IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

68-0157 (9-06) - 3091078 - EI

TONI S PADILLA

Claimant

APPEAL NO. 09A-UI-15045-S2T

ADMINISTRATIVE LAW JUDGE DECISION

SYNOVATE INC

Employer

Original Claim: 09/06/09 Claimant: Respondent (2/R)

Section 96.5-2-a – Discharge for Misconduct Section 96.3-7 – Overpayment

STATEMENT OF THE CASE:

Synovate (employer) appealed a representative's September 28, 2009 decision (reference 01) that concluded Toni Padilla (claimant) was discharged and there was no evidence of willful or deliberate misconduct. After hearing notices were mailed to the parties' last-known addresses of record, a telephone hearing was scheduled for November 6, 2009. The claimant participated personally. The employer was represented by Alison Goldfarb, Hearings Representative, and participated by Tina Hernandez, Interim Facility Director and Quality Control Manager.

ISSUE:

The issue is whether the claimant was discharged for misconduct.

FINDINGS OF FACT:

The administrative law judge, having heard the testimony and having considered all of the evidence in the record, finds that: The claimant was hired on September 8, 2008, as a part-time interviewer. The claimant signed for receipt of the employer's handbook on September 8, 2008. On December 15, 2008, the claimant signed an updated Attendance Policy and Standards Form. On January 2, 2009, the claimant was issued a verbal warning for five absences due to transportation or her eight-year-old daughter's care. On March 26, 2009, the employer issued the claimant a verbal warning for eleven absences due to her daughter's care or transportation and one failure to report an absence or appear for work. On March 28, 2009, the employer issued the claimant two performance improvement plans. One was for failure to notify the employer of her absence. The other was for unprofessional conduct on the telephone. The employer notified the claimant that further infractions could result in termination from employment. On April 23, 2009, the employer issued the claimant a written warning for two absences due to unknown reasons. On May 1, 2009, the employer issued the claimant a final written warning for leaving early for an unknown reason.

On August 28, 2009, the claimant reported she would not be at work on August 28 and 29, 2009. The claimant's parents were visiting and she wanted to spend time with them. The employer told the claimant that she had to report her absence each day and should report on

August 29, 2009. The claimant did not report to work or report her absence on August 29, 2009. The employer terminated the claimant on September 2, 2009.

REASONING AND CONCLUSIONS OF LAW:

For the reasons that follow, the administrative law judge concludes the claimant was discharged for misconduct.

Iowa Code section 96.5-2-a provides:

An individual shall be disqualified for benefits:

- 2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:
- a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

871 IAC 24.32(7) provides:

(7) Excessive unexcused absenteeism. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer.

The determination of whether unexcused absenteeism is excessive necessarily requires consideration of past acts and warnings. The term "absenteeism" also encompasses conduct that is more accurately referred to as "tardiness." An absence is an extended tardiness, and an incident of tardiness is a limited absence. Absences related to issues of personal responsibility such as transportation, lack of childcare, and oversleeping are not considered excused. <u>Higgins v. Iowa Department of Job Service</u>, 350 N.W.2d 187 (Iowa 1984).

An employer is entitled to expect its employees to report to work as scheduled or to be notified when and why the employee is unable to report to work. The employer has established that the claimant was warned that further unexcused absences could result in termination of employment and that the final absence was not excused. The final absence, in combination with the claimant's history of unexcused absenteeism, is considered excessive. Benefits are withheld.

Iowa Code section 96.3-7, as amended in 2008, provides:

- 7. Recovery of overpayment of benefits.
- a. If an individual receives benefits for which the individual is subsequently determined to be ineligible, even though the individual acts in good faith and is not otherwise at fault, the benefits shall be recovered. The department in its discretion may recover the overpayment of benefits either by having a sum equal to the overpayment deducted from any future benefits payable to the individual or by having the individual pay to the department a sum equal to the overpayment.

- b. (1) If the department determines that an overpayment has been made, the charge for the overpayment against the employer's account shall be removed and the account shall be credited with an amount equal to the overpayment from the unemployment compensation trust fund and this credit shall include both contributory and reimbursable employers, notwithstanding section 96.8, subsection 5. However, provided the benefits were not received as the result of fraud or willful misrepresentation by the individual, benefits shall not be recovered from an individual if the employer did not participate in the initial determination to award benefits pursuant to section 96.6, subsection 2, and an overpayment occurred because of a subsequent reversal on appeal regarding the issue of the individual's separation from employment. The employer shall not be charged with the benefits.
- (2) An accounting firm, agent, unemployment insurance accounting firm, or other entity that represents an employer in unemployment claim matters and demonstrates a continuous pattern of failing to participate in the initial determinations to award benefits, as determined and defined by rule by the department, shall be denied permission by the department to represent any employers in unemployment insurance matters. This subparagraph does not apply to attorneys or counselors admitted to practice in the courts of this state pursuant to section 602.10101.

The claimant has received benefits since filing the claim herein. Pursuant to this decision, those benefits may now constitute an overpayment. The issue of the overpayment is remanded for determination.

DECISION:

bas/kjw

The representative's September 28, 2009 decision (reference 01) is reversed. The claimant is not eligible to receive unemployment insurance benefits, because the claimant was discharged from work for misconduct. Benefits are withheld until the claimant has worked in and has been paid wages for insured work equal to ten times the claimant's weekly benefit amount, provided the claimant is otherwise eligible. The issue of the overpayment is remanded for determination.

Beth A. Scheetz
Administrative Law Judge

Decision Dated and Mailed