IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

TAMARA SCHNEPEL

Claimant

APPEAL 21A-UI-02643-DG-T

ADMINISTRATIVE LAW JUDGE DECISION

LUTHERAN SERVICES IN IOWA INC

Employer

OC: 05/17/20

Claimant: Respondent (2)

Iowa Code § 96.5(2)a – Discharge for Misconduct

STATEMENT OF THE CASE:

Employer filed an appeal from a decision of a representative dated December 30, 2020, (reference 03) that held claimant eligible for unemployment insurance benefits. After due notice, a hearing was scheduled for and held on March 9, 2021. Claimant participated personally. Employer participated by Sara Eveleth, Project Manager. The administrative law judge took official notice of the administrative record.

ISSUES:

Was the claimant discharged for disqualifying job-related misconduct?

FINDINGS OF FACT:

The administrative law judge, having heard the testimony and considered all of the evidence in the record, finds: Claimant last worked for employer on August 21, 2020. Employer discharged claimant on August 21, 2020, because claimant violated employer's HIPPA policy.

Claimant began working for employer as a full-time family support specialist on December 22, 2019. Claimant was given a copy of employer's rules and policies at the time of hire. Claimant also received HIPPA training from employer.

On August 10, 2020 claimant was providing services for a client. The area where claimant lives experienced high wind gusts which were later referred to as, "the derecho". Claimant drove to her parents' home to see if they were okay after the wind stopped. Claimant had her client with her, and claimant's parents met her client.

Under employer's rules employees are not allowed to disclose the identity of clients. Claimant knew that prior to August 10, 2020. Claimant knew she would be violating that rule if she took her client with her while she met family and friends. Claimant chose to ignore that rule because she thought she needed to check on the safety and well-being of her family after the storm. She chose not to wait until after she dropped off her client after work.

REASONING AND CONCLUSIONS OF LAW:

For the reasons that follow, the administrative law judge concludes the claimant was discharged from employment due to job-related misconduct. Benefits are denied.

Iowa Code section 96.5(2)a provides:

An individual shall be disqualified for benefits:

- 2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:
- a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

Iowa Admin. Code r. 871-24.32(1)a provides:

Discharge for misconduct.

- (1) Definition.
- a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

This definition has been accepted by the Iowa Supreme Court as accurately reflecting the intent of the legislature. *Huntoon v. Iowa Dep't of Job Serv.*, 275 N.W.2d 445, 448 (Iowa 1979).

Iowa Admin. Code r. 871-24.32(4) provides:

(4) Report required. The claimant's statement and employer's statement must give detailed facts as to the specific reason for the claimant's discharge. Allegations of misconduct or dishonesty without additional evidence shall not be sufficient to result in disqualification. If the employer is unwilling to furnish available evidence to corroborate the allegation, misconduct cannot be established. In cases where a suspension or disciplinary layoff exists, the claimant is considered as discharged, and the issue of misconduct shall be resolved.

Iowa Admin. Code r. 871-24.32(8) provides:

(8) Past acts of misconduct. While past acts and warnings can be used to determine the magnitude of a current act of misconduct, a discharge for misconduct cannot be based on such past act or acts. The termination of employment must be based on a current act.

The Iowa Court of Appeals found substantial evidence of misconduct in testimony that the claimant worked slower than he was capable of working and would temporarily and briefly improve following oral reprimands. *Sellers v. Emp't Appeal Bd.*, 531 N.W.2d 645 (Iowa Ct. App. 1995). Generally, continued refusal to follow reasonable instructions constitutes misconduct.

Gilliam v. Atlantic Bottling Co., 453 N.W.2d 230 (lowa Ct. App. 1990). Failure to sign a written reprimand acknowledging receipt constitutes job misconduct as a matter of law. Green v lowa Dep't of Job Serv., 299 N.W.2d 651 (lowa 1980). Misconduct must be "substantial" to warrant a denial of job insurance benefits. Newman v. lowa Dep't of Job Serv., 351 N.W.2d 806 (lowa Ct. App. 1984). Willful misconduct can be established where an employee manifests an intent to disobey a future reasonable instruction of his employer. Myers v. lowa Dep't of Job Serv., 373 N.W.2d 507 (lowa Ct. App. 1985). When based on carelessness, the carelessness must actually indicate a "wrongful intent" to be disqualifying in nature. Id. Negligence does not constitute misconduct unless recurrent in nature; a single act is not disqualifying unless indicative of a deliberate disregard of the employer's interests. Henry v. lowa Dep't of Job Serv., 391 N.W.2d 731 (lowa Ct. App. 1986). Poor work performance is not misconduct in the absence of evidence of intent. Miller v. Emp't Appeal Bd., 423 N.W.2d 211 (lowa Ct. App. 1988). Disqualification for a single misconduct incident must be a deliberate violation or disregard of standards of behavior which employer has a right to expect. Diggs v. Emp't Appeal Bd., 478 N.W.2d 432 (lowa Ct. App. 1991).

Claimant chose the well-being and health of her parents over the health, safety, and privacy of the employer's client. Claimant knew she was violating employer's policy, and she did not know whether her parents were in any real danger or not. Employer did provide sufficient evidence of deliberate conduct in violation of company policy, procedure, or prior warning. Claimant's conduct does evince such willful or wanton disregard of employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees. Benefits are denied.

Note to Claimant: If this decision determines you are not eligible for regular unemployment insurance benefits and you disagree with this decision, you may file an appeal to the Employment Appeal Board by following the instructions on the first page of this decision. Individuals who do not qualify for regular unemployment insurance benefits, but who are currently unemployed for reasons related to COVID-19 may qualify for Pandemic Unemployment Assistance (PUA). **You will need to apply for PUA to determine your eligibility under the program.** Additional information on how to apply for PUA can be found at https://www.iowaworkforcedevelopment.gov/pua-information. If this decision becomes final, or if you are not eligible for PUA, you may have an overpayment of benefits.

DECISION:

The December 20, 2020, (reference 03) decision is reversed. The claimant was discharged from employment due to job-related misconduct. Unemployment insurance benefits shall be withheld until claimant has worked in and been paid wages for insured work equal to ten times claimant's weekly benefit amount, provided claimant is otherwise eligible.

Duane L. Golden

Administrative Law Judge

July J. Holdly

_March 15, 2021

Decision Dated and Mailed