### IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS BUREAU

JAMES T TONEY Claimant

# APPEAL 21A-UI-12211-AD-T

ADMINISTRATIVE LAW JUDGE DECISION

SCHUSTER CO. Employer

> OC: 05/17/20 Claimant: Appellant (2)

Iowa Code § 96.5(2)a – Discharge for Misconduct Iowa Code § 96.6(2) – Filing – Timely Appeal Iowa Admin. Code r. 871-24.35 – Filing

### STATEMENT OF THE CASE:

James Toney (claimant/appellant) filed an appeal from the April 21, 2021 (reference 02) unemployment insurance decision that denied benefits based on a finding claimant voluntarily quit work on April 9, 2020 for reasons not caused by employer.

A telephone hearing was held on July 23, 2021. The parties were properly notified of the hearing. The claimant participated personally. Schuster Co. (employer/respondent) participated by Safety Director Krystin Sitzmann. Claimant's Exhibit 1 and Employer's Exhibit A were admitted. Official notice was taken of the administrative record.

#### ISSUE(S):

- I. Was the separation from employment a layoff, discharge for misconduct, or voluntary quit without good cause?
- II. Is the appeal timely?

#### FINDINGS OF FACT:

Having reviewed the evidence in the record, the administrative law judge finds:

Claimant worked for employer as a full-time over the road driver. Claimant's first day of employment was July 17, 2019. The last day claimant worked on the job was January 9, 2020. Claimant separated from employment on April 9, 2020. Claimant was discharged on that date.

Claimant was discharged due to being medically unable to return to work. Claimant was out of work beginning on approximately January 9, 2020 due to a work-related injury. Claimant's physician related to that injury released him to return to work without restrictions on March 5, 2020. However, another physician claimant was seeing during this same timeframe had prescribed him medication which prevented him from driving. Claimant informed employer that he could not drive while taking this medication. Employer nonetheless insisted claimant return to

work. Claimant was discharged approximately a month later due to not returning to work as requested. Claimant did not tell anyone at employer that he was resigning and he had no intention of doing so. He has not worked elsewhere since.

The Unemployment Insurance Decision was mailed to claimant at the above address on April 21, 2021. That was claimant's correct address at that time. Claimant did receive the decision around that time.

The decision states that it becomes final unless an appeal is postmarked or received by Iowa Workforce Development Appeals Section by May 1, 2021. However, if the due date falls on a Saturday, Sunday or legal holiday, the appeal period is extended to the next working day. May 1, 2021 was a Saturday, so the appeal deadline was extended to May 3, 2021.

Claimant first attempted to appeal by email on May 2, 2021. He got a response around that time that more information was needed in order to appeal. He responded with the necessary information on May 3, 2021.

# REASONING AND CONCLUSIONS OF LAW:

For the reasons that follow, the administrative law judge concludes the claimant's appeal was timely. The April 21, 2021 (reference 02) unemployment insurance decision that denied benefits based on a finding claimant voluntarily quit work on April 9, 2020 for reasons not caused by employer is REVERSED.

lowa Code § 96.6(2) provides, in pertinent part: "[u]nless the claimant or other interested party, after notification or within ten calendar days after notification was mailed to the claimant's last known address, files an appeal from the decision, the decision is final and benefits shall be paid or denied in accordance with the decision."

Iowa Admin. Code r. 871-24.35(1)(a) provides:

Except as otherwise provided by statute or by division rule, any payment, appeal, application, request, notice, objection, petition, report or other information or document submitted to the division shall be considered received by and filed with the division:
(a) If transmitted via the United States Postal Service on the date it is mailed as shown by the postmark, or in the absence of a postmark the postage meter mark on the envelope in which it is received; or if not postmarked or postage meter marked or if the mark is illegible, on the date entered on the document as the date of completion.

(c) If transmitted by any means other than [United States Postal Service or the State Identification Data Exchange System (SIDES)], on the date it is received by the division.

Iowa Admin. Code r. 871-24.35(2) provides:

2. The submission of any payment, appeal, application, request, notice, objection, petition, report or other information or document not within the specified statutory or regulatory period shall be considered timely if it is established to the satisfaction of the division that the delay in submission was due to division error or misinformation or to delay or other action of the United States postal service.

There is a mandatory duty to file appeals from representatives' decisions within the time allotted by statute, and the Administrative Law Judge has no authority to change the decision of representative if a timely appeal is not filed. *Franklin v. Iowa Dept. Job Service*, 277 N.W.2d 877, 881 (Iowa 1979). The ten-day period for appealing an initial determination concerning a claim for benefits has been described as jurisdictional. *Messina v. Iowa Dept. of Job Service*, 341 N.W.2d 52, 55 (Iowa 1983); *Beardslee v. Iowa Dept. Job Service*, 276 N.W.2d 373 (Iowa 1979). The only basis for changing the ten-day period would be where notice to the appealing party was constitutionally invalid. *E.g. Beardslee v. Iowa Dept. Job Service*, 276 N.W.2d 373, 377 (Iowa 1979). The question in such cases becomes whether the appellant was deprived of a reasonable opportunity to assert an appeal in a timely fashion. *Hendren v. Iowa Employment Sec. Commission*, 217 N.W.2d 255 (Iowa 1974); *Smith v. Iowa Employment Sec. Commission*, 212 N.W.2d 471 (Iowa 1973). The question of whether the Claimant has been denied a reasonable opportunity to assert an appeal is also informed by rule 871-24.35(2) which states that "the submission of any ...appeal...not within the specified statutory or regulatory period shall be considered timely if it is established to the satisfaction of the division that the delay in submission was due to division error or misinformation or to delay or other action of the United States postal service."

The administrative law judge finds the appeal was timely. Claimant first attempted to appeal by email on May 2, 2021. He got a response around that time that more information was needed in order to appeal. He responded with the necessary information on May 3, 2021. Claimant therefore perfected an appeal by the appeal deadline. As such, the administrative law judge has jurisdiction to address the underlying issues.

Iowa Code section 96.5(2)a provides:

An individual shall be disqualified for benefits, regardless of the source of the individual's wage credits:

2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:

a. The disqualification shall continue until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

Iowa Admin. Code r. 871-24.32 provides in relevant part:

Discharge for misconduct.

(1) Definition.

a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute. This definition has been accepted by the Iowa Supreme Court as accurately reflecting the intent of the legislature. *Huntoon v. Iowa Dep't of Job Serv.*, 275 N.W.2d 445, 448 (Iowa 1979).

The employer bears the burden of proving that a claimant is disqualified from receiving benefits because of substantial misconduct within the meaning of Iowa Code section 96.5(2). *Myers v. Emp't Appeal Bd.*, 462 N.W.2d 734, 737 (Iowa Ct. App. 1990). The issue is not whether the employer made a correct decision in separating claimant, but whether the claimant is entitled to unemployment insurance benefits. *Infante v. Iowa Dep't of Job Serv.*, 364 N.W.2d 262 (Iowa Ct. App. 1984). What constitutes misconduct justifying termination of an employee and what misconduct warrants denial of unemployment insurance benefits are two separate decisions. *Pierce v. Iowa Dep't of Job Serv.*, 425 N.W.2d 679 (Iowa Ct. App. 1988).

Misconduct serious enough to warrant discharge is not necessarily serious enough to warrant a denial of job insurance benefits. Such misconduct must be "substantial." *Newman v. Iowa Dep't of Job Serv.*, 351 N.W.2d 806 (Iowa Ct. App. 1984). The focus is on deliberate, intentional, or culpable acts by the employee. When based on carelessness, the carelessness must actually indicate a "wrongful intent" to be disqualifying in nature. *Newman, Id.* In contrast, mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute. *Newman, Id.* 

When reviewing an alleged act of misconduct, the finder of fact may consider past acts of misconduct to determine the magnitude of the current act. *Kelly v. Iowa Dep't of Job Serv.,* 386 N.W.2d 552, 554 (Iowa Ct. App.1986). However, conduct asserted to be disqualifying misconduct must be both specific and current. *West v. Emp't Appeal Bd.,* 489 N.W.2d 731 (Iowa 1992); *Greene v. Emp't Appeal Bd.,* 426 N.W.2d 659 (Iowa Ct. App. 1988).

Because our unemployment compensation law is designed to protect workers from financial hardships when they become unemployed through no fault of their own, we construe the provisions "liberally to carry out its humane and beneficial purpose." *Bridgestone/Firestone, Inc. v. Emp't Appeal Bd.*, 570 N.W.2d 85, 96 (Iowa 1997). "[C]ode provisions which operate to work a forfeiture of benefits are strongly construed in favor of the claimant." *Diggs v. Emp't Appeal Bd.*, 478 N.W.2d 432, 434 (Iowa Ct. App. 1991).

The administrative law judge finds claimant did not resign but was discharged. Claimant did not tell anyone at employer that he was resigning and he had no intention of doing so. The employer initiated the separation from employment due to claimant's inability to return to work. This is not an issue of misconduct. Employer has not carried its burden of proving claimant is disqualified from receiving benefits because of a current act of substantial misconduct within the meaning of lowa Code section 96.5(2). The separation from employment is therefore not disqualifying.

## **DECISION:**

The administrative law judge concludes the claimant's appeal was timely. The April 21, 2021 (reference 02) unemployment insurance decision that denied benefits based on a finding claimant voluntarily quit work on April 9, 2020 for reasons not caused by employer is REVERSED. The separation from employment was not disqualifying. Benefits are allowed, provided claimant is not otherwise disqualified or ineligible.

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<u>July 30, 2021</u> Decision Dated and Mailed

abd/mh