IOWA DEPARTMENT OF INSPECTIONS AND APPEALS ADMINISTRATIVE HEARINGS DIVISION, UI APPEALS BUREAU

TAMMY L KEEHNER

Claimant

APPEAL NO. 22A-UI-15134-B2T

ADMINISTRATIVE LAW JUDGE DECISION

ARAMARK CORPORATION

Employer

OC: 06/12/22

Claimant: Respondent (2)

lowa Code § 96.5-1 – Voluntary Quit lowa Code § 96.3-7 – Recovery of Overpayment of Benefits 871 IA Admin. Code 24(10) – Employer Participation in Fact Finding

STATEMENT OF THE CASE:

Employer filed an appeal from a decision of a representative dated July 5, 2022, (reference 01) which held claimant eligible for unemployment insurance benefits. After due notice, a hearing was scheduled for and held on October 13, 2022. Claimant participated personally. Employer participated by hearing representative Jacqueline Jones and witnesses Kathy Gratace and Luke Shogren. Claimant's exhibits A-B were admitted into evidence.

ISSUES:

Whether claimant guit for good cause attributable to employer?

Whether claimant was overpaid benefits?

If claimant was overpaid benefits, should claimant repay benefits or should employer be charged due to employer's participation or lack thereof in fact finding?

FINDINGS OF FACT:

The administrative law judge, having heard the testimony and considered all of the evidence in the record, finds: Claimant last worked for employer on June 17, 2022. Claimant voluntarily quit on June 17, 2022 as she felt that her duties as a food service manager were taken away from her when she returned from an extended absence.

Claimant worked as a full time food service manager for employer since June of 2019. During that time, claimant would often come in to work at very early hours, arriving at 3:00 am if she had trouble sleeping. Claimant would also make out the food orders, unload the food delivery trucks, create menus, and assist the workers in many of their ongoing tasks.

Claimant had foot surgery for a non-work-related injury and was off from work from March 29, 2022 until June 12, 2022. During that time, Kathy Gratace, the claimant's General Manager,

assumed her role while she was out. She started doing the ordering of the items and doing the menu preparation, and had other workers emptying out the food delivery trucks.

While claimant was out on her ten-week foot surgery and recovery, she contacted human resources to complain that she was being asked to do tasks that were unrelated to her job as a food services manager.

Prior to claimant returning to work, claimant requested and was granted vacation time off for two weeks within the first month of claimant's return back to work.

When claimant returned back to work, her manager explained to claimant that she was going to continue to do the food orders and was going to continue with the menu preparation. In addition to doing this, the general manger told the claimant that she shouldn't be coming in to work prior to 6:30am and should not be unloading the delivery truck as the food service manager. The general manager explained that she took these actions because claimant raise concerns about doing non-managerial duties, so the GM was taking away duties like emptying out the delivery truck. Additionally, claimant did not need to exhaust herself by showing up at 3:00 am for work. The reason the GM kept the menu preparation and the food ordering when the claimant returned was because the claimant was going to be leaving on vacation multiple times for vacation in the next month. It was easier for the GM to continue with the duties she'd had for the last 10 weeks than it would be to give the claimant the duties back, then take them back for a week, then give them back to the claimant for another week, then take it back for another week and then give them to the claimant.

Claimant felt as though she had all of her regular duties taken away from her. The district manager, the general manager and claimant had a group phone discussion on June 16, 2022. After an hour of excited conversation of the loss of her duties, the general manager left the conversation. Claimant expressed her ongoing frustration to the district manager after the GM left the discussion, and chose to guit her employment later that day.

Claimant has received unemployment benefits in this matter of \$3,507.00.

Employer did not substantially participate in fact finding in this matter as the fact finder notes that employer's information indicates no involvement in fact finding.

REASONING AND CONCLUSIONS OF LAW:

lowa Code section 96.5(1) provides:

An individual shall be disqualified for benefits, regardless of the source of the individual's wage credits:

1. Voluntary quitting. If the individual has left work voluntarily without good cause attributable to the individual's employer, if so found by the department.

lowa Code section 96.3(7)a-b, as amended in 2008, provides:

- 7. Recovery of overpayment of benefits.
- a. If an individual receives benefits for which the individual is subsequently determined to be ineligible, even though the individual acts in good faith and is not otherwise at fault, the benefits shall be recovered. The department in its discretion may recover the

overpayment of benefits either by having a sum equal to the overpayment deducted from any future benefits payable to the individual or by having the individual pay to the department a sum equal to the overpayment.

- b. (1) (a) If the department determines that an overpayment has been made, the charge for the overpayment against the employer's account shall be removed and the account shall be credited with an amount equal to the overpayment from the unemployment compensation trust fund and this credit shall include both contributory and reimbursable employers, notwithstanding section 96.8, subsection 5. The employer shall not be relieved of charges if benefits are paid because the employer or an agent of the employer failed to respond timely or adequately to the department's request for information relating to the payment of benefits. This prohibition against relief of charges shall apply to both contributory and reimbursable employers.
- (b) However, provided the benefits were not received as the result of fraud or willful misrepresentation by the individual, benefits shall not be recovered from an individual if the employer did not participate in the initial determination to award benefits pursuant to section 96.6, subsection 2, and an overpayment occurred because of a subsequent reversal on appeal regarding the issue of the individual's separation from employment.
- (2) An accounting firm, agent, unemployment insurance accounting firm, or other entity that represents an employer in unemployment claim matters and demonstrates a continuous pattern of failing to participate in the initial determinations to award benefits, as determined and defined by rule by the department, shall be denied permission by the department to represent any employers in unemployment insurance matters. This subparagraph does not apply to attorneys or counselors admitted to practice in the courts of this state pursuant to section 602.10101.

lowa Admin. Code r. 871-24.10 provides:

Employer and employer representative participation in fact-finding interviews.

(1) "Participate." as the term is used for employers in the context of the initial determination to award benefits pursuant to lowa Code section 96.6, subsection 2, means submitting detailed factual information of the quantity and quality that if unrebutted would be sufficient to result in a decision favorable to the employer. The most effective means to participate is to provide live testimony at the interview from a witness with firsthand knowledge of the events leading to the separation. If no live testimony is provided, the employer must provide the name and telephone number of an employee with firsthand information who may be contacted, if necessary, for rebuttal. A party may also participate by providing detailed written statements or documents that provide detailed factual information of the events leading to separation. At a minimum, the information provided by the employer or the employer's representative must identify the dates and particular circumstances of the incident or incidents, including, in the case of discharge, the act or omissions of the claimant or, in the event of a voluntary separation, the stated reason for the quit. The specific rule or policy must be submitted if the claimant was discharged for violating such rule or policy. In the case of discharge for attendance violations, the information must include the circumstances of all incidents the employer or the employer's representative contends meet the definition of unexcused absences as set forth in 871—subrule 24.32(7). On the other hand, written or oral statements or general conclusions without supporting detailed factual information and

information submitted after the fact-finding decision has been issued are not considered participation within the meaning of the statute.

- (2) "A continuous pattern of nonparticipation in the initial determination to award benefits," pursuant to lowa Code section 96.6, subsection 2, as the term is used for an entity representing employers, means on 25 or more occasions in a calendar quarter beginning with the first calendar quarter of 2009, the entity files appeals after failing to participate. Appeals filed but withdrawn before the day of the contested case hearing will not be considered in determining if a continuous pattern of nonparticipation exists. The division administrator shall notify the employer's representative in writing after each such appeal.
- (3) If the division administrator finds that an entity representing employers as defined in lowa Code section 96.6, subsection 2, has engaged in a continuous pattern of nonparticipation, the division administrator shall suspend said representative for a period of up to six months on the first occasion, up to one year on the second occasion and up to ten years on the third or subsequent occasion. Suspension by the division administrator constitutes final agency action and may be appealed pursuant to lowa Code section 17A.19.
- (4) "Fraud or willful misrepresentation by the individual," as the term is used for claimants in the context of the initial determination to award benefits pursuant to lowa Code section 96.6, subsection 2, means providing knowingly false statements or knowingly false denials of material facts for the purpose of obtaining unemployment insurance benefits. Statements or denials may be either oral or written by the claimant. Inadvertent misstatements or mistakes made in good faith are not considered fraud or willful misrepresentation.

This rule is intended to implement lowa Code section 96.3(7)"b" as amended by 2008 lowa Acts, Senate File 2160.

The administrative law judge holds that the evidence has failed to establish that claimant voluntarily quit for good cause attributable to employer when claimant terminated the employment relationship because she believed that her position was permanently changed when claimant was off from work for ten weeks recovering from a surgery. Testimony received indicates that the changes made to claimant's position were in line with claimant's complaints to human resources that she was being asked to do tasks outside of those normally assigned to a food service manager. Those tasks that the general manager had not immediately return ed to claimant upon her return were reasonably not returned as claimant was going to be gone repeatedly within the next month and it was easier and more convenient for the general manager to keep the tasks until claimants multiple vacations were out of the way before she once again took up the menu and food ordering tasks.

Benefits are denied.

The overpayment issue was addressed. Claimant has received \$3,507.00 in unemployment benefits in this matter. These benefits are overpayments.

The issue of employer participation was addressed. The record of the fact finder shows that the employer did not substantially participate in fact finding in this matter such that employer's account will be charged for the overpaid unemployment benefits received by claimant in this matter.

DECISION:

The decision of the representative dated July 5, 2022, (reference 01) is reversed. Unemployment insurance benefits shall be withheld until claimant has worked in and been paid wages for insured work equal to ten times claimant's weekly benefit amount, provided claimant is otherwise eligible.

The claimant has been overpaid \$ 3,507.00 in regular unemployment insurance benefits, but she is not obligated to repay the agency those benefits. The employer did not substantially participate in the fact-finding interview and its account shall be charged for the overpaid benefits received by the claimant.

Blair Bennett| Administrative Law Judge II lowa Department of Inspections & Appeals

October 18, 2022

Decision Dated and Mailed

bab/mh

APPEAL RIGHTS. If you disagree with the decision, you or any interested party may:

1. Appeal to the Employment Appeal Board within fifteen (15) days of the date under the judge's signature by submitting a written appeal via mail, fax, or online to:

Employment Appeal Board 4th Floor – Lucas Building Des Moines, Iowa 50319 Fax: (515)281-7191 Online: eab.iowa.gov

The appeal period will be extended to the next business day if the last day to appeal falls on a weekend or a legal holiday. There is no filing fee to file an appeal with the Employment Appeal Board.

AN APPEAL TO THE BOARD SHALL STATE CLEARLY:

- 1) The name, address, and social security number of the claimant.
- 2) A reference to the decision from which the appeal is taken.
- 3) That an appeal from such decision is being made and such appeal is signed.
- 4) The grounds upon which such appeal is based.

An Employment Appeal Board decision is final agency action. If a party disagrees with the Employment Appeal Board decision, they may file a petition for judicial review in district court.

2. If you do not file an appeal of the judge's decision with the Employment Appeal Board within fifteen (15) days, the decision becomes final agency action, and you have the option to file a petition for judicial review in District Court within thirty (30) days after the decision becomes final. Additional information on how to file a petition can be found at www.iowacourts.gov/efile. There may be a filing fee to file the petition in District Court.

Note to Parties: YOU MAY REPRESENT yourself in the appeal or obtain a lawyer or other interested party to do so provided there is no expense to Workforce Development. If you wish to be represented by a lawyer, you may obtain the services of either a private attorney or one whose services are paid for with public funds.

Note to Claimant: It is important that you file your weekly claim as directed, while this appeal is pending, to protect your continuing right to benefits.

SERVICE INFORMATION:

A true and correct copy of this decision was mailed to each of the parties listed.

DERECHOS DE APELACIÓN. Si no está de acuerdo con la decisión, usted o cualquier parte interesada puede:

1. Apelar a la Junta de Apelaciones de Empleo dentro de los quince (15) días de la fecha bajo la firma del juez presentando una apelación por escrito por correo, fax o en línea a:

Employment Appeal Board 4th Floor – Lucas Building Des Moines, Iowa 50319 Fax: (515)281-7191 En línea: eab.iowa.gov

El período de apelación se extenderá hasta el siguiente día hábil si el último día para apelar cae en fin de semana o día feriado legal. No hay tarifa de presentación para presentar una apelación ante la Junta de Apelación de Empleo.

UNA APELACIÓN A LA JUNTA DEBE ESTABLECER CLARAMENTE:

- 1) El nombre, dirección y número de seguro social del reclamante.
- 2) Una referencia a la decisión de la que se toma la apelación.
- 3) Que se interponga recurso de apelación contra tal decisión y se firme dicho recurso.
- 4) Los fundamentos en que se funda dicho recurso.

Una decisión de la Junta de Apelaciones de Empleo es una acción final de la agencia. Si una de las partes no está de acuerdo con la decisión de la Junta de Apelación de Empleo, puede presentar una petición de revisión judicial en el tribunal de distrito.

2. Si no presenta una apelación de la decisión del juez ante la Junta de Apelación de Empleo dentro de los quince (15) días, la decisión se convierte en una acción final de la agencia y tiene la opción de presentar una petición de revisión judicial en el Tribunal de Distrito dentro de los treinta (30) días. Puede encontrar información adicional sobre cómo presentar una petición en www.iowacourts.gov/efile. Puede haber una tarifa de presentación para presentar la petición en el Tribunal de Distrito.

Nota para las partes: USTED PUEDE REPRESENTARSE en la apelación u obtener un abogado u otra parte interesada para que lo haga, siempre que no haya gastos para Workforce Development. Si desea ser representado por un abogado, puede obtener los servicios de un abogado privado o uno cuyos servicios se paguen con fondos públicos.

Nota para el reclamante: es importante que presente su reclamo semanal según las instrucciones, mientras esta apelación está pendiente, para proteger su derecho continuo a los beneficios.

SERVICIO DE INFORMACIÓN:

Se envió por correo una copia fiel y correcta de esta decisión a cada una de las partes enumeradas.