## IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

68-0157 (9-06) - 3091078 - EI

ALVARO A PERALES Claimant

# APPEAL NO. 14A-UI-00122-H2T

ADMINISTRATIVE LAW JUDGE DECISION

IOWA WORKFORCE DEVELOPMENT DEPARTMENT

> OC: 05/26/13 Claimant: Appellant (1)

Iowa Code § 96.6-2 – Timeliness of Appeal Iowa Code § 96.3(7) – Overpayment Iowa Admin. Code r. 871-26.8(5) – Decision on the Record

# STATEMENT OF THE CASE:

An appeal was filed from an unemployment insurance decision dated November 19, 2013, (reference 03) that denied benefits. A telephone hearing was scheduled for January 28, 2014. The appellant did respond to the hearing notice instructions but did not answer the telephone when called by the administrative law judge to begin the hearing. The claimant called after the record had been closed and confirmed that his telephone number on C2T was correct. The administrative law judge tried to call the claimant again and he did not answer the telephone when called to participate. Based on the appellant's failure to participate, the administrative file, and the law, the administrative law judge enters the following findings of fact, reasoning and conclusions of law and decision.

### **ISSUE:**

The issues are whether the record should be reopened, whether claimant's appeal was timely and if the representative's decision should be affirmed.

## FINDINGS OF FACT:

The parties were properly notified of the scheduled hearing on this appeal. The appellant failed to answer the telephone each time he was called by the administrative law judge to participate in the hearing. The claimant did not participate or request a postponement of the hearing as required by the hearing notice.

The administrative law judge has conducted a review of the available administrative file to determine whether the unemployment insurance decision should be affirmed.

## FINDINGS OF FACT:

The appellant received the hearing notice prior to the January 28, 2014 hearing and called pursuant to the instructions. The appellant was not available at the telephone number provided for the hearing when the hearing was called and only made himself available only after the record had been closed. No good cause reason was established for the delay.

The administrative law judge has conducted a careful review of the administrative file to determine whether the appeal is timely. A decision was mailed to the appellant's last-known address of record on November 13, 2013. There is no evidence in the record or appeal letter that the appellant did not receive the decision. The decision contained a warning that an appeal must be postmarked or received by the Appeals Section by November29, 2013. The appeal was not filed until January 6, 2014, which is after the date noticed on the disqualification decision.

## REASONING AND CONCLUSIONS OF LAW:

The first issue in this case is whether the appellant's request to reopen the hearing should be granted or denied.

871 IAC 26.8(3), (4) and (5) provide:

Withdrawals and postponements.

(3) If, due to emergency or other good cause, a party, having received due notice, is unable to attend a hearing or request postponement within the prescribed time, the presiding officer may, if no decision has been issued, reopen the record and, with notice to all parties, schedule another hearing. If a decision has been issued, the decision may be vacated upon the presiding officer's own motion or at the request of a party within 15 days after the mailing date of the decision and in the absence of an appeal to the employment appeal board of the department of inspections and appeals. If a decision is vacated, notice shall be given to all parties of a new hearing to be held and decided by another presiding officer. Once a decision has become final as provided by statute, the presiding officer has no jurisdiction to reopen the record or vacate the decision.

(4) A request to reopen a record or vacate a decision may be heard ex parte by the presiding officer. The granting or denial of such a request may be used as a grounds for appeal to the employment appeal board of the department of inspections and appeals upon the issuance of the presiding officer's final decision in the case.

(5) If good cause for postponement or reopening has not been shown, the presiding officer shall make a decision based upon whatever evidence is properly in the record.

### 871 IAC 26.14(7) provides:

(7) If a party has not responded to a notice of telephone hearing by providing the appeals section with the names and telephone numbers of its witnesses by the scheduled time of the hearing, the presiding officer may proceed with the hearing.

a. If an absent party responds to the hearing notice while the hearing is in progress, the presiding officer shall pause to admit the party, summarize the hearing to that point, administer the oath, and resume the hearing.

b. If a party responds to the notice of hearing after the record has been closed and any party which has participated is no longer on the telephone line, the presiding officer shall not take the evidence of the late party. Instead, the presiding officer shall inquire as to why the party was late in responding to the notice of hearing. For good cause shown, the presiding officer shall reopen the record and cause further notice of hearing to be issued to all parties of record. The record shall not be reopened if the presiding officer does not find good cause for the party's late response to the notice of hearing.

c. Failure to read or follow the instructions on the notice of hearing shall not constitute good cause for reopening the record.

The appellant did not make himself available for the hearing at the number provided in response to the hearing notice. Although the appellant may have intended to participate in the hearing, the appellant did not establish good cause for failing to be available at that number or to reopen the hearing. Therefore, the appellant's request to reopen the hearing is denied.

Iowa Code section 96.6-2 provides:

2. Initial determination. A representative designated by the director shall promptly notify all interested parties to the claim of its filing, and the parties have ten days from the date of mailing the notice of the filing of the claim by ordinary mail to the last known address to protest payment of benefits to the claimant. The representative shall promptly examine the claim and any protest, take the initiative to ascertain relevant information concerning the claim, and, on the basis of the facts found by the representative, shall determine whether or not the claim is valid, the week with respect to which benefits shall commence, the weekly benefit amount payable and its maximum duration, and whether any disqualification shall be imposed. The claimant has the burden of proving that the claimant meets the basic eligibility conditions of section 96.4. The employer has the burden of proving that the claimant is disgualified for benefits pursuant to section 96.5. except as provided by this subsection. The claimant has the initial burden to produce evidence showing that the claimant is not disqualified for benefits in cases involving section 96.5, subsection 10, and has the burden of proving that a voluntary guit pursuant to section 96.5, subsection 1, was for good cause attributable to the employer and that the claimant is not disgualified for benefits in cases involving section 96.5, subsection 1, paragraphs "a" through "h". Unless the claimant or other interested party, after notification or within ten calendar days after notification was mailed to the claimant's last known address, files an appeal from the decision, the decision is final and benefits shall be paid or denied in accordance with the decision. If an administrative law judge affirms a decision of the representative, or the appeal board affirms a decision of the administrative law judge allowing benefits, the benefits shall be paid regardless of any appeal which is thereafter taken, but if the decision is finally reversed, no employer's account shall be charged with benefits so paid and this relief from charges shall apply to both contributory and reimbursable employers, notwithstanding section 96.8, subsection 5.

The ten calendar days for appeal begins running on the mailing date. The "decision date" found in the upper right-hand portion of the representative's decision, unless otherwise corrected immediately below that entry, is presumptive evidence of the date of mailing. *Gaskins v.* 

Unempl. Comp. Bd. of Rev., 429 A.2d 138 (Pa. Comm. 1981); Johnson v. Board of Adjustment, 239 N.W.2d 873, 92 A.L.R.3d 304 (Iowa 1976).

Pursuant to rules 871 IAC 26.2(96)(1) and 871 IAC 24.35(96)(1), appeals are considered filed when postmarked, if mailed. *Messina v. IDJS*, 341 N.W.2d 52 (Iowa 1983).

The record in this case shows that more than ten calendar days elapsed between the mailing date and the date this appeal was filed. The Iowa Supreme Court has declared that there is a mandatory duty to file appeals from representatives' decisions within the time allotted by statute, and that the administrative law judge has no authority to change the decision of a representative if a timely appeal is not filed. *Franklin v. IDJS*, 277 N.W.2d 877, 881 (Iowa 1979). Compliance with appeal notice provisions is jurisdictional unless the facts of a case show that the notice was invalid. *Beardslee v. IDJS*, 276 N.W.2d 373, 377 (Iowa 1979); see also *In re Appeal of Elliott*, 319 N.W.2d 244, 247 (Iowa 1982). The question in this case thus becomes whether the appellant was deprived of a reasonable opportunity to assert an appeal in a timely fashion. *Hendren v. IESC*, 217 N.W.2d 255 (Iowa 1974); *Smith v. IESC*, 212 N.W.2d 471, 472 (Iowa 1973). The record shows that the appellant did have a reasonable opportunity to file a timely appeal.

The administrative law judge concludes that failure to file a timely appeal within the time prescribed by the Iowa Employment Security Law was not due to any Agency error or misinformation or delay or other action of the United States Postal Service pursuant to 871 IAC 24.35(2). The administrative law judge further concludes that the appeal was not timely filed pursuant to Iowa Code section 96.6-2, and the administrative law judge lacks jurisdiction to make a determination with respect to the nature of the appeal. See, *Beardslee v. IDJS*, 276 N.W.2d 373 (Iowa 1979) and *Franklin v. IDJS*, 277 N.W.2d 877 (Iowa 1979).

The administrative law judge has carefully reviewed the administrative record and concludes that the appeal is untimely and the unemployment insurance decision previously entered in this case should be affirmed.

# **DECISION:**

The November 19, 2013, reference 03, decision is affirmed. The appeal in this case was not timely, and the decision of the representative remains in effect. The claimant was overpaid unemployment insurance benefits.

Teresa K. Hillary Administrative Law Judge

Decision Dated and Mailed

tkh/pjs