# IOWA WORKFORCE DEVELOPMENT UNEMPLOYMENT INSURANCE APPEALS

DIANE M BRINCKS Claimant

# APPEAL 19A-UI-03157-DG-T

ADMINISTRATIVE LAW JUDGE DECISION

NEW HOPE VILLAGE INC Employer

> OC: 03/24/19 Claimant: Appellant (1)

Iowa Code § 96.5(2)a – Discharge for Misconduct

## STATEMENT OF THE CASE:

Claimant filed an appeal from a decision of a representative dated April 12, 2019, (reference 01) that held claimant ineligible for unemployment insurance benefits. After due notice, a hearing was scheduled for and held on May 6, 2019. Claimant participated personally. Employer participated by Sonya Sterns, Human Resources Director. Employer's Exhibits 1-4 were admitted into evidence.

## **ISSUE:**

Was the claimant discharged for disqualifying job-related misconduct?

## FINDINGS OF FACT:

The administrative law judge, having heard the testimony and considered all of the evidence in the record, finds: Claimant last worked for employer on March 18, 2019. Employer discharged claimant on March 25, 2019, because claimant falsified work-related reports.

Claimant began working for employer on January 31, 2002 as a full-time client support staff person. On March 18, 2019 a co-worker tested a client's glucose level pursuant to a physician's orders. The co-worker discovered that the client had an elevated glucose level on that date. The co-worker checked the client's glucometer history and discovered that the client's blood sugars had not been tested by claimant on that date. The co-worker reported the issue to the employer.

Employer suspended claimant from work pending an investigation into the allegations made against her. During their investigation, the employer checked the client's electronic glucometer which records the blood glucose level each time a test is conducted. The glucometer has a Two year history which records each of those tests. Employer discovered that on each day claimant worked, no blood glucose test was performed. Employer determined that the claimant stopped checking the client's blood sucrose level beginning on July 5, 2018. Employer also checked the client's medical charts during that same period of time. Employer noted that claimant wrote down a blood glucose reading in the client's chart each day she worked even though no test

was actually conducted. The employer concluded that claimant recorded test results for blood glucose levels which were never actually taken 217 times beginning on July 5, 2018 through March 18, 2019.

Employer met with claimant and discussed what had occurred with the glucose testing discrepancies. Claimant stated that she was not sure what had occurred. She indicated that she believed she needed more training with the glucometer. During the hearing that was conducted on this date claimant testified that she felt overworked, and unappreciated at work. She believed it may have been possible that she did not test the client's glucose levels on each of the dates she worked beginning in July of 2018.

## **REASONING AND CONCLUSIONS OF LAW:**

For the reasons that follow, the administrative law judge concludes the claimant was discharged from employment due to job-related misconduct. Benefits are denied.

Iowa Code section 96.5(2)*a* provides:

An individual shall be disqualified for benefits:

2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:

*a.* The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

#### Iowa Admin. Code r. 871-24.32(1)*a* provides:

Discharge for misconduct.

(1) Definition.

a. "Misconduct" is defined as a deliberate act or omission by a worker which constitutes a material breach of the duties and obligations arising out of such worker's contract of employment. Misconduct as the term is used in the disqualification provision as being limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. On the other hand mere inefficiency, unsatisfactory conduct, failure in good performance as the result of inability or incapacity, inadvertencies or ordinary negligence in isolated instances, or good faith errors in judgment or discretion are not to be deemed misconduct within the meaning of the statute.

This definition has been accepted by the Iowa Supreme Court as accurately reflecting the intent of the legislature. *Huntoon v. Iowa Dep't of Job Serv.*, 275 N.W.2d 445, 448 (Iowa 1979).

#### Iowa Admin. Code r. 871-24.32(4) provides:

(4) Report required. The claimant's statement and employer's statement must give detailed facts as to the specific reason for the claimant's discharge. Allegations of misconduct or dishonesty without additional evidence shall not be sufficient to result in disqualification. If the employer is unwilling to furnish available evidence to corroborate the allegation, misconduct cannot be

established. In cases where a suspension or disciplinary layoff exists, the claimant is considered as discharged, and the issue of misconduct shall be resolved.

#### Iowa Admin. Code r. 871-24.32(8) provides:

(8) Past acts of misconduct. While past acts and warnings can be used to determine the magnitude of a current act of misconduct, a discharge for misconduct cannot be based on such past act or acts. The termination of employment must be based on a current act.

The gravity of the incident, number of policy violations and prior warnings are factors considered when analyzing misconduct. The lack of a current warning may detract from a finding of an intentional policy violation. The Iowa Supreme Court has opined that one unexcused absence is not misconduct even when it followed nine other excused absences and was in violation of a direct order. *Sallis v. EAB*, 437 N.W.2d 895 (Iowa 1989). *Higgins v. Iowa Department of Job Service*, 350 N.W.2d 187 (Iowa 1984), held that the absences must be both excessive and unexcused. The Iowa Supreme Court has held that the term "excessive" is more than one. Three incidents of tardiness or absenteeism after a warning has been held to be misconduct. *Clark v. Iowa Department of Job Service*, 317 N.W.2d 517 (Iowa Ct. App. 1982). While three is a reasonable interpretation of "excessive" based on current case law and Webster's Dictionary, the interpretation is best derived from the facts presented.

The lowa Court of Appeals found substantial evidence of misconduct in testimony that the claimant worked slower than he was capable of working and would temporarily and briefly improve following oral reprimands. Sellers v. Emp't Appeal Bd., 531 N.W.2d 645 (lowa Ct. App. 1995). Generally, continued refusal to follow reasonable instructions constitutes misconduct. Gilliam v. Atlantic Bottling Co., 453 N.W.2d 230 (Iowa Ct. App. 1990). Failure to sign a written reprimand acknowledging receipt constitutes job misconduct as a matter of law. Green v lowa Dep't of Job Serv., 299 N.W.2d 651 (Iowa 1980). Misconduct must be "substantial" to warrant a denial of job insurance benefits. Newman v. lowa Dep't of Job Serv., 351 N.W.2d 806 (lowa Ct. App. 1984). Willful misconduct can be established where an employee manifests an intent to disobey a future reasonable instruction of his employer. Myers v. lowa Dep't of Job Serv., 373 N.W.2d 507 (lowa Ct. App. 1985). When based on carelessness, the carelessness must actually indicate a "wrongful intent" to be disqualifying in nature. Id. Negligence does not constitute misconduct unless recurrent in nature; a single act is not disqualifying unless indicative of a deliberate disregard of the employer's interests. Henry v. Iowa Dep't of Job Serv., 391 N.W.2d 731 (Iowa Ct. App. 1986). Poor work performance is not misconduct in the absence of evidence of intent. Miller v. Emp't Appeal Bd., 423 N.W.2d 211 (Iowa Ct. App. 1988).

It is the duty of the administrative law judge as the trier of fact in this case, to determine the credibility of witnesses, weigh the evidence and decide the facts in issue. *Arndt v. City of LeClaire*, 728 N.W.2d 389, 394-395 (Iowa 2007). The administrative law judge may believe all, part or none of any witness's testimony. *State v. Holtz*, 548 N.W.2d 162, 163 (Iowa App. 1996). In assessing the credibility of witnesses, the administrative law judge should consider the evidence using his or her own observations, common sense and experience. *Id.* In determining the facts, and deciding what testimony to believe, the fact finder may consider the following factors: whether the testimony is reasonable and consistent with other believable evidence; whether a witness has made inconsistent statements; the witness's appearance, conduct, age, intelligence, memory and knowledge of the facts; and the witness's interest in the trial, their motive, candor, bias and prejudice. *Id.* 

The claimant falsified test results for blood glucose levels which were never actually taken 217 times beginning on July 5, 2018 through March 18, 2019. Employer did provide sufficient evidence of deliberate conduct in violation of company policy, procedure, or prior warning. Claimant's conduct does evince such willful or wanton disregard of employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees. Benefits are denied.

# DECISION:

The April 12, 2019, (reference 01) decision is affirmed. The claimant was discharged from employment due to job-related misconduct. Benefits are withheld until such time as she has worked in and been paid wages for insured work equal to ten times her weekly benefit amount, provided she is otherwise eligible.

Duane L. Golden Administrative Law Judge

Decision Dated and Mailed

dlg/scn