

DECISION:

The representative's April 8, 2011 decision (reference 01) is reversed. The employer discharged the claimant for disqualifying reasons. The claimant is disqualified from receiving unemployment insurance benefits as of March 15, 2011. This disqualification continues until she has been paid ten times her weekly benefit amount for insured work, provided she is otherwise eligible. The employer's account will not be charged. The matter is remanded to the Claims Section for investigation and determination of the overpayment issue.

Lynette A. F. Donner Administrative Law Judge

Decision Dated and Mailed

ld/kjw