IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

68-0157 (9-06) - 3091078 - EI

MICHAEL PAIGE

Claimant

APPEAL NO: 09A-UI-10172-ET

ADMINISTRATIVE LAW JUDGE

DECISION

PRINCIPAL LIFE INSURANCE CO

Employer

OC: 06-07-09

Claimant: Respondent (2R)

Section 96.5-2-a – Discharge/Misconduct 871 IAC 24.32(7) – Excessive Unexcused Absenteeism Section 96.3-7 – Recovery of Benefit Overpayment

STATEMENT OF THE CASE:

The employer filed a timely appeal from the July 6, 2009, reference 01, decision that allowed benefits to the claimant. After due notice was issued, a hearing was held by telephone conference call before Administrative Law Judge Julie Elder on August 3, 2009. The claimant did not respond to the hearing notice and did not participate in the hearing or request a postponement of the hearing as required by the hearing notice. Kathy McGinnis, Manager and Ibal Philipsen, Assistant Manager, participated in the hearing on behalf of the employer.

ISSUE:

The issue is whether the employer discharged the claimant for work-connected misconduct.

FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: The claimant was employed as a full-time output specialist for Principal Life Insurance from August 25, 2008 to June 1, 2009. The employer uses a no-fault attendance policy and does not record the reasons for employees' absences. The claimant was absent January 9, 11, 12 and 23, 2009; February 6, 8 and 13, 2009; March 20, 22 and 23, 2009; April 5-8, 27 and 28, 2009; May 1, 4, 5, 8, 10, 11, 12, 15, 18, 19, 22, 25, 26, and 27, 2009. The claimant mentioned he was having dental problems and in May 2009 Assistant Manager Ibal Philipsen asked the Human Resources Department to send the claimant a medical package containing FMLA and short-term disability paperwork but the claimant never returned the medical information that would have excused his absences. On May 28, 2009, the claimant received a coaching for consequences warning in the mail because he was absent so often the employer was unable to give it to him at work and could not reach him by phone. The warning addressed the claimant's failure to properly call in to report his absences because while he would call he would leave a message rather than speaking to the leader on duty in person. Additionally, during his February 17, 2009, performance appraisal he was told his attendance was a problem. On May 31 and June 1, 2009, the claimant was a no-call/no-show and the employer terminated his employment for excessive unexpected absenteeism.

The claimant has claimed and received unemployment insurance benefits since his separation from this employer.

REASONING AND CONCLUSIONS OF LAW:

For the reasons that follow, the administrative law judge concludes the claimant was discharged from employment for disqualifying job misconduct.

Iowa Code section 96.5-2-a provides:

An individual shall be disqualified for benefits:

- 2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:
- a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

871 IAC 24.32(7) provides:

(7) Excessive unexcused absenteeism. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer.

The determination of whether unexcused absenteeism is excessive necessarily requires consideration of past acts and warnings. The term "absenteeism" also encompasses conduct that is more accurately referred to as "tardiness." An absence is an extended tardiness, and an incident of tardiness is a limited absence. Absences related to issues of personal responsibility such as transportation, lack of childcare, and oversleeping are not considered excused. Higgins v. lowa Department of Job Service, 350 N.W.2d 187 (lowa 1984). The claimant was absent 30 times between January 9 and May 27, 2009, and then a no-call/no-show May 31 and June 1, 2009, after receiving a coaching for consequences for a total of 32 absences. The employer has established that the claimant was warned that further unexcused absences could result in termination of employment and the final absence was not excused. The final absence, in combination with the claimant's history of absenteeism, is considered excessive. Benefits are denied.

The unemployment insurance law provides that benefits must be recovered from a claimant who receives benefits and is later determined to be ineligible for benefits, even though the claimant acted in good faith and was not otherwise at fault. However, the overpayment will not be recovered when it is based on a reversal on appeal of an initial determination to award benefits on an issue regarding the claimant's employment separation if: (1) the benefits were not received due to any fraud or willful misrepresentation by the claimant and (2) the employer did not participate in the initial proceeding to award benefits. The employer will not be charged for benefits whether or not the overpayment is recovered. Iowa Code section 96.3-7. In this case, the claimant has received benefits but was not eligible for those benefits. The matter of determining the amount of the overpayment and whether the overpayment should be recovered under lowa Code section 96.3-7-b is remanded to the Agency.

DECISION:

The July 6, 2009, reference 01, decision is reversed. The claimant was discharged from employment due to excessive, unexcused absenteeism. Benefits are withheld until such time as he has worked in and been paid wages for insured work equal to ten times his weekly benefit amount, provided he is otherwise eligible. The claimant has received benefits but was not eligible for those benefits. The matter of determining the amount of the overpayment and whether the overpayment should be recovered under lowa Code section 96.3-7-b is remanded to the Agency.

Julie Elder
Administrative Law Judge

Decision Dated and Mailed

je/css