IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

HOWARD BURCH

Claimant

APPEAL 17A-UI-01837-JCT

ADMINISTRATIVE LAW JUDGE DECISION

KINSETH HOTEL CORPORATION

Employer

OC: 01/15/17

Claimant: Respondent (1)

Iowa Code § 96.5(2)a – Discharge for Misconduct

Iowa Admin, Code r. 871-24.32(7) – Excessive Unexcused Absenteeism

Iowa Code § 96.3(7) – Recovery of Benefit Overpayment

Iowa Admin. Code r. 871-24.10 - Employer/Representative Participation Fact-finding Interview

STATEMENT OF THE CASE:

The employer filed an appeal from the February 10, 2017, (reference 01) unemployment insurance decision that allowed benefits. The parties were properly notified about the hearing. A telephone hearing was held on March 10, 2017. The claimant did not register a phone number with the Appeals Bureau and did not participate. The employer was represented by Diana Perry-Lehr, hearing representative with Employer's Unity. Employer witness included Ryan Dix, restaurant manager. Gloria Herr, claims specialist with Employer's Unity, testified regarding the fact-finding interview only. Employer Exhibit 1 was received into evidence. The administrative law judge took official notice of the administrative records including the fact-finding documents. Based on the evidence, the arguments presented, and the law, the administrative law judge enters the following findings of fact, reasoning and conclusions of law, and decision.

ISSUES:

Was the claimant discharged for disqualifying job-related misconduct?

Has the claimant been overpaid any unemployment insurance benefits, and if so, can the repayment of those benefits to the agency be waived?

Can any charges to the employer's account be waived?

FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: The claimant was employed part-time as a banquet server/wait staff and was separated from employment on August 3, 2016, when he was discharged.

Prior to separation, the claimant had no prior warnings for attendance or no call/no shows. The employer's policy provides that employees are to call a supervisor three hours prior to a shift starting, and upon three consecutive no call/no shows, an employee will be separated. The

claimant would have been trained on employer policies at the time of hire. The employer also posts its schedule at the host desk on Tuesdays.

The undisputed evidence is the claimant last performed work on June 18, 2016. There is no evidence that he quit at that time or was told not to return by the employer. According to the employer, the claimant picked up an additional shift, from 2:00 to 10:00 p.m. on June 19, 2016, but did not work the shift or notify the employer of his absence. Mr. Dix was unsure who coordinated the claimant picking up the shift or who the claimant notified that he had accepted the shift. The claimant then was a no call/no show for his shift on July 3, 2016. Mr. Dix believed his manager made attempts to reach the claimant but was unsure when, or how. There was not a third no call/no show. No further contact was made with the claimant, and he was not put on future schedules. On August 3, 2016, the employer initiated separation, and Mr. Dix was unaware why there had been a one month delay since his last assigned shift until separation.

The administrative record reflects that claimant has received unemployment benefits in the amount of \$1,316.00, since filing a claim with an effective date of January 15, 2017. The administrative record also establishes that the employer did participate in the February 8, 2017, fact-finding interview by way its vendor representative, Gloria Herr.

REASONING AND CONCLUSIONS OF LAW:

For the reasons that follow, the administrative law judge concludes the claimant was not discharged from employment due to job-related misconduct.

Iowa Code § 96.5-2-a provides:

An individual shall be disqualified for benefits:

- 2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:
- a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

Iowa Admin. Code r. 871-24.32(1)a provides:

Discharge for misconduct.

- (1) Definition.
- a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith

errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

This definition has been accepted by the Iowa Supreme Court as accurately reflecting the intent of the legislature. *Huntoon v. Iowa Dep't of Job Serv.*, 275 N.W.2d 445, 448 (Iowa 1979).

"This is the meaning which has been given the term in other jurisdictions under similar statutes, and we believe it accurately reflects the intent of the legislature." *Huntoon v. Iowa Department of Job Service*, 275 N.W.2d, 445, 448 (Iowa 1979).

Iowa Admin. Code r. 871-24.25(4) provides:

Voluntary quit without good cause. In general, a voluntary quit means discontinuing the employment because the employee no longer desires to remain in the relationship of an employee with the employer from whom the employee has separated. The employer has the burden of proving that the claimant is disqualified for benefits pursuant to lowa Code section 96.5. However, the claimant has the initial burden to produce evidence that the claimant is not disqualified for benefits in cases involving lowa Code section 96.5, subsection (1), paragraphs "a" through "i," and subsection 10. The following reasons for a voluntary quit shall be presumed to be without good cause attributable to the employer:

(4) The claimant was absent for three days without giving notice to employer in violation of company rule.

Since the claimant did not have three consecutive no-call/no-show absences as required by the rule in order to consider the separation job abandonment, the separation was a discharge and not a quit. The employer has the burden of proof in establishing disqualifying job misconduct. Excessive absences are not considered misconduct unless unexcused. The determination of whether unexcused absenteeism is excessive necessarily requires consideration of past acts and warnings. The term "absenteeism" also encompasses conduct that is more accurately referred to as "tardiness." An absence is an extended tardiness, and an incident of tardiness is a limited absence. Absences related to issues of personal responsibility such as transportation, lack of childcare, and oversleeping are not considered excused. *Higgins v. lowa Dep't of Job Serv.*, 350 N.W.2d 187 (lowa 1984). Absences due to illness or injury must be properly reported in order to be excused. *Cosper v. lowa Dep't of Job Serv.*, 321 N.W.2d 6 (lowa 1982).

In the specific context of absenteeism the administrative code provides:

Iowa Admin. Code r. 871-24.32(7) provides:

(7) Excessive unexcused absenteeism. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer.

871 IAC 24.32(7); See Higgins v. IDJS, 350 N.W.2d 187, 190 n. 1 (lowa 1984)("rule [2]4.32(7)...accurately states the law").

The requirements for a finding of misconduct based on absences are therefore twofold. First, the absences must be unexcused. *Cosper v. IDJS*, 321 N.W.2d 6, 10(Iowa 1982). Second, the unexcused absences must be excessive. *Sallis v. Employment Appeal Bd*, 437 N.W.2d 895,

897 (Iowa 1989). In this case, the claimant was discharged after two reported no call/no shows on June 19, 2016 and July 3, 2016. The employer's policy states that separation will occur after three no call/no shows, but in this case, the employer initiated separation after only two absences, thereby not following its own policy. Further inasmuch as the employer had not previously warned the claimant about the issue leading to the separation, it has not met the burden of proof to establish that the claimant acted deliberately or with recurrent negligence in violation of company policy, procedure, or prior warning. An employee is entitled to fair warning that the employer will no longer tolerate certain performance and conduct. Without fair warning, an employee has no reasonable way of knowing that there are changes that need be made in order to preserve the employment. If an employer expects an employee to conform to certain expectations or face discharge, appropriate (preferably written), detailed, and reasonable notice should be given. While the employer may have had good business reasons to discharge the claimant, misconduct has not been established. Benefits are allowed.

Because the claimant is eligible for benefits, the issues of overpayment and relief of charges are moot.

DECISION:

The February 10, 2017, (reference 01) decision is affirmed. The claimant was discharged for no disqualifying reason. Benefits are allowed, provided he is otherwise eligible. The claimant has not been overpaid benefits. The employer is not relieved of charges.

Jennifer L. Beckman
Administrative Law Judge

Decision Dated and Mailed