IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

JOHN J BINDNER Claimant

APPEAL 21A-UI-15574-DZ-T

ADMINISTRATIVE LAW JUDGE DECISION

IOWA WORKFORCE DEVELOPMENT DEPARTMENT

OC: 03/29/20 Claimant: Appellant (6)

PL116-136, Sec. 2104 – Federal Pandemic Unemployment Compensation lowa Code § 17A.12(3) – Default Decision lowa Admin. Code r. 871-26.14(7) – Dismissal of Appeal on Default

STATEMENT OF THE CASE:

John J Bindner, the claimant/appellant, filed an appeal from the June 21, 2021, (reference 03) unemployment insurance (UI) decision that concluded he was overpaid Federal Pandemic Unemployment Compensation (FPUC) benefits in the amount of \$10,200.00. Notices of hearing were mailed to the parties' last known addresses of record for a telephone hearing scheduled for September 2, 2021. A review of the Appeals Bureau's conference call system indicates that Mr. Bindner did not respond at the telephone number he provided at the time the hearing was scheduled to begin. Because Mr. Bindner did not make himself available at the time and date scheduled for the appeal hearing, no hearing was held.

ISSUE:

Should Mr. Bindner's appeal be dismissed based on him not appearing and participating?

FINDINGS OF FACT:

Mr. Bindner was properly notified of the scheduled hearing for this appeal. He did not answer the administrative law judge's call at the telephone number he provided at the time scheduled for this appeal hearing. He was not available at the telephone number he provided for the scheduled hearing. He did not request a postponement of the hearing. No hearing was held.

The hearing notice instruction advises parties in English and Spanish:

IMPORTANT NOTICE!

YOU MUST PROVIDE YOUR PHONE NUMBER TO THE APPEALS BUREAU AS SOON AS POSSIBLE. If you do not follow these instructions, the judge will not call you for the hearing.

The hearing notice lists the hearing date of September 2, 2021 and the hearing time as 8:05 a.m. lowa time. The back page of the hearing notice further provides in both languages:

You must register a phone number for each hearing by following the instructions on the front of this notice.

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If you do not participate in the hearing, the judge may dismiss the appeal or issue a decision without considering your evidence. The Appeals Bureau does not have a phone number for this hearing unless you provide it to use by following the instructions on the other side of this page. If you do not follow those instructions, the judge will not call you for the hearing.

The record was left open for a grace period of 15 minutes after the hearing start time to give Mr. Bindner a *reasonable* opportunity to participate. Holding Mr. Bindner in default for not appearing and participating during a 15-minute window after the hearing start time is reasonable considering the time allocated for each unemployment hearing. Allowing this additional time period is more than reasonable under the circumstances.

The unemployment insurance decision had concluded that Mr. Bindner was overpaid FPUC benefits in the amount of \$10,200.00.

REASONING AND CONCLUSIONS OF LAW:

The lowa Administrative Procedures Act at lowa Code § 17A.12(3) provides in pertinent part:

If a party fails to appear or participate in a contested case proceeding after proper service of notice, the presiding officer may, if no adjournment is granted, enter a default decision or proceed with the hearing and make a decision in the absence of the party. ... If a decision is rendered against a party who failed to appear for the hearing and the presiding officer is timely requested by that party to vacate the decision for good cause, the time for initiating a further appeal is stayed pending a determination by the presiding officer to grant or deny the request. If adequate reasons are provided showing good cause for the party's failure to appear, the presiding officer shall vacate the decision and, after proper service of notice, conduct another evidentiary hearing. If adequate reasons are not provided showing good cause for the party's failure to appear, the presiding officer shall deny the motion to vacate.

The Agency rules at Iowa Admin. Code r. 26.14(7) provide:

If a party has not responded to a notice of telephone hearing by providing the appeals bureau with the names and telephone numbers of the persons who are participating in the hearing by the scheduled starting time of the hearing or is not available at the telephone number provided, the presiding officer may proceed with the hearing. If the appealing party fails to provide a telephone number or is unavailable for the hearing, the presiding officer may decide the appealing party is in default and dismiss the appeal as provide in Iowa Code section 17A.12(3). The record may be reopened if the absent party makes a request in writing to reopen the hearing under subrule 26.8(3) and shows good cause for reopening the hearing.

a. If an absent party responds to the hearing notice while the hearing is in progress, the presiding officer shall pause to admit the party, summarize the hearing to that point, administer the oath, and resume the hearing.

b. If a party responds to the notice of hearing after the record has been closed and any party which has participated is no longer on the telephone line, the presiding officer shall not take the evidence of the late party.

c. Failure to read or follow the instructions on the notice of hearing shall not constitute good cause for reopening the record.

Due process requires notice and an opportunity to be heard, both of which were provided to the parties. The appellant is responsible for going forward with the case in a prompt and thoughtful manner. The appellant must be present at the start of the hearing to avoid a default judgment. lowa Code § 17A.12(3) and lowa Admin. Code r. 26.14(7). The hearing notice instructs the parties to:

- 1. Read the hearing notice.
- 2. Register a telephone number where the party can be reached for the hearing.
- 3. Be available at that number at the date and time of the hearing.

The lowa Supreme Court has held a default should not be set aside due to the appellant's negligence, carelessness, or inattention. See *Houlihan v. Emp't Appeal Bd.*, 545 N.W.2d 863 (lowa 1996). Similarly, a default should not be set aside because the appellant has ignored clear requirements in the rules. Rather, a party must show it intended to proceed with the appeal and took steps to do so, but did not appear because of some misunderstanding, accident, mistake or excusable neglect. Mr. Bindner was not present at the start of the hearing. As a *courtesy*, Mr. Bindner was granted additional time not required by statute or rule. The representative's decision remains in force and effect.

DECISION:

The June 21, 2021, (reference 03) unemployment insurance decision concluding that Mr. Bindner was overpaid FPUC benefits in the amount of \$10.200.00 remains in effect as Mr. Bindner is in default and the appeal is dismissed.

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Daniel Zeno Administrative Law Judge Iowa Workforce Development Unemployment Insurance Appeals Bureau 1000 East Grand Avenue Des Moines, Iowa 50319-0209 Fax (515)478-3528

September 07, 2021 Decision Dated and Mailed

dz/mn

NOTE TO MR BINDNER:

- This decision determines you have been overpaid FPUC benefits. If you disagree with this decision, you may file an appeal to the Employment Appeal Board by following the instructions on the first page of this decision.
- You may also request a waiver of this overpayment either 1) online, OR 2) in writing by mail.
- The <u>online request form</u> is available on the lowa Workforce Development website at: <u>https://www.iowaworkforcedevelopment.gov/federal-unemployment-insurance-overpayment-recovery</u>
- The <u>written request</u> must include the following information:
 - 1. Your name & address.
 - 2. Decision number/date of decision.
 - 3. Dollar amount of overpayment requested for waiver.
 - 4. Relevant facts that you feel would justify a waiver.
- The request should be sent to:

lowa Workforce Development Overpayment waiver request 1000 East Grand Avenue Des Moines, IA 50319

• If this decision becomes final and you are not eligible for a waiver, you will have to repay the benefits you received.