### IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

68-0157 (9-06) - 3091078 - El

JOHN BECKEL Claimant

# APPEAL NO. 14A-UI-00463-NT

ADMINISTRATIVE LAW JUDGE DECISION

BAR/T TRUCKING INC Employer

> OC: 11/24/13 Claimant: Respondent (2)

Section 96.5-2-a – Discharge Section 96.3-7 – Benefit Overpayment

## STATEMENT OF THE CASE:

Bar/T Trucking, Inc. filed a timely appeal from a representative's decision dated January 2, 2014, reference 01, which held claimant eligible to receive unemployment insurance benefits. After due notice was provided, a telephone hearing was held on February 5, 2014. Although Mr. Beckel provided a telephone number for the hearing, he was not available at the telephone number provided. The employer participated by Mr. Dean Bartelson, Company Owner.

### ISSUE:

The issue in this matter is whether the claimant was discharged for misconduct and whether the claimant has been overpaid job insurance benefits.

## FINDINGS OF FACT:

The administrative law judge, having considered all the evidence in the record, finds: John Beckel was employed by Bar/T Trucking, Inc. as a full-time over-the-road truck driver until July 5, 2013 when he was discharged for failing to report or provide notification to the employer of his impending absences between June 29, 2013 and July 5, 2013.

Although Mr. Beckel was assigned to be under a load for Bar/T Trucking during this time, Mr. Beckel did not report for scheduled dispatch and did not notify the employer of the reason that he was not reporting for scheduled work. Although the employer made repeated attempts to contact Mr. Beckel, the claimant did not respond to the telephone calls nor return messages until July 5, 2013. On that date, Mr. Beckel reported that he had been absent "to get married." The claimant was informed at that time that he had been discharged from employment. The employer has a policy adopting the industry standard regarding failure to report for scheduled work without notification. Employees are subject to discharge if they fail to report or provide notification for three or more consecutive workdays. Bar/T Trucking policy requires drivers to call in each day to report their impending absences, the claimant did not do so.

### **REASONING AND CONCLUSIONS OF LAW:**

The question before the administrative law judge is whether the evidence in the record establishes misconduct sufficient to warrant the denial of unemployment insurance benefits. It does.

Iowa Code section 96.5-2-a provides:

An individual shall be disqualified for benefits:

2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:

a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

871 IAC 24.32(1)a provides:

Discharge for misconduct.

(1) Definition.

a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

### 871 IAC 24.32(7) provides:

(7) Excessive unexcused absenteeism. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer.

The employer has the burden of proof in establishing disqualifying job misconduct. <u>Cosper v.</u> <u>Iowa Department of Job Service</u>, 321 N.W.2d 6 (Iowa 1982). Misconduct must be substantial in order to justify a denial of unemployment insurance benefits. Misconduct serious enough to warrant the discharge of an employee may not necessarily be serious enough to warrant a denial of unemployment benefits. See <u>Lee v. Employment Appeal Board, 616 N.W.2d 661</u> (Iowa 2000). The focus is on deliberate, intentional or culpable acts by the employee. See <u>Gimbel v. Employment Appeal Board</u>, 489 N.W.2d 36, 39 (Iowa Ct. App. 1992).

No aspect of the contract of employment is more basic than the right of the employer to expect that its employees will appear for work on the hour and day agreed upon, failure to honor that

obligation shows a substantial disregard for the employer's interests and thus may justify a finding of misconduct in connection with the work.

In the case at hand the claimant was discharged from employment based upon his failure to report for scheduled work between June 29, 2013 and July 5, 2013. The claimant violated common sense and company policy by failing to provide notification each day as required by the company. The claimant's failure to report for work without providing notification and his failure to respond to repeated messages by the employer showed a willful disregard for the employer's interests and standards of behavior that the employer had a right to expect of its employees under the provisions of the Employment Security Law. The claimant was discharged for misconduct. Unemployment insurance benefits are withheld.

The administrative record reflects that the claimant has received unemployment insurance benefits in the amount of \$424.00 since filing a claim with the effective date of November 24, 2013. The administrative record also establishes that the employer did participate in the fact-finding interview and made a first-hand witness available for rebuttable.

871 IAC 24.10 provides:

Employer and employer representative participation in fact-finding interviews.

(1) "Participate," as the term is used for employers in the context of the initial determination to award benefits pursuant to lowa Code section 96.6, subsection 2, means submitting detailed factual information of the guantity and guality that if unrebutted would be sufficient to result in a decision favorable to the employer. The most effective means to participate is to provide live testimony at the interview from a witness with firsthand knowledge of the events leading to the separation. If no live testimony is provided, the employer must provide the name and telephone number of an employee with firsthand information who may be contacted, if necessary, for rebuttal. A party may also participate by providing detailed written statements or documents that provide detailed factual information of the events leading to separation. At a minimum, the information provided by the employer or the employer's representative must identify the dates and particular circumstances of the incident or incidents, including, in the case of discharge, the act or omissions of the claimant or, in the event of a voluntary separation, the stated reason for the quit. The specific rule or policy must be submitted if the claimant was discharged for violating such rule or policy. In the case of discharge for attendance violations, the information must include the circumstances of all incidents the employer or the employer's representative contends meet the definition of unexcused absences as set forth in 871-subrule 24.32(7). On the other hand, written or oral statements or general conclusions without supporting detailed factual information and information submitted after the fact-finding decision has been issued are not considered participation within the meaning of the statute.

(2) "A continuous pattern of nonparticipation in the initial determination to award benefits," pursuant to Iowa Code section 96.6, subsection 2, as the term is used for an entity representing employers, means on 25 or more occasions in a calendar quarter beginning with the first calendar quarter of 2009, the entity files appeals after failing to participate. Appeals filed but withdrawn before the day of the contested case hearing will not be considered in determining if a continuous pattern of nonparticipation exists. The division administrator shall notify the employer's representative in writing after each such appeal.

(3) If the division administrator finds that an entity representing employers as defined in Iowa Code section 96.6, subsection 2, has engaged in a continuous pattern of nonparticipation, the division administrator shall suspend said representative for a period

of up to six months on the first occasion, up to one year on the second occasion and up to ten years on the third or subsequent occasion. Suspension by the division administrator constitutes final agency action and may be appealed pursuant to Iowa Code section 17A.19.

(4) "Fraud or willful misrepresentation by the individual," as the term is used for claimants in the context of the initial determination to award benefits pursuant to Iowa Code section 96.6, subsection 2, means providing knowingly false statements or knowingly false denials of material facts for the purpose of obtaining unemployment insurance benefits. Statements or denials may be either oral or written by the claimant. Inadvertent misstatements or mistakes made in good faith are not considered fraud or willful misrepresentation.

This rule is intended to implement Iowa Code section 96.3(7)"b" as amended by 2008 Iowa Acts, Senate File 2160.

Because the claimant's separation was disqualifying, benefits were paid to which he was not entitled. The unemployment insurance law provides that benefits must be recovered from a claimant who receives benefits and is later determined to be ineligible for benefits, even though the claimant acted in good faith and was not otherwise at fault. However, the overpayment will not be recovered when it is based upon a reversal on an appeal of an initial determination to award benefits on an issue regarding the claimant's unemployment separation if some: (1) the benefits were not received due to any fraud or willful misrepresentation by the claimant and (2) the employer did not participate in the initial proceeding to award benefits. The employer will not be charged for benefits if it is determined that they did participate in the fact-finding interview. Iowa Code section 96.3(7). In this case the claimant has received benefits but was not eligible for those benefits. Since the employer did participate in the fact-finding interview, the claimant is obligated to repay to the Agency the benefits he received and the employer's account shall not be charged.

### DECISION:

The representative's decision dated January 2, 2014, reference 01, is reversed. The claimant is disqualified. Unemployment insurance benefits are withheld until the claimant has worked in and been paid wages for insured work equal to ten times his weekly benefit amount and is otherwise eligible. The claimant has been overpaid unemployment insurance benefits in the amount of \$424.00. The claimant is liable to repay that amount.

Terence P. Nice Administrative Law Judge

Decision Dated and Mailed

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