IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

68-0157 (9-06) - 3091078 - El
APPEAL NO. 14A-UI-13133-JTT
ADMINISTRATIVE LAW JUDGE DECISION
OC: 11/23/14
Claimant: Respondent (5)

Iowa Code Section 96.5(2)(a) – Discharge

STATEMENT OF THE CASE:

The employer filed a timely appeal from the December 9, 2014, reference 02, decision that allowed benefits to the claimant provided he was otherwise eligible and that held the employer's account could be charged, based on an Agency conclusion that the claimant had been discharged for no disqualifying reason. After due notice was issued, a hearing was held on January 20, 2015. The claimant is deceased. Kristi Fox, Human Resources Clerk, represented the employer. The administrative law judge took official notice of the Agency's record of benefits disbursed to the claimant, which record indicates that no benefits were disbursed to the claimant in connection with the claim for benefits that was effective November 23 2014. The administrative law judge took official notice of the Waterloo-Cedar Falls Courier indicating that the claimant passed away on November 25, 2014.

ISSUE:

Whether the claimant was discharged for misconduct in connection with the employment that disqualifies the claimant for unemployment insurance benefits.

FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: Shawonyta Norman was employed by Tyson Fresh Meats, Inc., as a full-time trainer from 2009 until November 21, 2014, when the employer discharged him for alleged insubordination. Mr. Norman passed away on November 25, 2014, just four days after being discharged from the employment. Mr. Norman established a claim for benefits before he passed away, but did not receive any benefits.

REASONING AND CONCLUSIONS OF LAW:

Iowa Code § 96.5-2-a provides:

An individual shall be disqualified for benefits:

2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:

a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

Iowa Admin. Code r. 871-24.32(1)a provides:

Discharge for misconduct.

(1) Definition.

a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

This definition has been accepted by the Iowa Supreme Court as accurately reflecting the intent of the legislature. *Huntoon v. Iowa Dep't of Job Serv.*, 275 N.W.2d 445, 448 (Iowa 1979).

The employer has the burden of proof in this matter. See Iowa Code section 96.6(2). Misconduct must be substantial in order to justify a denial of unemployment benefits. Misconduct serious enough to warrant the discharge of an employee is not necessarily serious enough to warrant a denial of unemployment benefits. See Lee v. Employment Appeal Board, 616 N.W.2d 661 (Iowa 2000). The focus is on deliberate, intentional, or culpable acts by the employee. See Gimbel v. Employment Appeal Board, 489 N.W.2d 36, 39 (Iowa Ct. App. 1992).

While past acts and warnings can be used to determine the magnitude of the current act of misconduct, a discharge for misconduct cannot be based on such past act(s) alone. The termination of employment must be based on a current act. See 871 IAC 24.32(8). In determining whether the conduct that prompted the discharge constituted a "current act," the administrative law judge considers the date on which the conduct came to the attention of the employer and the date on which the employer notified the claimant that the conduct subjected the claimant to possible discharge. See also <u>Greene v. EAB</u>, 426 N.W.2d 659, 662 (Iowa App. 1988).

Allegations of misconduct or dishonesty without additional evidence shall not be sufficient to result in disqualification. If the employer is unwilling to furnish available evidence to corroborate the allegation, misconduct cannot be established. See 871 IAC 24.32(4). When it is in a party's power to produce more direct and satisfactory evidence than is actually produced, it may fairly be inferred that the more direct evidence will expose deficiencies in that party's case. See Crosser v. Iowa Dept. of Public Safety, 240 N.W.2d 682 (Iowa 1976).

Continued failure to follow reasonable instructions constitutes misconduct. See <u>Gilliam v.</u> <u>Atlantic Bottling Company</u>, 453 N.W.2d 230 (Iowa App. 1990). An employee's failure to perform a specific task may not constitute misconduct if such failure is in good faith or for good cause. See <u>Woods v. Iowa Department of Job Service</u>, 327 N.W.2d 768, 771 (Iowa 1982). The administrative law judge must analyze situations involving alleged insubordination by evaluating the reasonableness of the employer's request in light of the circumstances, along with the worker's reason for non-compliance. See <u>Endicott v. Iowa Department of Job Service</u>, 367 N.W.2d 300 (Iowa Ct. App. 1985).

In <u>Gilliam v. Atlantic Bottling Company</u>, the Iowa Court of Appeals upheld a discharge for misconduct and disqualification for benefits where the claimant had been repeatedly instructed over the course of more than a month to perform a specific task and was part of his assigned duties. The employer reminded the claimant on several occasions to perform the task. The employee refused to perform the task on two separate occasions. On both occasions, the employer discussed with the employee a basis for his refusal. The employer waited until after the employee's second refusal, when the employee still neglected to perform the assigned task, and then discharged employee. See <u>Gilliam v. Atlantic Bottling Company</u>, 453 N.W.2d 230 (Iowa App. 1990).

The employer failed to present sufficient evidence, and sufficiently direct and satisfactory evidence, to establish misconduct in connection with the employment. The sole employer witness lacked personal knowledge concerning the circumstances surrounding Mr. Norman's discharge. The employer had the ability to present testimony from witnesses with personal knowledge, but elected not to present such evidence. Based on the evidence in the record and application of the appropriate law, the administrative law judge concludes that Mr. Norman was discharged for no disqualifying reason. Accordingly, Mr. Norman would have been eligible for benefits, provided he met all other eligibility requirements and the employer's account could have been charged for benefits paid to Mr. Norman. However, in light of Mr. Norman's death on November 25, 2014, no benefits will be disbursed and the employer's account will not be charged. The employer remains subject to any unemployment insurance tax rate consequence that may apply.

DECISION:

The December 9, 2014, reference 02, decision is modified as follows. The claimant was discharged for no disqualifying reason. The claimant would have been eligible for benefits, provided he met all other eligibility requirements and the employer's account could have been

charged for benefits. Because the claimant passed away on November 25, 2014, no benefits will be disbursed and the employer's account will not be charged. The employer remains subject to any unemployment insurance tax rate consequence that may apply.

James E. Timberland Administrative Law Judge

Decision Dated and Mailed

jet/pjs