IN THE IOWA ADMINISTRATIVE HEARINGS DIVISION UNEMPLOYMENT INSURANCE APPEALS BUREAU

CHLOIE L BRITAIN Claimant

APPEAL 23A-UI-11234-DZ-T

ADMINISTRATIVE LAW JUDGE DECISION

ALLURE OF MOLINE Employer

> OC: 01/08/23 Claimant: Respondent (2)

Iowa Code § 96.5(2)a – Discharge for Misconduct

STATEMENT OF THE CASE:

Allure of Moline, the employer/appellant,¹ appealed the Iowa Workforce Development (IWD) December 1, 2023 (reference 09) unemployment insurance (UI) decision. IWD found Ms. Britain eligible for REGULAR (state) UI benefits because IWD concluded the employer dismissed her from work on September 14, 2023 for a reason that did not disqualify her from receiving UI benefits. On December 6, 2023 the Iowa Department of Inspections, Appeals, and Licensing (DIAL), UI Appeals Bureau mailed a notice of hearing to the employer and Ms. Britain for a telephone hearing scheduled for December 19, 2023.

The undersigned administrative law judge held a telephone hearing on December 19, 2023. The employer participated in the hearing through Judith Skipworth, human resources director. Ms. Britain did not participate in the hearing. The administrative law judge took official notice of the administrative record and admitted Employer's Exhibit 1 as evidence.

ISSUES:

Did the employer discharge Ms. Britain from employment for disqualifying job-related misconduct?

Did IWD overpay Ms. Britain UI benefits? If so, should she repay the benefits?

FINDINGS OF FACT:

Having reviewed the evidence in the record, the administrative law judge finds: Ms. Britain began working for the employer on May 23, 2023. She worked as a full-time certified nursing assistant (CNA). Her employment ended on September 20, 2023.

The employer's policy provides that an employee is required to notify either the scheduler or the on-duty nurse if the employee will be late or absent. The policy is in the employer's handbook. The employer reviewed the policy with Ms. Britain on, or about, her hire date.

¹ Appellant is the person or employer who appealed.

The employer scheduled Ms. Britain to work on September 13, 15, 16 and 17. Ms. Britain did not attend work and did not call in for any of these four shifts. The employer had given Ms. Britian a verbal warning on June 27 and written warnings on July 7 and July 21 for attendance issues.

IWD has not paid Ms. Britain any REGULAR (state) UI benefits during her current claim year.

REASONING AND CONCLUSIONS OF LAW:

For the reasons that follow, the administrative law judge concludes the employer discharged Ms. Britain from employment on September 20, 2023 for disqualifying, job-related misconduct, and IWD did not overpay Ms. Britain any UI benefits during her current claim year, so she is not required to repay any UI benefits back to IWD.

The Employer Terminated Ms. Britain's Employment on September 20, 2023 For Disgualifying, Job-Related Misconduct

lowa Code section 96.5(2)(a) and (d) provide, in relevant part:

An individual shall be disqualified for benefits:

2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:

a. The individual shall be disqualified for benefits until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

d. For the purposes of this subsection, "misconduct" means a deliberate act or omission by an employee that constitutes a material breach of the duties and obligations arising out of the employee's contract of employment. Misconduct is limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or evil design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. Misconduct by an individual includes but is not limited to all of the following:

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(9) Excessive unexcused tardiness or absenteeism.

Iowa Admin. Code r. 871-24.32(7) and (8) provide:

(7) Excessive unexcused absenteeism. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer.

(8) Past acts of misconduct. While past acts and warnings can be used to determine the magnitude of a current act of misconduct, a discharge for misconduct cannot be based on such past act or acts. The termination of employment must be based on a current act.

The purpose of subrule eight is to assure that an employer does not save up acts of misconduct and spring them on an employee when an independent desire to terminate arises.

Excessive absenteeism is not considered misconduct unless the absences are also unexcused. The term "absenteeism" also encompasses conduct that is more accurately referred to as "tardiness." An absence is an extended tardiness; and an incident of tardiness is a limited absence. The requirements for a finding of misconduct based on absences are twofold. First, the absences must be excessive.² The determination of whether absenteeism is excessive necessarily requires consideration of past acts and warnings.³ Second, the absences must be unexcused.⁴ The requirement of "unexcused" can be satisfied in two ways. An absence can be unexcused either because it was not for "reasonable grounds," or because it was not "properly reported."⁵

An employer's no-fault absenteeism policy or point system does not, on its own, decide the issue of qualification for UI benefits. Absences due to properly reported illness cannot constitute work-connected misconduct since they are not voluntary. This is true even if the employer was fully within its rights to assess points or impose discipline up to or including discharge for the absence under its attendance policy.⁶ Medical documentation is not essential to a determination that an absence due to illness should be treated as excused.⁷ Absences related to other issues such as transportation, lack of childcare, and oversleeping are not considered excused.⁸ When a claimant does not provide an excuse for an absence the absences is deemed unexcused.⁹ Excessive absenteeism has been found when there have been seven unexcused absences in five months; five unexcused absences and three instances of tardiness in eight months; three unexcused absences over an eight-month period; three unexcused absences over seven months; and missing three times after being warned.¹⁰

The employer has the burden of proof in establishing disqualifying job misconduct.¹¹ The issue is not whether the employer made a correct decision in separating the claimant from employment, but whether the claimant is entitled to unemployment insurance benefits.¹² Misconduct must be "substantial" to warrant a denial of job insurance benefits.¹³

In this case, Ms. Britain was absent without calling in four times after the employer gave her two written warnings and a verbal warning. These absences were unexcused. The employer has established that Ms. Britian's absences were excessive and unexcused. Ms. Britian is not eligible for UI benefits.

⁵ Higgins, 350 N.W.2d at 191; Cosper, 321 N.W.2d at 10.

¹¹ Cosper v. lowa Dep't of Job Serv., 321 N.W.2d 6 (lowa 1982).

² Sallis v. Emp't Appeal Bd., 437 N.W.2d 895 (Iowa 1989).

³ Higgins v. Iowa Dep't of Job Serv., 350 N.W.2d 187, 192 (Iowa 1984).

⁴ Cosper v. lowa Dep't of Job Serv., 321 N.W.2d 6, 10 (lowa 1982).

⁶ Iowa Admin. Code r. 871-24.32(7); Cosper, 321 N.W.2d at 9; Gaborit v. Emp't Appeal Bd., 734 N.W.2d 554 (Iowa Ct. App. 2007).

⁷ See Gaborit, 734 N.W.2d at 555-558.

⁸ *Higgins*, 350 N.W.2d at 191.

⁹ Id.; see also Spragg v. Becker-Underwood, Inc., 672 N.W.2d 333, 2003 WL 22339237 (Iowa App. 2003).

¹⁰ See Higgins, 350 N.W.2d at 192 (Iowa 1984); Infante v. Iowa Dep't of Job Serv., 364 N.W.2d 262 (Iowa App. 1984); Armel v. EAB, 2007 WL 3376929*3 (Iowa App. Nov. 15, 2007); Hiland v. EAB, No. 12-2300 (Iowa App. July 10, 2013); and Clark v. Iowa Dep't of Job Serv., 317 N.W.2d 517 (Iowa App. 1982).

¹² Infante v. Iowa Dep't of Job Serv., 364 N.W.2d 262 (Iowa Ct. App. 1984).

¹³ Newman v. lowa Dep't of Job Serv., 351 N.W.2d 806 (lowa Ct. App. 1984).

IWD Did Not Overpay Ms. Britain Any UI Benefits

The administrative law judge further concludes IWD did not overpay Ms. Britian any REGULAR (state) UI benefits during her current claim year.

Iowa Code §96.3(7) provides, in relevant part:

7. Recovery of overpayment of benefits.

a. If an individual receives benefits for which the individual is subsequently determined to be ineligible, even though the individual acts in good faith and is not otherwise at fault, the benefits shall be recovered. The department in its discretion may recover the overpayment of benefits either by having a sum equal to the overpayment deducted from any future benefits payable to the individual or by having the individual pay to the department a sum equal to the overpayment.

Since IWD did not pay Ms. Britain any UI benefits, IWD did not overpay her any UI benefits and she is not required to repay any UI benefits back to IWD.

DECISION:

The December 1, 2023 (reference 09) UI decision is REVERSED. The employer discharged Ms. Britian from employment for job-related misconduct. Ms. Britian is not eligible for UI benefits until she has worked in and been paid wages for insured work equal to ten times her weekly UI benefit amount, as long as no other decision denies her UI benefits.

Since IWD has not paid Ms. Britian any UI benefits, IWD did not overpay Ms. Britian UI benefits and she is not required to repay any UI benefits back to IWD.

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Daniel Zeno Administrative Law Judge

December 22, 2023 Decision Dated and Mailed

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APPEAL RIGHTS. If you disagree with this decision, you or any interested party may:

1. Appeal to the Employment Appeal Board within fifteen (15) days of the date under the judge's signature by submitting a written appeal via mail, fax, or online to:

Employment Appeal Board 6200 Park Avenue Suite 100 Des Moines, Iowa 50321 Fax: (515)281-7191 Online: eab.iowa.gov

The appeal period will be extended to the next business day if the last day to appeal falls on a weekend or a legal holiday.

AN APPEAL TO THE BOARD SHALL STATE CLEARLY:

- 1) The name, address, and social security number of the claimant.
- 2) A reference to the decision from which the appeal is taken.
- 3) That an appeal from such decision is being made and such appeal is signed.
- 4) The grounds upon which such appeal is based.

An Employment Appeal Board decision is final agency action. If a party disagrees with the Employment Appeal Board decision, they may then file a petition for judicial review in district court.

2. If no one files an appeal of the judge's decision with the Employment Appeal Board within fifteen (15) days, the decision becomes final agency action, and you have the option to file a petition for judicial review in District Court within thirty (30) days after the decision becomes final. Additional information on how to file a petition can be found at Iowa Code §17A.19, which is online at https://www.legis.iowa.gov/docs/code/17A.19.pdf or by contacting the District Court Clerk of Court https://www.iowacourts.gov/iowa-courts/court-directory/.

Note to Parties: YOU MAY REPRESENT yourself in the appeal or obtain a lawyer or other interested party to do so provided there is no expense to Workforce Development. If you wish to be represented by a lawyer, you may obtain the services of either a private attorney or one whose services are paid for with public funds.

Note to Claimant: It is important that you file your weekly claim as directed, while this appeal is pending, to protect your continuing right to benefits.

SERVICE INFORMATION:

A true and correct copy of this decision was mailed to each of the parties listed.

DERECHOS DE APELACIÓN. Si no está de acuerdo con la decisión, usted o cualquier parte interesada puede:

1. Apelar a la Junta de Apelaciones de Empleo dentro de los quince (15) días de la fecha bajo la firma del juez presentando una apelación por escrito por correo, fax o en línea a:

Employment Appeal Board 6200 Park Avenue Suite 100 Des Moines, Iowa 50321 Fax: (515)281-7191 En línea: eab.iowa.gov

El período de apelación se extenderá hasta el siguiente día hábil si el último día para apelar cae en fin de semana o día feriado legal.

UNA APELACIÓN A LA JUNTA DEBE ESTABLECER CLARAMENTE:

- 1) El nombre, dirección y número de seguro social del reclamante.
- 2) Una referencia a la decisión de la que se toma la apelación.
- 3) Que se interponga recurso de apelación contra tal decisión y se firme dicho recurso.
- 4) Los fundamentos en que se funda dicho recurso.

Una decisión de la Junta de Apelaciones de Empleo es una acción final de la agencia. Si una de las partes no está de acuerdo con la decisión de la Junta de Apelación de Empleo, puede presentar una petición de revisión judicial en el tribunal de distrito.

2. Si nadie presenta una apelación de la decisión del juez ante la Junta de Apelaciones Laborales dentro de los quince (15) días, la decisión se convierte en acción final de la agencia y usted tiene la opción de presentar una petición de revisión judicial en el Tribunal de Distrito dentro de los treinta (30) días después de que la decisión adquiera firmeza. Puede encontrar información adicional sobre cómo presentar una petición en el Código de Iowa §17A.19, que se encuentra en línea en https://www.legis.iowa.gov/docs/code/17A.19.pdf o comunicándose con el Tribunal de Distrito Secretario del tribunal https://www.iowacourts.gov/iowa-courts/court-directory/.

Nota para las partes: USTED PUEDE REPRESENTARSE en la apelación u obtener un abogado u otra parte interesada para que lo haga, siempre que no haya gastos para Workforce Development. Si desea ser representado por un abogado, puede obtener los servicios de un abogado privado o uno cuyos servicios se paguen con fondos públicos.

Nota para el reclamante: es importante que presente su reclamo semanal según las instrucciones, mientras esta apelación está pendiente, para proteger su derecho continuo a los beneficios.

SERVICIO DE INFORMACIÓN:

Se envió por correo una copia fiel y correcta de esta decisión a cada una de las partes enumeradas.