IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

RICHARD R STRUVE Claimant

APPEAL 17A-UI-12469-DB-T

ADMINISTRATIVE LAW JUDGE DECISION

IOWA WORKFORCE DEVELOPMENT DEPARTMENT

OC: 09/10/17 Claimant: Appellant (2)

Iowa Code § 96.6(2) – Timeliness of Appeal Iowa Code § 96.6(1) – Filing Claims Iowa Admin. Code r. 871-24.2(1)g – Retroactive Benefits

STATEMENT OF THE CASE:

The claimant/appellant filed an appeal from the October 18, 2017 (reference 01) unemployment insurance decision that denied his request for retroactive benefits for the period of September 10, 2017 through September 16, 2017. The claimant was properly notified of the hearing. A telephone hearing was held on December 20, 2017. The claimant, Richard R. Struve, participated personally. The administrative law judge took administrative notice of the claimant's unemployment insurance records.

ISSUE:

Did the claimant file a timely appeal?

Should the claimant's request for retroactive benefits be granted for the one-week period from September 10, 2017 through September 16, 2017?

FINDINGS OF FACT:

Having heard the testimony and having reviewed the evidence in the record, the administrative law judge finds:

Claimant filed an original claim ("OC") effective September 10, 2017. He attempted to file a weekly continued claim online for the one-week period he was temporarily laid off from his fulltime employer (September 10, 2017 through September 16, 2017). However, the weeklycontinued claim ("WCC") was not processed due to computer error. Claimant had received a confirmation that it was processed correctly.

Claimant immediately contacted his local Iowa Workforce Development ("IWD") office when he learned that his WCC had not been processed correctly. Claimant was able to and available for work from September 10, 2017 through September 16, 2017. Claimant did not earn any wages, holiday pay, vacation pay, or pension pay from September 10, 2017 through September 16, 2017.

A decision was issued on October 18, 2017 that denied his request for retroactive benefits from September 10, 2017 through September 16, 2017. The decision listed October 28, 2017 as the appeal deadline. Claimant filed an appeal online prior to the appeal deadline, however, it was not received by the IWD Appeals Bureau due to computer error. Claimant learned that the appeal was not received and re-submitted the appeal through an email on December 5, 2017.

REASONING AND CONCLUSIONS OF LAW:

For the reasons that follow, the administrative law judge concludes the claimant's appeal shall be accepted as timely and the claimant's request for retroactive benefits is granted.

The first issue is whether the claimant filed a timely appeal. The administrative law judge finds that claimant's appeal shall be accepted as timely.

Iowa Code § 96.6(2) provides:

2. Initial determination. A representative designated by the director shall promptly notify all interested parties to the claim of its filing, and the parties have ten days from the date of mailing the notice of the filing of the claim by ordinary mail to the last known address to protest payment of benefits to the claimant. The representative shall promptly examine the claim and any protest, take the initiative to ascertain relevant information concerning the claim, and, on the basis of the facts found by the representative, shall determine whether or not the claim is valid, the week with respect to which benefits shall commence, the weekly benefit amount payable and its maximum duration, and whether any disgualification shall be imposed. The claimant has the burden of proving that the claimant meets the basic eligibility conditions of § 96.4. The employer has the burden of proving that the claimant is disgualified for benefits pursuant to § 96.5, except as provided by this subsection. The claimant has the initial burden to produce evidence showing that the claimant is not disgualified for benefits in cases involving § 96.5, subsection 10, and has the burden of proving that a voluntary guit pursuant to § 96.5, subsection 1, was for good cause attributable to the employer and that the claimant is not disgualified for benefits in cases involving § 96.5, subsection 1, paragraphs "a" through "h". Unless the claimant or other interested party, after notification or within ten calendar days after notification was mailed to the claimant's last known address, files an appeal from the decision, the decision is final and benefits shall be paid or denied in accordance with the decision. If an administrative law judge affirms a decision of the representative, or the appeal board affirms a decision of the administrative law judge allowing benefits, the benefits shall be paid regardless of any appeal which is thereafter taken, but if the decision is finally reversed, no employer's account shall be charged with benefits so paid and this relief from charges shall apply to both contributory and reimbursable employers, notwithstanding § 96.8, subsection 5.

An appeal must be filed within ten days after notification of that decision was mailed. Iowa Code § 96.6(2). The Iowa Supreme Court held that compliance with the appeal notice provision is mandatory and jurisdictional. *Beardslee v. Iowa Dep't of Job Serv.*, 276 N.W.2d 373 (Iowa 1979).

Iowa Admin. Code r. 871-24.35(1) provides:

Date of submission and extension of time for payments and notices.

(1) Except as otherwise provided by statute or by division rule, any payment, appeal, application, request, notice, objection, petition, report or other information or document submitted to the division shall be considered received by and filed with the division:

a. If transmitted via the United States postal service on the date it is mailed as shown by the postmark, or in the absence of a postmark the postage meter mark of the envelope in which it is received; or if not postmarked or postage meter marked or if the mark is illegible, on the date entered on the document as the date of completion.

b. If transmitted by any means other than the United States postal service on the date it is received by the division.

Iowa Admin. Code r. 871-24.35(2) provides:

Date of submission and extension of time for payments and notices.

(2) The submission of any payment, appeal, application, request, notice, objection, petition, report or other information or document not within the specified statutory or regulatory period shall be considered timely if it is established to the satisfaction of the division that the delay in submission was due to division error or misinformation or to delay or other action of the United States postal service.

a. For submission that is not within the statutory or regulatory period to be considered timely, the interested party must submit a written explanation setting forth the circumstances of the delay.

b. The division shall designate personnel who are to decide whether an extension of time shall be granted.

c. No submission shall be considered timely if the delay in filing was unreasonable, as determined by the department after considering the circumstances in the case.

d. If submission is not considered timely, although the interested party contends that the delay was due to division error or misinformation or delay or other action of the United States postal service, the division shall issue an appealable decision to the interested party.

The claimant submitted his appeal prior to the appeal deadline but it was not received due to computer error. Claimant has established that the delay was due to agency error pursuant to Iowa Admin. Code r. 871-24.35(2). Therefore, the appeal shall be accepted as timely.

Iowa Code section 96.6(1) provides:

Filing — determination — appeal.

1. Filing. Claims for benefits shall be made in accordance with such regulations as the department may prescribe.

In order to be eligible for weekly benefits, the claimant must file an online web application continued claim or show good cause for the failure to do so to support a request for retroactive benefits. Iowa Admin. Code r. 871-24.2(1)g. What parties often misunderstand about the process is that during the week in which the unemployment occurs, the claimant should open an umbrella-like "original claim" (OC) by the close of business on Friday to establish the general claim for unemployment insurance benefits. In addition, for each week the claimant remains unemployed, they must file a "weekly continued claim" (WCC) to show they are available for work, report any wages, and otherwise establish eligibility for that past week of benefit payment.

The timing to file WCCs has changed effective October 7, 2017. After October 7, 2017, the WCC must be done sometime between Sunday and Friday of the following week (see chart below). If a claimant does not file a WCC for one week for any reason and becomes unemployed again, the process must start over by reopening the OC no later than Friday, and filing weekly continued claim sometime between the following Sunday through Friday. No filing of any kind may be done on any Saturday.

On October 1, 2017, a letter about weekly claim filing change information was sent to all claimants, whether the claim was active or not:

Weekly Claim Filing Changes

Beginning with the week ending October 7, 2017, the first day of the week you can report a weekly claim is the Sunday starting at 8 a.m. after the prior week has ended. This means after October 7, 2017, you can no longer file weekly claims on Saturday of any week.

In addition, after October 7, 2017, weekly claims must be filed **Sunday** through **Friday** for the prior week only. This means you have six days to file the previous week's claim. See the chart below for the days available to file your weekly claim. Delay in filing your weekly claim could result in denial of benefits.

You are encouraged to start following the new claims filing process now so that you are used to the new schedule when the changes happen in October. For your convenience, you can file your weekly claim online using a mobile device or computer at <u>www.iowaworkforcedevelopment.gov.</u>

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Start of UI claim week 1						Last day of week 1 (no filing)
First day to file	File for	File for	File for	File for	Last day to file for	Last day of
for week 1	week 1	week 1	week 1	week 1	week 1	week 2 (no filing)
First day to file	File for	File for	File for	File for	Last day to file for	Last day of
for week 2	week 2	week 2	week 2	week 2	week 2	week 3 (no filing)
First day to file	File for	File for	File for	File for	Last day to file for	Last day of
for week 3	week 3	week 3	week 3	week 3	week 3	week 4 (no filing)

Please see our Q &A at <u>www.iowaworkforcedevelopment.gov/unemployment-insurance-frequently-asked-questions</u> for more information.

You can also contact Unemployment Insurance Customer Service for additional questions:

Unemployment Insurance Customer Service

Email: uiclaimshelp@iwd.iowa.gov

Website: www.iowaworkforcedevelopment.gov

Phone: 1-866-239-0843, Monday-Friday from 8 a.m. - 4:30 p.m.

The September 2017, version of the *Unemployment Insurance Benefits Handbook*, provides in pertinent part at pages 13 and 14:

Claim Effective Date

The effective date of all UI claims, regardless of filing method, will be the Sunday of the week in which the application was filed. The effective date of a claim will not be changed for applications that were not filed in a timely manner.

and

Reactivating a Claim

An individual can start and stop claiming weekly benefits as many times as necessary during the benefit year. This is called a break in reporting status. Any break in reporting requires the individual to file another initial claim application during the week he/she wants to start collecting benefits again. Any employment during the break must be reported.

The September 2017, version of the *Unemployment Insurance Benefits Handbook*, provides in pertinent part at pages 19 and 20:

Filing Weekly Claims

How to File

After you file your initial claim, file weekly claims online at iowaworkforcedevelopment.gov for every week you are unemployed or your hours are reduced. You must file a weekly claim for any week that you want payment even if your eligibility is being decided or you have an appeal pending.

To request UI benefit payments during weeks of unemployment, individuals must certify they:

- Are currently unemployed or working reduced hours
- Are able to work and available for work
- Have not refused any job offers or referrals to a job
- Are actively looking for work (unless waived)
- Are reporting any pay or pension payment

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Start of UI claim						Last day of week
week one						one (no filing)
First day to file	File for week	File for week	File for week	File for week	Last day to file for	Last day of week two
week one	one	one	one	one	week one	(no filing)
First day to file	File for week	File for week	File for week	File for week	Last day to file for	Last day of week
week two	two	two	two	two	week two	three (no filing)
First day to file	File for week	File for week	File for week	File for week	Last day to file for	Last day of week
week three	three	three	three	three	week three	four (no filing)

When to File

The current week is the week that just ended on Saturday. Weekly claims must be filed 8 am Sunday through 5:30 pm Friday for the prior week only. This means individuals have

six days to file the previous week's claim. See the chart above for the days available to file. Weekly claims can be filed online using a mobile device or computer at <u>https://uiclaims.iwd.iowa.gov/weeklyclaims/</u>.

Delay in filing your weekly claim could result in denial of benefits.

IMPORTANT: <u>You will receive confirmation that the claim has been processed</u> successfully. If you don't receive confirmation, the process must be repeated until the claim has been successfully submitted.

Elsewhere in the September 2017, version of the handbook at page 8, contact information includes days and hours for filing a claim and weekly claim reporting:

File a Claim

Hours: Sunday through Friday, 8:00 am to 4:30 pm www.iowaworkforcedevelopment.gov https://uiclaims.iwd.iowa.gov/UIInitialClaim/

Weekly Claim Reporting

Hours: Sunday 8:00 am – 11:30 pm and Monday through Friday 8:00 am through 5:30 pm https://uiclaims.iwd.iowa.gov/weeklyclaims/

Given the claim confirmation message when he filed his WCC, claimant's belief it was successful was reasonable and is considered a good reason for the delay in filing the WCC for September 9, 2017 through September 16, 2017. Retroactive benefits are allowed.

Claimant is urged to review information in the Unemployment Insurance Benefits Handbook at: https://www.iowaworkforcedevelopment.gov/sites/search.iowaworkforcedevelopment.gov/files/d ocuments/ClaimantHandbook_2017-18.pdf

DECISION:

The claimant's appeal is timely. The October 18, 2017 (reference 01) unemployment insurance decision is reversed. The claimant's request for retroactive benefits from September 9, 2017 through September 16, 2017 to is granted.

Dawn Boucher Administrative Law Judge

Decision Dated and Mailed

db/rvs