IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

SARAH J GLENN

Claimant

APPEAL 22A-UI-01511-S2-T

ADMINISTRATIVE LAW JUDGE DECISION

CBE COMPANIES, INC

Employer

OC: 11/07/21

Claimant: Appellant (2)

Iowa Code § 96.5(2)a – Discharge for Misconduct Iowa Admin. Code r. 871-24.32(7) – Excessive Unexcused Absenteeism

STATEMENT OF THE CASE:

The claimant filed an appeal from the December 1, 2021, (reference 01) unemployment insurance decision that denied benefits based upon a finding that claimant was discharged for violation of a known company rule. The parties were properly notified of the hearing. A telephone hearing was held on February 8, 2021. The claimant Sarah J. Glenn participated. The employer CBE Companies, Inc. participated through operations manager Megan Dean, operations supervisor Amber Kleiner and was represented by Madison Bramstedt. Employer's Exhibits 1 – 8 were received.

ISSUE:

Was the claimant discharged for disqualifying job-related misconduct?

FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: Claimant was employed full time as a selector from February 10, 2020, until this employment ended on November 10, 2021, when she was discharged.

Employer has an attendance policy which designates point values to attendance infractions. Warnings are given for every ten points an employee earns. (Exhibit 7) An employee is subject to discharge if they incur three written warnings within a six-month period. (Exhibit 8) Employees are also expected to notify the employer by telephone at least 30 minutes prior to a shift if they are unable to work. Claimant was aware of these policies.

The employer assessed points to the claimant based upon the following absences:

January 5, 2021: 3 points (illness) January 28, 2021: 4 points (illness) January 29, 2021: 4 points (illness) March 24, 2021: 3.5 points (illness) March 26, 2021: 2.25 points (illness) March 29, 2021: 6.75 points (illness)

Aril 7, 2021: 2.5 points (illness)

April 14, 2021: .5 point (long break), .5 point (long lunch)

April 16, 2021: .5 point (long break) April 19, 2021: 4 points (illness) April 20, 2021: 8 points (illness) June 1, 2021: 1 point (illness)

June 11, 2021: 2.5 points (illness), .5 point (long lunch)

July 20, 2021: 2 points (illness) July 21, 2021: 2 points (illness) August 5, 2021: .25 points (illness) August 6, 2021: 1 point (illness) November 2, 2021: 6.5 points (illness) November 5, 2021: 8 points (illness)

Claimant's absences related to illness were due to either her own illness or to her six-year-old child's illness. Claimant is a single mother, and her child is too young to remain home alone when ill. Each of claimant's absences were properly reported with the exception of her long lunches or breaks.

The final absence occurred on November 5, 2021, when claimant was absent due to a personal issue. This absence caused claimant to exceed 60 points, and employer gave her a written warning on November 10, 2021. (Exhibit 6) In addition, employer gave claimant another written warning on November 10, 2021, because claimant exceeded 50 points with a previous absence. (Exhibit 5) Claimant previously received a written warning on June 7, 2021. (Exhibit 4) On November 10, 2021, employer discharged claimant for receiving her third written warning in six months.

REASONING AND CONCLUSIONS OF LAW:

For the reasons that follow, the administrative law judge concludes the claimant was discharged for no disqualifying reason. Benefits are allowed.

Iowa Code § 96.5(2)a provides:

An individual shall be disqualified for benefits:

- 2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:
- a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

Iowa Admin. Code r. 871-24.32(1)a provides:

Discharge for misconduct.

- (1) Definition.
- a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such

worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

This definition has been accepted by the lowa Supreme Court as accurately reflecting the intent of the legislature. *Huntoon v. lowa Dep't of Job Serv.*, 275 N.W.2d 445, 448 (lowa 1979).

Iowa Admin. Code r. 871-24.32(7) provides:

(7) Excessive unexcused absenteeism. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer.

Excessive absences are not considered misconduct unless unexcused. Absences due to properly reported illness cannot constitute work-connected misconduct since they are not volitional, even if the employer was fully within its rights to assess points or impose discipline up to or including discharge for the absence under its attendance policy. Iowa Admin. Code r. 871-24.32(7); Cosper, supra; Gaborit v. Emp't Appeal Bd., 734 N.W.2d 554 (Iowa Ct. App. 2007). Medical documentation is not essential to a determination that an absence due to illness should be treated as excused. Gaborit, supra. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer. Iowa Admin. Code r. 871-24.32(7) (emphasis added); see Higgins v. lowa Dep't of Job Serv., 350 N.W.2d 187, 190, n. 1 (lowa 1984) holding "rule [2]4.32(7)...accurately states the law." The requirements for a finding of misconduct based on absences are therefore twofold. First, the absences must be excessive. Sallis v. Emp't Appeal Bd., 437 N.W.2d 895 (lowa 1989). The determination of whether unexcused absenteeism is excessive necessarily requires consideration of past acts and warnings. Higgins at 192. Second, the absences must be unexcused. Cosper at 10. The requirement of "unexcused" can be satisfied in two ways. An absence can be unexcused either because it was not for "reasonable grounds," Higgins at 191, or because it was not "properly reported," holding excused absences are those "with appropriate notice." Cosper at 10.

The determination of whether unexcused absenteeism is excessive necessarily requires consideration of past acts and warnings. The term "absenteeism" also encompasses conduct that is more accurately referred to as "tardiness." An absence is an extended tardiness and an incident of tardiness is a limited absence. *Higgins*, 350 N.W.2d at 190 (lowa 1984). Absences related to issues of personal responsibility such as transportation, lack of childcare, and oversleeping is not considered excused. *Id.* at 191. Absences due to illness or injury must be properly reported in order to be excused. *Cosper*, 321 N.W.2d at 10-11 (lowa 1982). Absences in good faith, for good cause, with appropriate notice, are not misconduct. *Id.* at 10. They may be grounds for discharge but not for disqualification of benefits because substantial disregard

for the employer's interest is not shown and this is essential to a finding of misconduct. *Id.* Excessive absenteeism has been found when there have been seven unexcused absences in five months; five unexcused absences and three instances of tardiness in eight months; three unexcused absences over an eight-month period; three unexcused absences over seven months; and missing three times after being warned. *See Higgins*, 350 N.W.2d at 192 (lowa 1984); *Infante v. Iowa Dep't of Job Serv.*, 321 N.W.2d 262 (lowa App. 1984); *Armel v. EAB*, 2007 WL 3376929*3 (lowa App. Nov. 15, 2007); *Hiland v. EAB*, No. 12-2300 (lowa App. July 10, 2013); and *Clark v. Iowa Dep't of Job Serv.*, 317 N.W.2d 517 (lowa App. 1982).

In this case, claimant had seventeen properly reported absences due to illness. Those absences would be excused based upon the reason and because the claimant properly reported. Claimant's tardies on April 14, April 16, and June 11, 2021, would be considered unexcused based upon the reason. Claimant therefore had four unexcused tardies before discharge.

Excessiveness by its definition implies an amount or degree too great to be reasonable or acceptable. Here, the employer has failed to establish the claimant was discharged for excessive unexcused absenteeism. Four unexcused absences during a twelve-month period are not disqualifying since they do not meet the excessiveness standard. Therefore, the administrative law judge concludes employer may have good business reasons to discharge the claimant but has failed to meet its burden of proof of establishing the claimant was discharged for disqualifying misconduct. Benefits are allowed, provided claimant is otherwise eligible.

Nothing in this decision should be interpreted as a condemnation of the employer's right to terminate the claimant for violating its policies and procedures. The employer had a right to follow its policies and procedures. The analysis of unemployment insurance eligibility, however, does not end there. This ruling simply holds that the employer did not meet its burden of proof to establish the claimant's conduct leading to separation was misconduct under lowa law.

DECISION:

The December 1, 2021, (reference 01) unemployment insurance decision is reversed. Claimant was discharged from employment for no disqualifying reason. Benefits are allowed, provided she is otherwise eligible. Any benefits claimed and withheld on this basis shall be paid

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Stephanie Adkisson Administrative Law Judge Unemployment Insurance Appeals Bureau 1000 East Grand Avenue Des Moines, Iowa 50319-0209 Fax (515)478-3528

March 2, 2022

Decision Dated and Mailed

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