# IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

**KAYLA J HERNANDEZ** 

Claimant

APPEAL NO. 19A-UI-00235-B2T

ADMINISTRATIVE LAW JUDGE DECISION

**FOCUS SERVICES LLC** 

Employer

OC: 12/09/18

Claimant: Appellant (2)

Iowa Code § 96.5-2-a – Discharge for Misconduct

## STATEMENT OF THE CASE:

Claimant filed an appeal from a decision of a representative dated December 31, 2018, reference 01, which held claimant ineligible for unemployment insurance benefits. After due notice, a hearing was scheduled for and held on January 25, 2019. Claimant participated personally. Employer participated by Naomi Strange and Joy Hoagland. Employer's Exhibits 1-3 and Claimant's Exhibits A-B were admitted into evidence.

### ISSUE:

The issue in this matter is whether claimant was discharged for misconduct?

# **FINDINGS OF FACT:**

The administrative law judge, having heard the testimony and considered all of the evidence in the record, finds: Claimant last worked for employer on December 13, 2018. Employer discharged claimant on December 13, 2018 because claimant had left work in the middle of her shift as a result of an illness without contacting employer.

Employer initially stated that claimant was terminated for accumulating attendance points after being given a warning about attendance. Employer then recognized that claimant had a doctor's note that excused her absence and explained that claimant was terminated for job abandonment – for leaving work in the middle of her shift without notifying anyone of her exit. Employer was asked for documentation surrounding the points claimant had accumulated and the wording of employer's statement on job abandonment. Employer did not provide any documentation as to how claimant accumulated her points nor towards employer's policy on job abandonment.

Claimant stated on December 10, 2018 she alerted her supervisor that she had a stomach problem. Claimant stated that she went to use the restroom in the middle of her shift as she was having digestive problems. Unfortunately, claimant did not make it to the restroom, and her bowel problems caused claimant to go home to clean up. Claimant was still ill and stayed home for the rest of the day. After claimant left work, employer repeatedly tried to get in touch with claimant. Claimant did not answer her phone or return the calls.

Claimant was off from work the next two days as she was not scheduled. Claimant returned to work on September 13, 2018 and she was terminated soon after her arrival. Claimant did explain to employer what had happened. Employer remained bothered that claimant didn't answer attempts to contact her. Claimant would not commit that she would handle things differently should the same event ever happen again.

### **REASONING AND CONCLUSIONS OF LAW:**

Iowa Code section 96.5(2)a provides:

An individual shall be disqualified for benefits, regardless of the source of the individual's wage credits:

- 2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:
- a. The disqualification shall continue until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

Iowa Admin. Code r. 871-24.32(1)a provides:

Discharge for misconduct.

- (1) Definition.
- a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

This definition has been accepted by the Iowa Supreme Court as accurately reflecting the intent of the legislature. *Huntoon v. Iowa Dep't of Job Serv.*, 275 N.W.2d 445, 448 (Iowa 1979).

A claimant is not qualified to receive unemployment insurance benefits if an employer has discharged the claimant for reasons constituting work connected misconduct. Iowa Code § 96.5-2-a. Before a claimant can be denied unemployment insurance benefits, the employer has the burden to establish the claimant was discharged for work-connected misconduct. Cosper v. Iowa Department of Job Service, 321 N.W.2d 6 (Iowa 1982), Iowa Code § 96.5-2-a.

In order to establish misconduct as to disqualify a former employee from benefits an employer must establish the employee was responsible for a deliberate act or omission which was a material breach of the duties and obligations owed by the employee to the employer. Rule 871

IAC 24.32(1)a; Huntoon v. Iowa Department of Job Service, 275 N.W.2d 445 (Iowa 1979); Henry v. Iowa Department of Job Service, 391 N.W.2d 731, 735 (Iowa Ct. App. 1986). The conduct must show a willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or the employee's duties and obligations to the employer. Rule 871 IAC 24.32(1)a; Huntoon supra; Henry supra. In contrast, mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not deemed misconduct within the meaning of the statute. Rule 871 IAC 24.32(1)a; Huntoon supra; Newman v. Iowa Department of Job Service, 351 N.W.2d 806 (Iowa Ct. App. 1984).

The employer bears the burden of proving that a claimant is disqualified from receiving benefits because of substantial misconduct within the meaning of Iowa Code section 96.5(2). *Myers*, 462 N.W.2d at 737. The propriety of a discharge is not at issue in an unemployment insurance case. An employer may be justified in discharging an employee, but the employee's conduct may not amount to misconduct precluding the payment of unemployment compensation. Because our unemployment compensation law is designed to protect workers from financial hardships when they become unemployed through no fault of their own, we construe the provisions "liberally to carry out its humane and beneficial purpose." *Bridgestone/Firestone, Inc. v. Emp't Appeal Bd.*, 570 N.W.2d 85, 96 (Iowa 1997). "[C]ode provisions which operate to work a forfeiture of benefits are strongly construed in favor of the claimant." *Diggs v. Emp't Appeal Bd.*, 478 N.W.2d 432, 434 (Iowa Ct. App. 1991).

It is the duty of the administrative law judge as the trier of fact in this case, to determine the credibility of witnesses, weigh the evidence and decide the facts in issue. *Arndt v. City of LeClaire*, 728 N.W.2d 389, 394-395 (Iowa 2007). The administrative law judge may believe all, part or none of any witness's testimony. *State v. Holtz*, 548 N.W.2d 162, 163 (Iowa Ct. App. 1996). In assessing the credibility of witnesses, the administrative law judge should consider the evidence using his or her own observations, common sense and experience. *State v. Holtz*, Id. In determining the facts, and deciding what testimony to believe, the fact finder may consider the following factors: whether the testimony is reasonable and consistent with other believable evidence; whether a witness has made inconsistent statements; the witness's appearance, conduct, age, intelligence, memory and knowledge of the facts; and the witness's interest in the trial, their motive, candor, bias and prejudice. *State v. Holtz*, Id. Here, the administrative law judge does believe claimant's testimony as to her medical problems that occurred on December 10, 2018. She went to employer and told about the incident on her next scheduled day to work, and had never had a like incident reported in the past.

The gravity of the incident, number of policy violations and prior warnings are factors considered when analyzing misconduct. The lack of a current warning may detract from a finding of an intentional policy violation. Excessive absences are not misconduct unless unexcused. Absences due to properly reported illness can never constitute job misconduct since they are not volitional. The employer has the burden of proof in establishing disqualifying job misconduct. Cosper v. Iowa Dep't of Job Serv., 321 N.W.2d 6 (Iowa 1982). The Iowa Supreme Court has opined that one unexcused absence is not misconduct even when it followed nine other excused absences and was in violation of a direct order. Sallis v. EAB, 437 N.W.2d 895 (Iowa 1989). Higgins v. Iowa Department of Job Service, 350 N.W.2d 187 (Iowa 1984), held that the absences must be both excessive and unexcused. The Iowa Supreme Court has held that excessive is more than one. Three incidents of tardiness or absenteeism after a warning has

been held misconduct. *Clark v. Iowa Department of Job Service*, 317 N.W.2d 517 (Iowa Ct. App. 1982). While three is a reasonable interpretation of excessive based on current case law and Webster's Dictionary, the interpretation is best derived from the facts presented. Employer did not provide any documentation to support their claim of excessive absences by claimant. The documents provided did not appear to comport with the testimony provided by employer's witnesses as employer argued for an unexcused absence on a date when employer's own document showed the same absence as excused.

In this matter, the evidence fails to establish that claimant was discharged for an act of misconduct when claimant violated employer's policy concerning both absenteeism and job abandonment. Claimant was not warned concerning the policy of job abandonment and employer did not prove excessive absenteeism.

The last incident, which brought about the discharge, fails to constitute misconduct because claimant's action, in light of her medical situation is deemed as an inadvertency in an isolated incident rather than an intentional act against the interests of employer. Claimant's return to work on her next scheduled day shows that she had no intent to act against employer's interests. The administrative law judge holds that claimant was not discharged for an act of misconduct and, as such, is not disqualified for the receipt of unemployment insurance benefits.

## **DECISION:**

The decision of the representative dated December 31, 2018, reference 01, is reversed. Claimant is eligible to receive unemployment insurance benefits, provided claimant meets all other eligibility requirements.

Blair A. Bennett Administrative Law Judge	
Decision Dated and Mailed	

bab/scn