# IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

68-0157 (9-06) - 3091078 - EI

**LAN N PHAM** 

Claimant

APPEAL NO: 07A-UI-03599-DT

ADMINISTRATIVE LAW JUDGE

DECISION

**WAL-MART STORES INC** 

Employer

OC: 07/23/06 R: 02 Claimant: Appellant (1)

Section 96.6-2 - Timeliness of Appeal

#### STATEMENT OF THE CASE:

Lan N. Pham (claimant) appealed a representative's March 23, 2007 decision (reference 04) that concluded she was not qualified to receive unemployment insurance benefits after a separation from employment from Wal-Mart Stores, Inc. (employer). Hearing notices were mailed to the parties' last-known addresses of record for a telephone hearing to be held on April 24, 2007 at 2:00 p.m. The claimant failed to respond to the hearing notice and provide a telephone number at which she could be reached for the hearing and did not participate in the hearing. The employer responded to the hearing notice and indicated that Andy O'Leary would participate as the employer's representative with one other witness. When the administrative law judge contacted Mr. O'Leary for the hearing, he agreed that the administrative law judge should make a determination based upon a review of the information in the administrative file. Based on a review of the information in the administrative law judge enters the following findings of fact, reasoning and conclusions of law, and decision.

### ISSUE:

Was the claimant's appeal timely or are there legal grounds under which it can be treated as timely?

#### FINDINGS OF FACT:

The representative's decision was mailed to the claimant's last-known address of record on March 23, 2007. No evidence was provided to rebut the presumption that the claimant received the decision within a few days thereafter. The decision contained a warning that an appeal must be postmarked or received by the Appeals Section by April 2, 2007 (a Monday). The appeal was not filed until it was hand-delivered to a local Agency office on April 6, 2007, which is after the date noticed on the disqualification decision. No explanation was offered or provided to give reasons for the delay.

#### REASONING AND CONCLUSIONS OF LAW:

If a party fails to make a timely appeal of a representative's decision and there is no legal excuse under which the appeal can be deemed to have been made timely, the decision as to the merits has become final and is not subject to further review.

Iowa Code § 96.6-2 provides in pertinent part:

The representative shall promptly examine the claim and any protest, take the initiative to ascertain relevant information concerning the claim, and, on the basis of the facts found by the representative, shall determine whether or not the claim is valid, the week with respect to which benefits shall commence, the weekly benefit amount payable and its maximum duration, and whether any disqualification shall be imposed. . . . Unless the claimant or other interested party, after notification or within ten calendar days after notification was mailed to the claimant's last known address, files an appeal from the decision, the decision is final and benefits shall be paid or denied in accordance with the decision.

The ten calendar days for appeal begins running on the mailing date. The "decision date" found in the upper right-hand portion of the representative's decision, unless otherwise corrected immediately below that entry, is presumptive evidence of the date of mailing. <u>Gaskins v. Unempl. Comp. Bd. of Rev.</u>, 429 A.2d 138 (Pa. Comm. 1981); <u>Johnson v. Board of Adjustment</u>, 239 N.W.2d 873, 92 A.L.R.3d 304 (lowa 1976). Pursuant to rules 871 IAC 26.2(96)(1) and 871 IAC 24.35(96)(1), appeals are considered filed when postmarked, if mailed. <u>Messina v. IDJS</u>, 341 N.W.2d 52 (lowa 1983).

The record in this case shows that more than ten calendar days elapsed between the mailing date and the date this appeal was filed. The lowa court has declared that there is a mandatory duty to file appeals from representatives' decisions within the time allotted by statute, and that the administrative law judge has no authority to change the decision of a representative if a timely appeal is not filed. Franklin v. IDJS, 277 N.W.2d 877, 881 (Iowa 1979). Compliance with appeal notice provisions is jurisdictional unless the facts of a case show that the notice was invalid. Beardslee v. IDJS, 276 N.W.2d 373, 377 (Iowa 1979); see also In re Appeal of Elliott, 319 N.W.2d 244, 247 (Iowa 1982). The question in this case thus becomes whether the appellant was deprived of a reasonable opportunity to assert an appeal in a timely fashion. Hendren v. IESC, 217 N.W.2d 255 (Iowa 1974); Smith v. IESC, 212 N.W.2d 471, 472 (Iowa 1973). The record shows that the appellant did have a reasonable opportunity to file a timely appeal.

871 IAC 24.35(2) provides in pertinent part:

The submission of any payment, appeal, application, request, notice, objection, petition, report or other information or document not within the specified statutory or regulatory period shall be considered timely if it is established to the satisfaction of the department that the delay in submission was due to department error or misinformation or to delay or other action of the United States postal service or its successor.

The administrative law judge concludes that failure to file a timely appeal within the time prescribed by the lowa Employment Security Law was not due to any Agency error or misinformation or delay or other action of the United States Postal Service pursuant to 871 IAC 24.35(2) or other factors outside the appellant's control. The administrative law judge

further concludes that because the appeal was not timely filed pursuant to Iowa Code § 96.6-2, the administrative law judge lacks jurisdiction to make a determination with respect to the nature of the appeal, regardless of whether the merits of the appeal would be valid. See, <u>Beardslee v. IDJS</u>, 276 N.W.2d 373 (Iowa 1979); <u>Franklin</u>, supra; and <u>Pepsi-Cola Bottling Company v. Employment Appeal Board</u>, 465 N.W.2d 674 (Iowa App. 1990).

## **DECISION:**

The representative's March 23, 2007 decision (reference 04) is affirmed. The appeal in this case was not timely, and the decision of the representative has become final and remains in full force and effect. Benefits are denied.

Lynette A. F. Donner Administrative Law Judge

Decision Dated and Mailed

ld/pjs