#### IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

	68-0157 (9-06) - 3091078 - El
DEREK D CLUCK Claimant	APPEAL NO. 10A-UI-03965-M
	ADMINISTRATIVE LAW JUDGE DECISION
NATIONWIDE MUTUAL INSURANCE CO Employer	
	Original Claim: 01/31/10 Claimant: Appellant (2)

Section 96.5-2-a – Discharge for Misconduct

# STATEMENT OF THE CASE:

Claimant filed an appeal from a decision of a representative dated March 9, 2010, reference 01, which held claimant ineligible for unemployment insurance benefits. After due notice, a hearing was scheduled for and held on April 21, 2010. Claimant participated personally and was represented by Laura Jontz, Attorney at Law. Employer failed to respond to the hearing notice and did not participate. Exhibit One was admitted into evidence.

#### **ISSUE:**

The issue in this matter is whether claimant was discharged for misconduct.

## FINDINGS OF FACT:

The administrative law judge, having heard the testimony and having considered all of the evidence in the record, finds: Claimant last worked for employer on January 19, 2010.

Claimant was discharged on February 1, 2010 by employer because claimant took vacation that the employer did not deem approved. Claimant had accrued vacation time. Claimant informed employer and bid on a six-day vacation. Claimant had approval in the total view system in early December 2009. When the vacation day arrived, claimant logged into the TOPS system to record his six days of vacation. Claimant mistyped the dates, as employer only logged in vacation for one day. Employer had full access to the total view system. Claimant talked to his supervisor on the day before leaving for vacation. The supervisor was on notice of the full six days of vacation. Claimant had prior warnings on his record for attendance violations. All prior incidents were for illness and a non preventable deer/car accident.

## **REASONING AND CONCLUSIONS OF LAW:**

Iowa Code section 96.5-2-a provides:

An individual shall be disqualified for benefits:

2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:

a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

871 IAC 24.32(1)a provides:

Discharge for misconduct.

(1) Definition.

a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

871 IAC 24.32(8) provides:

(8) Past acts of misconduct. While past acts and warnings can be used to determine the magnitude of a current act of misconduct, a discharge for misconduct cannot be based on such past act or acts. The termination of employment must be based on a current act.

#### 871 IAC 24.32(4) provides:

(4) Report required. The claimant's statement and the employer's statement must give detailed facts as to the specific reason for the claimant's discharge. Allegations of misconduct or dishonesty without additional evidence shall not be sufficient to result in disqualification. If the employer is unwilling to furnish available evidence to corroborate the allegation, misconduct cannot be established. In cases where a suspension or disciplinary layoff exists, the claimant is considered as discharged, and the issue of misconduct shall be resolved.

The gravity of the incident, number of policy violations, and prior warnings are factors considered when analyzing misconduct. The lack of a current warning may detract from a finding of an intentional policy violation. The Iowa Supreme Court has opined that one unexcused absence is not misconduct even when it followed nine other excused absences and was in violation of a direct order. <u>Sallis v. EAB</u>, 437 N.W.2d 895 (Iowa 1989). <u>Higgins v. Iowa Department of Job Service</u>, 350 N.W.2d 187 (Iowa 1984), held that the absences must be both excessive and unexcused. The Iowa Supreme Court has held that excessive is more than one. Three incidents of tardiness or absenteeism after a warning has been held misconduct. <u>Clark v.</u>

<u>lowa Department of Job Service</u>, 317 N.W.2d 517 (lowa App. 1982). While three is a reasonable interpretation of excessive based on current case law and Webster's Dictionary, the interpretation is best derived from the facts presented.

In this matter, the evidence fails to establish that claimant was discharged for an act of misconduct when claimant violated employer's policy concerning absenteeism. Claimant was warned concerning this policy.

The last incident, which brought about the discharge, fails to constitute misconduct because claimant had approval to take the vacation. An error in filling out a form does not change the fact that the employer through the total view program and through claimant's actual supervisor were on notice of the dates of vacation. This is approved vacation and not unexcused absenteeism. Even if it were deemed unexcused, it would qualify as one event only caused by a single scrivener's error. Since all prior events were excused due to illness and a non-preventable deer accident, it would not qualify as excessive unexcused absenteeism. The administrative law judge holds that claimant was not discharged for an act of misconduct and, as such, is not disqualified for the receipt of unemployment insurance benefits.

## **DECISION:**

The decision of the representative dated March 9, 2010, reference 01, is reversed. Claimant is eligible to receive unemployment insurance benefits, provided claimant meets all other eligibility requirements.

Marlon Mormann Administrative Law Judge

Decision Dated and Mailed

mdm/kjw