IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

CHRISTOPHER M GREEN Claimant

APPEAL 20A-UI-10913-SC-T

ADMINISTRATIVE LAW JUDGE DECISION

SUKUP MANUFACTURING CO

Employer

OC: 06/14/20 Claimant: Appellant (1)

Iowa Code § 96.5(2)a – Discharge for Misconduct

STATEMENT OF THE CASE:

On September 4, 2020, Christopher M. Green (claimant) filed an appeal from the September 2, 2020, reference 03, unemployment insurance decision that denied benefits based upon the determination Sukup Manufacturing Co. (employer) discharged him for unexcused absenteeism and tardiness after being warned. The parties were properly notified about the hearing held by telephone on October 23, 2020. The claimant participated personally. The employer participated through Mary Amsbaugh, HR Generalist. No exhibits were offered into the record.

ISSUE:

Did the employer discharge the claimant for job related misconduct?

FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: The claimant was employed full-time in general production beginning on February 17, 2020, and was separated from employment on July 13, when he was discharged. The employer's attendance policy states employees must be clocked in and at their workstations when their shift starts. The claimant's shift started at 6:00 a.m. and it would be a couple of minutes after clocking in for him to reach the workstation. The policy also requires regular and prompt attendance.

Between March 7 and May 27, the claimant was one to 20 minutes late to work on eight occasions due to transportation issues. On May 28, the claimant notified the employer that he would not be at work due to family issues. The claimant was late one minute to two hours late to work on seven occasions between June 5 and June 27, due to transportation issues. On June 30, the claimant notified the employer that he would not be at work so he could attend his six-year old son's doctor appointment with his fiancé.

The claimant was 13 minutes late to work on July 2, and one minute late on July 6, due to transportation issues. On July 7, the claimant was 11 minutes late to work due to transportation issues. The same day, the employer issued the claimant a written warning related to attendance. The employer instructed him to arrive to work on time each day.

On July 8, the claimant notified the employer that he would not be at work due to a lack of transportation. On July 9, the employer issued the claimant a final warning for attendance. The employer put him on notice that any further absences or tardiness could result in his termination.

On July 10, the claimant notified the employer that he would not be at work because he had just learned his uncle had passed away. On July 13, the claimant clocked in after 6:00 a.m., which meant he was late to his workstation. The employer discharged him for violation of the attendance policy.

REASONING AND CONCLUSIONS OF LAW:

For the reasons that follow, the administrative law judge concludes the claimant was discharged from employment due to job-related misconduct. Benefits are denied.

Iowa Code section 96.5(2)a provides:

An individual shall be disqualified for benefits, regardless of the source of the individual's wage credits:

2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:

a. The disqualification shall continue until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

Iowa Admin. Code r. 871-24.32 provides, in relevant part:

Discharge for misconduct.

(1) Definition.

a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

...

(7) Excessive unexcused absenteeism. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and

shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer.

This definition of misconduct has been accepted by the Iowa Supreme Court as accurately reflecting the intent of the legislature. *Huntoon v. Iowa Dep't of Job Serv.*, 275 N.W.2d 445, 448 (Iowa 1979). The employer has the burden to prove the claimant was discharged for work-connected misconduct as defined by the unemployment insurance law. *Cosper v. Iowa Dep't of Job Serv.*, 321 N.W.2d 6 (Iowa 1982). The issue is not whether the employer made a correct decision in separating the claimant, but whether the claimant is entitled to unemployment insurance benefits. *Infante v. Iowa Dep't of Job Serv.*, 364 N.W.2d 262 (Iowa Ct. App. 1984).

What constitutes misconduct justifying termination of an employee and what misconduct warrants denial of unemployment insurance benefits are two separate decisions. *Pierce v. lowa Dep't of Job Serv.*, 425 N.W.2d 679 (lowa Ct. App. 1988). The law limits disqualifying misconduct to substantial and willful wrongdoing or repeated carelessness or negligence that equals willful misconduct in culpability. *Lee v. Emp't Appeal Bd.*, 616 N.W.2d 661 (lowa 2000). Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer. lowa Admin. Code r. 871-24.32(7); see *Higgins v. lowa Dep't of Job Serv.*, 350 N.W.2d 187, 190, n. 1 (lowa 1984) holding "rule [2]4.32(7)...accurately states the law."

The requirements for a finding of misconduct based on absences are twofold. First, the absences must be excessive. *Sallis v. Emp't Appeal Bd.*, 437 N.W.2d 895 (Iowa 1989). The term "absenteeism" also encompasses conduct that is more accurately referred to as "tardiness." An absence is an extended tardiness, and an incident of tardiness is a limited absence. The determination of whether unexcused absenteeism is excessive necessarily requires consideration of past acts and warnings. *Higgins* at 192.

Second, the absences must be unexcused. *Cosper* at 10. The requirement of "unexcused" can be satisfied in two ways. An absence can be unexcused either because it was not for "reasonable grounds," *Higgins* at 191, or because it was not "properly reported," holding excused absences are those "with appropriate notice." *Cosper* at 10. Absences related to issues of personal responsibility such as transportation, lack of childcare, and oversleeping are not considered excused. *Higgins, supra.*

An employer's point system or no-fault absenteeism policy is not dispositive of the issue of qualification for benefits; however, an employer is entitled to expect its employees to report to work as scheduled or to be notified as to when and why the employee is unable to report to work. The initial 23 absences outlined in the findings of fact were all unexcused, as they were for issues of personal responsibility. The employer has established that the claimant was warned that further unexcused absences could result in termination of employment. The final absence was not excused because it was for an issue of personal responsibility. The final absence, in combination with the claimant's history of unexcused absenteeism during the five months of employment, is considered excessive. Benefits are withheld.

DECISION:

The September 2, 2020, reference 03, unemployment insurance decision is affirmed. The claimant was discharged from employment due to excessive, unexcused absenteeism. Benefits are withheld until such time as he has worked in and been paid wages for insured work equal to ten times his weekly benefit amount, provided he is otherwise eligible.

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Stephanie R. Callahan Administrative Law Judge

October 29, 2020 Decision Dated and Mailed

src/sam

Note to Claimant: This decision determines you are not eligible for regular unemployment insurance benefits. If you disagree with this decision, you may file an appeal to the Employment Appeal Board by following the instructions on the first page of this decision. Individuals who do not qualify for regular unemployment insurance benefits, but who are currently unemployed for reasons related to COVID-19 may qualify for Pandemic Unemployment Assistance (PUA). You will need to apply for PUA to determine your eligibility under the program. Additional information on how to apply for PUA can be found at https://www.iowaworkforcedevelopment.gov/pua-information. If this decision becomes final or if you are not eligible for PUA, you may have an overpayment of benefits.