IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

DEANGELA L REED

Claimant

APPEAL 20A-UI-02229-AW-T

ADMINISTRATIVE LAW JUDGE DECISION

SAFELITE SOLUTIONS LLC

Employer

OC: 02/16/20

Claimant: Appellant (1)

Iowa Code § 96.5(2)a – Discharge for Misconduct Iowa Code § 96.3(7) – Recovery of Benefit Overpayment PL116-136, Sec. 2104 – Federal Pandemic Unemployment Compensation Overpayment

STATEMENT OF THE CASE:

Claimant filed an appeal from the March 9, 2020 (reference 01) unemployment insurance decision that denied benefits. The parties were properly notified of the hearing. A telephone hearing was held on April 24, 2020, at 8:00 a.m. Claimant participated. Employer participated through Cathy Harvey, Hearing Representative, and Annette Kohl, Operations Manager. Employer's Exhibit 1 was admitted. Official notice was taken of the administrative record. The parties waived notice for the issue of overpayment of benefits.

ISSUES:

Whether claimant's separation was a discharge for disqualifying job-related misconduct. Whether claimant was overpaid benefits.

FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: Claimant was employed as a part-time customer service representative from February 11, 2019 until her employment with Safelite Solutions, LLC ended on February 19, 2020. Claimant worked every day except Tuesday and Saturday from 8:00 a.m. until 1:00 p.m. Claimant's direct supervisor was Diane Hollenbeck.

Employer has a points-based attendance policy outlined in its employee handbook. The policy requires employees to call an attendance line to notify employer if they will be late or absent. Notice must be given prior to the beginning of the shift. Claimant received a copy of the handbook.

On June 21, 2019, claimant was over an hour late to work. Claimant does not recall the reason for her tardiness. On June 23, 2019, claimant was tardy returning to work after break, because her watch was not synched to her work clock. On November 3, 2019, claimant was absent from work due to car trouble. On November 13, 2019, claimant left work early due to illness and informed employer prior to leaving work. On November 14, 2019, claimant received a written

warning regarding attendance. On December 22, 2019, claimant was absent due to health issues and notified employer prior to the beginning of her shift. On December 24, 2019, claimant received a final written warning regarding attendance. The warning stated that additional absences may result in further corrective action up to and including immediate dismissal. On January 26, 2020, claimant was over an hour late to work and does not recall the reason for her tardiness. This absence should have resulted in termination of employment. On January 29, 2020, employer issued another final written warning regarding attendance as a last chance for claimant to preserve her employment. The warning also stated that additional absences may result in further corrective action up to and including immediate dismissal. On February 10, 2020, claimant was an over an hour late to work due to car trouble. On February 13, 2020, employer notified claimant that it was reviewing her absence from February 10, 2020 to determine what disciplinary action would be taken. On February 19, 2020, employer discharged claimant for excessive absenteeism. Claimant knew that her job was in jeopardy.

Despite being denied benefits at the initial fact-finding, the decision was made by Iowa Workforce Development to release funds of claimants while their appeals were pending due to the backlog in appeals caused by the recent COVID 19 outbreak. Claimant was one of the individuals whose funds were released pending appeal. The administrative record reflects that claimant filed for and has received regular unemployment insurance (UI) benefits in the gross amount of \$1,392.00 for the nine-week period between February 16, 2020 and April 18, 2020.

In addition to regular unemployment insurance benefits, claimant also received Federal Pandemic Unemployment Compensation (FPUC) in the gross amount of \$1,200.00 for the two-week period between April 5, 2020 and April 18, 2020.

REASONING AND CONCLUSIONS OF LAW:

For the reasons that follow, the administrative law judge concludes claimant was discharged for disqualifying job-related misconduct. Benefits are denied.

Iowa Code section 96.5(2)(a) provides:

An individual shall be disqualified for benefits:

- 2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:
- a. The disqualification shall continue until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

Iowa Admin. Code r. 871-24.32(1)(a) provides:

a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith

errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

This definition of misconduct has been accepted by the Iowa Supreme Court as accurately reflecting the intent of the legislature. *Reigelsberger v. Emp't Appeal Bd.*, 500 N.W.2d 64, 66 (Iowa 1993); accord Lee v. Emp't Appeal Bd., 616 N.W.2d 661, 665 (Iowa 2000). Further, the employer has the burden of proof in establishing disqualifying job misconduct. *Cosper v. Iowa Dep't of Job Serv.*, 321 N.W.2d 6 (Iowa 1982).

Iowa Admin. Code r. 871-24.32(7) provides:

(7) Excessive unexcused absenteeism. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer.

Iowa Admin. Code r. 871-24.32(8) provides:

(8) Past acts of misconduct. While past acts and warnings can be used to determine the magnitude of a current act of misconduct, a discharge for misconduct cannot be based on such past act or acts. The termination of employment must be based on a current act.

The issue is not whether the employer made a correct decision in separating claimant, but whether the claimant is entitled to unemployment insurance benefits. *Infante v. Iowa Dep't of Job Serv.*, 364 N.W.2d 262 (Iowa Ct. App. 1984). What constitutes misconduct justifying termination of an employee and what misconduct warrants denial of unemployment insurance benefits are two separate decisions. *Pierce v. Iowa Dep't of Job Serv.*, 425 N.W.2d 679 (Iowa Ct. App. 1988).

Excessive absences are not considered misconduct unless unexcused. The requirements for a finding of misconduct based on absences are therefore twofold. First, the absences must be excessive. Sallis v. Emp't Appeal Bd., 437 N.W.2d 895 (lowa 1989). The determination of whether unexcused absenteeism is excessive necessarily requires consideration of past acts and warnings. Higgins v. lowa Dep't of Job Serv., 350 N.W.2d 187, 192 (lowa 1984). Second, the absences must be unexcused. Cosper, 321 N.W.2d at 10. The requirement of "unexcused" can be satisfied in two ways. An absence can be unexcused either because it was not for "reasonable grounds," Higgins, 350 N.W.2d at 191, or because it was not "properly reported," holding excused absences are those "with appropriate notice." Cosper, 321 N.W.2d at 10.

Absences due to properly reported illness cannot constitute work-connected misconduct since they are not volitional, even if the employer was fully within its rights to assess points or impose discipline up to or including discharge for the absence under its attendance policy. Iowa Admin. Code r. 871-24.32(7); Cosper, 321 N.W.2d at 9; Gaborit v. Emp't Appeal Bd., 734 N.W.2d 554 (Iowa Ct. App. 2007). Medical documentation is not essential to a determination that an absence due to illness should be treated as excused. See Gaborit, 734 N.W.2d at 555-558. An employer's no-fault absenteeism policy or point system is not dispositive of the issue of qualification for unemployment insurance benefits. Absences related to issues of personal responsibility such as transportation, lack of childcare, and oversleeping are not considered excused. Higgins, 350 N.W.2d at 191. When claimant does not provide an excuse for an absence the absences is deemed unexcused. Id.; see also Spragg v. Becker-Underwood, Inc., 672 N.W.2d 333, 2003 WL 22339237 (Iowa App. 2003). The term "absenteeism" also encompasses conduct that is more accurately referred to as "tardiness." An absence is an extended tardiness; and an incident of tardiness is a limited absence.

Excessive absenteeism has been found when there have been seven unexcused absences in five months; five unexcused absences and three instances of tardiness in eight months; three unexcused absences over an eight-month period; three unexcused absences over seven months; and missing three times after being warned. See Higgins, 350 N.W.2d at 192 (Iowa 1984); Infante v. Iowa Dep't of Job Serv., 364 N.W.2d 262 (Iowa App. 1984); Armel v. EAB, 2007 WL 3376929*3 (Iowa App. Nov. 15, 2007); Hiland v. EAB, No. 12-2300 (Iowa App. July 10, 2013); and Clark v. Iowa Dep't of Job Serv., 317 N.W.2d 517 (Iowa App. 1982).

Claimant's absences on November 13, 2019 and December 22, 2019 were due to illness and were properly reported; therefore, those absences are excused. Claimant's five other absences outlined above were not for good cause, either because no reason was provided or the reason was one of personal responsibility. Because those absences were not for good cause, they are unexcused. Claimant accrued five unexcused absences in less than eight months of employment. Claimant accrued two of the absences after receiving a final written warning and one after receiving a last chance warning. Claimant's unexcused absenteeism was excessive and constitutes job-related misconduct. Benefits are denied.

As claimant was receiving benefits pending appeal, the next issue to be determined is whether claimant has been overpaid UI benefits. For the reasons that follow, the administrative law judge concludes claimant was overpaid UI benefits which must be repaid.

Iowa Code section 96.3.(7) states:

- 7. Recovery of overpayment of benefits.
- a. If an individual receives benefits for which the individual is subsequently determined to be ineligible, even though the individual acts in good faith and is not otherwise at fault, the benefits shall be recovered. The department in its discretion may recover the overpayment of benefits either by having a sum equal to the overpayment deducted from any future benefits payable to the individual or by having the individual pay to the department a sum equal to the overpayment.

Since the disqualifying decision has been affirmed, benefits were paid to which claimant was not entitled. The administrative law judge concludes that claimant has been overpaid UI in the gross amount of \$1,392.00 for the nine-week period between February 16, 2020 and April 18, 2020. Those benefits must be recovered in accordance with lowa law.

PL116-136, Sec. 2104 provides, in pertinent part:

- (b) Provisions of Agreement
- (1) Federal pandemic unemployment compensation.--Any agreement under this section shall provide that the State agency of the State will make payments of regular compensation to individuals in amounts and to the extent that they would be determined if the State law of the State were applied, with respect to any week for which the individual is (disregarding this section) otherwise entitled under the State law to receive regular compensation, as if such State law had been modified in a manner such that the amount of regular compensation (including dependents' allowances) payable for any week shall be equal to
- (A) the amount determined under the State law (before the application of this paragraph), plus

(B) an additional amount of \$600 (in this section referred to as "Federal Pandemic Unemployment Compensation").

. . . .

- (f) Fraud and Overpayments
- (2) Repayment.--In the case of individuals who have received amounts of Federal Pandemic Unemployment Compensation to which they were not entitled, the State shall require such individuals to repay the amounts of such Federal Pandemic Unemployment Compensation to the State agency...

Because claimant is disqualified from receiving UI, claimant is also disqualified from receiving FPUC. The administrative law judge concludes that claimant has been overpaid FPUC in the gross amount of \$1,200.00 for the two week period April 5, 2020 and April 18, 2020. Claimant is required to repay those benefits.

Note to Claimant: This decision determines you are not eligible for regular unemployment insurance benefits. If you disagree with this decision you may file an appeal to the Employment Appeal Board by following the instructions on the first page of this decision. Individuals who do not qualify for regular unemployment insurance benefits due to disqualifying separations, but who are currently unemployed for reasons related to COVID-19 may qualify for Pandemic Unemployment Assistance (PUA). You will need to apply for PUA to determine your eligibility under the program. Additional information on how to apply for PUA can be found at https://www.iowaworkforcedevelopment.gov/pua-information.

DECISION:

The March 9, 2020 (reference 01) unemployment insurance decision is affirmed. Claimant was discharged for disqualifying job-related misconduct. Benefits are denied until claimant has worked in and been paid wages for insured work equal to ten times her weekly benefit amount, provided she is otherwise eligible.

Claimant was overpaid regular unemployment insurance benefits in the gross amount of \$1,392.00 for the nine-week period between February 16, 2020 and April 18, 2020, which must be repaid. Claimant has been overpaid Federal Pandemic Unemployment Compensation in the gross amount of \$1,200.00 for the two week period April 5, 2020 and April 18, 2020, which must be repaid.

Adrienne C. Williamson

Administrative Law Judge

Unemployment Insurance Appeals Bureau

Iowa Workforce Development

1000 East Grand Avenue

Des Moines, Iowa 50319-0209

Fax (515)478-3528

April 27, 2020

Decision Dated and Mailed

acw/scn