IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

ZACHARY J MORGAN Claimant

APPEAL 19A-UI-03822-LJ-T

ADMINISTRATIVE LAW JUDGE DECISION

WELLS FARGO BANK NA Employer

> OC: 04/14/19 Claimant: Appellant (2R)

Iowa Code § 96.5(2)a – Discharge for Misconduct Iowa Admin. Code r. 871-24.32(7) – Excessive Unexcused Absenteeism

STATEMENT OF THE CASE:

On May 9, 2019, the claimant filed an appeal from the May 2, 2019, (reference 01) unemployment insurance decision that denied benefits based upon a determination that claimant quit his employment through being a three-day no-call/no-show. The parties were properly notified of the hearing. A telephonic hearing was held on June 17, 2019. The claimant, Zachary J. Morgan, participated. The employer, Wells Fargo Bank, N.A., participated through Deb Shoop, Current Business Support Consultant; and Thomas Kuiper of Equifax/Talx represented the employer. Claimant's Exhibit A was received and admitted into the record without objection.

ISSUE:

Did claimant voluntarily leave the employment with good cause attributable to the employer or did employer discharge the claimant for reasons related to job misconduct sufficient to warrant a denial of benefits?

FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: Claimant was employed full-time, most recently as a customer service representative 5, from February 22, 2016, until April 24, 2019, when he was discharged for absenteeism.

Claimant's final absence that counted against him for purposes of the termination occurred on March 28, 2019. Claimant was late to work that day. When he reported to work, claimant told Shoop that he had to walk to a phone to find a ride to work, which caused him to be late. On March 25, 2019, claimant left for lunch and did not return. Claimant explained that he had a mental breakdown and could no longer work. Claimant called the employer's attendance hotline and reported that he would not be returning to work that day.

Claimant had multiple prior absences, all of which were attributable to his mental health condition. Claimant properly reported each of these absences to the employer by calling the

employer's attendance hotline. Claimant received a formal warning for absenteeism on February 4, 2019.

Claimant had additional absences after March 28, 2019. These absences were all attributable to claimant's mental health condition. It does not appear that these absences counted against claimant for purposes of his termination.

REASONING AND CONCLUSIONS OF LAW:

For the reasons that follow, the administrative law judge concludes claimant was discharged from employment for no disqualifying reason. Benefits are allowed, provided claimant is otherwise eligible.

Iowa Code § 96.5(2)a provides:

An individual shall be disqualified for benefits:

2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:

a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

Iowa Admin. Code r. 871-24.32(7) provides:

(7) Excessive unexcused absenteeism. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer.

The employer has the burden to prove the claimant was discharged for work-connected misconduct as defined by the unemployment insurance law. *Cosper v. Iowa Dep't of Job Serv.*, 321 N.W.2d 6 (Iowa 1982). The issue is not whether the employer made a correct decision in separating claimant, but whether the claimant is entitled to unemployment insurance benefits. *Infante v. Iowa Dep't of Job Serv.*, 364 N.W.2d 262 (Iowa Ct. App. 1984). What constitutes misconduct justifying termination of an employee and what misconduct warrants denial of unemployment insurance benefits are two separate decisions. *Pierce v. Iowa Dep't of Job Serv.*, 425 N.W.2d 679 (Iowa Ct. App. 1988).

Excessive absences are not considered misconduct unless unexcused. Absences due to properly reported illness cannot constitute work-connected misconduct since they are not volitional, even if the employer was fully within its rights to assess points or impose discipline up to or including discharge for the absence under its attendance policy. Iowa Admin. Code r. 871-24.32(7); *Cosper*, 321 N.W.2d at 6; *Gaborit v. Emp't Appeal Bd.*, 734 N.W.2d 554 (Iowa Ct. App. 2007). Medical documentation is not essential to a determination that an absence due to illness should be treated as excused. *Gaborit*, 734 N.W.2d at 554. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct **except for illness or other reasonable grounds** for which the employee was absent and that were properly reported to the employer. Iowa Admin. Code r. 871-24.32(7) (emphasis added); *see Higgins v. Iowa Dep't of Job Serv.*, 350 N.W.2d 187, 190, n. 1 (Iowa 1984) holding "rule [2]4.32(7)...accurately states the law."

The requirements for a finding of misconduct based on absences are therefore twofold. First, the absences must be excessive. *Sallis v. Emp't Appeal Bd.*, 437 N.W.2d 895 (Iowa 1989). The determination of whether unexcused absenteeism is excessive necessarily requires consideration of past acts and warnings. *Higgins* at 192. Second, the absences must be unexcused. *Cosper* at 10. The requirement of "unexcused" can be satisfied in two ways. An absence can be unexcused either because it was not for "reasonable grounds," *Higgins* at 191, or because it was not "properly reported," holding excused absences are those "with appropriate notice." *Cosper* at 10.

The term "absenteeism" also encompasses conduct that is more accurately referred to as "tardiness." An absence is an extended tardiness, and an incident of tardiness is a limited absence. Absences related to issues of personal responsibility such as transportation, lack of childcare, and oversleeping are not considered excused. *Higgins, supra.* Excessive absences are not necessarily unexcused. Absences must be both excessive and unexcused to result in a finding of misconduct.

In this case, claimant's final absence for purposes of the discharge occurred on March 28, 2019, when he was late to work due to transportation issues. This final absence was not excused. However, each of claimant's prior absences were due to mental health issues and were properly reported to the employer through the attendance hotline. The employer has not established that claimant had absenteeism that was both excessive and unexcused. As the employer has not met its burden of proof, benefits are allowed, provided claimant is otherwise eligible.

Based on claimant's history of absenteeism, it is unclear whether he is physically able to work. This matter will be remanded for further investigation.

DECISION:

The May 2, 2019, (reference 01) unemployment insurance decision is reversed. Claimant was discharged from employment for no disqualifying reason. Benefits are allowed, provided he is otherwise eligible. Any benefits claimed and withheld on this basis shall be paid.

REMAND:

The issue of whether claimant is physically able to work is remanded to the Benefits Bureau of Iowa Workforce Development for initial investigation and determination.

Elizabeth A. Johnson Administrative Law Judge

Decision Dated and Mailed

lj/scn