# IN THE IOWA ADMINISTRATIVE HEARINGS DIVISION UNEMPLOYMENT INSURANCE APPEALS BUREAU

TARA F COX
Claimant

**APPEAL 24A-UI-07711-PT-T** 

ADMINISTRATIVE LAW JUDGE DECISION

**HY-VEE INC** Employer

OC: 08/04/24

Claimant: Respondent (2)

Iowa Code § 96.5(2)a – Discharge for Misconduct Iowa Code § 96.3(7) – Overpayment of Benefits

Iowa Admin. Code r. 871-24.10 - Employer Participation in Fact-finding Interview

# STATEMENT OF THE CASE:

The employer, Hy-Vee Inc., filed an appeal from the unemployment insurance decision dated August 23, 2024, (reference 01), that held the claimant eligible for unemployment insurance benefits after a separation from employment. After due notice, a telephone hearing was held on September 17, 2024. The claimant, Tara Cox, participated personally. The employer was represented by Corporate Cost Control Representative Kelly Ray and participated through Human Resources Manager Tara Smith, Night Stock Manager Damir Mrkonjic, and Assistant Night Stock Manager Ronald Lau. The administrative law judge took official notice of the administrative record.

#### ISSUES:

Whether the claimant was discharged for disqualifying, job-related misconduct.

Whether the claimant has been overpaid any unemployment insurance benefits, and if so, whether the repayment of those benefits to the agency can be waived.

Whether any charges to the employer's account can be waived.

# FINDINGS OF FACT:

Having reviewed all of the evidence in the record, the administrative law judge finds: The claimant worked as a part-time night stocker for Hy-Vee Inc. from May 26, 2024, to July 29, 2024, when she was discharged. The claimant worked three or four days per week from 10:00 p.m. to approximately 6:00 a.m.

The employer has an employee manual that contains an attendance policy. Pursuant to the policy, if an employee is sick and cannot work, the employee is required to call and notify their manager at least two hours prior to the start of their shift. The claimant received a copy of the employee manual and was familiar with the employer's attendance policy.

In late-May 2024, the claimant was absent from work several times and she did not call and notify the employer of her absences. The claimant had not requested the days off and she never provided the employer a reason for her absences. On May 31, 2024, the employer issued the claimant a written warning for her "no call, no show" absences.

Throughout the claimant's employment, she struggled to find consistent childcare for her son while she was at work. Due to her difficulty arranging childcare, the claimant was 30 minutes late to work on June 17, she was three hours late to work on June 22, and she was one hour late to work on June 23, 2024. Additionally, on June 30, 2024, the claimant was again absent from work and she did not call and notify the employer of her absence. The claimant had not requested the day off and she never provided the employer a reason for her absence. That same day, June 30, 2024, the employer issued the claimant a final written warning and one week suspension for her attendance violations.

Part-way through the claimant's shift on Sunday, July 22, 2024, the claimant began feeling sick. The claimant told her supervisor that she was not feeling well, but she never asked for permission to leave early. Approximately half-way through her shift, the claimant left the employer's premises and went home without notifying her supervisor that she was leaving.

The claimant was next scheduled for work at 10:00 p.m. on July 23 and July 24, 2024. The claimant was absent from work both nights and she did not call and notify her supervisor that she would be absent. The claimant had not requested the nights off and she never provided the employer a reason for her absences.

On Sunday, July 28, 2024, the claimant was absent from work. Prior to the start of her shift, the claimant called and notified the employer that she would be absent because her son was sick. The next day, the employer's human resources department learned that the claimant had left her shift early on July 22 and had "no call, no show" absences on July 23 and 24, 2024. Later that day, the employer called and informed the claimant that her employment was being terminated effective immediately due to excessive, unexcused absences in violation of the employer's attendance policy.

The claimant's administrative records indicate that the claimant filed her original claim for benefits with an effective date of August 4, 2024. Since filing her initial claim, the claimant has filed weekly claims for the two weeks ending August 17, 2024, but has received no unemployment insurance benefits. The employer did participate in the fact-finding interview with lowa Workforce Development.

## **REASONING AND CONCLUSIONS OF LAW:**

For the reasons that follow, the administrative law judge concludes the claimant was discharged from employment due to job-related misconduct. Benefits are denied.

Iowa Code section 96.5(2)a and (d) provide:

An individual shall be disqualified for benefits, regardless of the source of the individual's wage credits:

- 2. Discharge for misconduct. If the department finds that the individual has been discharged for misconduct in connection with the individual's employment:
- a. The disqualification shall continue until the individual has worked in and has been paid wages for insured work equal to ten times the individual's weekly benefit amount, provided the individual is otherwise eligible.

. . .

d. For the purposes of this subsection, "misconduct" means a deliberate act or omission by an employee that constitutes a material breach of the duties and obligations arising out of the employee's contract of employment. Misconduct is limited to conduct evincing such willful or wanton disregard of an employer's interest as is found in deliberate violation or disregard of standards of behavior which the employer has the right to expect of employees, or in carelessness or negligence of such degree of recurrence as to manifest equal culpability, wrongful intent or even design, or to show an intentional and substantial disregard of the employer's interests or of the employee's duties and obligations to the employer. Misconduct by an individual includes but is not limited to all of the following:

. . .

(2) Knowing violation of a reasonable and uniformly enforced rule of an employer.

. . .

(9) Excessive unexcused tardiness or absenteeism.

Iowa Admin. Code r. 871-24.32(7) provides:

(7) Excessive unexcused absenteeism. Excessive unexcused absenteeism is an intentional disregard of the duty owed by the claimant to the employer and shall be considered misconduct except for illness or other reasonable grounds for which the employee was absent and that were properly reported to the employer.

The employer has the burden to prove the claimant was discharged for work-connected misconduct as defined by the unemployment insurance law. *Cosper v. Iowa Dep't of Job Serv.*, 321 N.W.2d 6 (Iowa 1982). The issue is not whether the employer made a correct decision in separating claimant, but whether the claimant is entitled to unemployment insurance benefits. *Infante v. Iowa Dep't of Job Serv.*, 364 N.W.2d 262 (Iowa Ct. App. 1984). What constitutes misconduct justifying termination of an employee and what misconduct warrants denial of unemployment insurance benefits are two separate decisions. *Pierce v. Iowa Dep't of Job Serv.*, 425 N.W.2d 679 (Iowa Ct. App. 1988). The law limits disqualifying misconduct to substantial and willful wrongdoing or repeated carelessness or negligence that equals willful misconduct in culpability. *Lee v. Emp't Appeal Bd.*, 616 N.W.2d 661 (Iowa 2000).

The employer must prove two elements to establish misconduct based on absenteeism. First, the absences must be excessive. *Sallis v. Emp't Appeal Bd.*, 437 N.W.2d 895 (lowa 1989). The determination of whether unexcused absenteeism is excessive necessarily requires consideration of past acts and warnings. *Higgins v. Iowa Dep't of Job Serv.*, 350 N.W.2d 187, 192 (lowa 1984). Second, the absences must be unexcused. *Cosper*, 321 N.W.2d at 10. The requirement of "unexcused" can be satisfied in two ways. An absence can be unexcused either because it was not for "reasonable grounds," *Higgins*, 350 N.W.2d at 191, or because it was not "properly reported," holding excused absences are those "with appropriate notice." *Cosper*, 321 N.W.2d at 10.

Absences due to properly reported illness cannot constitute work-connected misconduct since they are not volitional, even if the employer was fully within its rights to assess points or impose discipline up to or including discharge for the absence under its attendance policy. Iowa Admin. Code r. 871-24.32(7); Cosper, 321 N.W.2d at 9; Gaborit v. Emp't Appeal Bd., 734 N.W.2d 554 (Iowa Ct. App. 2007). An employer's no-fault absenteeism policy or point system is not dispositive of the issue of qualification for unemployment insurance benefits. Absences related to issues of personal responsibility such as transportation, lack of childcare, and oversleeping

are not considered excused. *Higgins*, 350 N.W.2d at 191. When a claimant does not provide an excuse for an absence, the absence is deemed unexcused. *Id.*; *see also Spragg v. Becker-Underwood*, Inc., 672 N.W.2d 333, 2003 WL 22339237 (lowa App. 2003). The term "absenteeism" also encompasses conduct that is more accurately referred to as "tardiness." An absence is an extended tardiness; and an incident of tardiness is a limited absence.

An employer is entitled to expect its employees to report to work as scheduled or to be notified in a timely manner as to when and why the employee is unable to report to work. The employer has credibly established that the claimant repeatedly missed work for reasons other than personal illness. Moreover, the claimant's absences on July 23 and July 24, 2024, were not excused, as the claimant did not call and notify the employer that she was going to be absent from work. The claimant's final two "no call, no show" absences, in combination with her history of unexcused absences, is considered excessive. As such, the administrative law judge concludes that the claimant was discharged for disqualifying, job-related misconduct. Benefits are denied.

Since the claimant has not received any benefits, the issues of whether the claimant is overpaid benefits and whether the employer participated in the fact-finding interview are moot.

## **DECISION:**

The August 23, 2024, (reference 01) unemployment insurance decision is reversed. The claimant was discharged for substantial job-related misconduct. Unemployment insurance benefits funded by the State of Iowa are denied until the claimant has worked in and been paid wages for insured work equal to ten times her weekly benefit amount after the July 29, 2024, separation date, and provided she is otherwise eligible. No benefits have been paid to the claimant and the issues of overpayment and chargeability are moot.

Patrick B. Thomas

Administrative Law Judge

September 26, 2024

**Decision Dated and Mailed** 

PBT/scn

**APPEAL RIGHTS.** If you disagree with the decision, you or any interested party may:

1. Appeal to the Employment Appeal Board within fifteen (15) days of the date under the judge's signature by submitting a written appeal via mail, fax, or online to:

Iowa Employment Appeal Board 6200 Park Avenue Suite 100 Des Moines, Iowa 50321 Fax: (515)281-7191 Online: eab.iowa.gov

The appeal period will be extended to the next business day if the last day to appeal falls on a weekend or a legal holiday. There is no filing fee to file an appeal with the Employment Appeal Board.

#### AN APPEAL TO THE BOARD SHALL STATE CLEARLY:

- 1) The name, address, and social security number of the claimant.
- 2) A reference to the decision from which the appeal is taken.
- 3) That an appeal from such decision is being made and such appeal is signed.
- 4) The grounds upon which such appeal is based.

An Employment Appeal Board decision is final agency action. If a party disagrees with the Employment Appeal Board decision, they may file a petition for judicial review in district court.

2. If you do not file an appeal of the judge's decision with the Employment Appeal Board within fifteen (15) days, the decision becomes final agency action, and you have the option to file a petition for judicial review in District Court within thirty (30) days after the decision becomes final. Additional information on how to file a petition can be found at <a href="https://www.iowacourts.gov/efile">www.iowacourts.gov/efile</a>. There may be a filing fee to file the petition in District Court.

**Note to Parties:** YOU MAY REPRESENT yourself in the appeal or obtain a lawyer or other interested party to do so provided there is no expense to Workforce Development. If you wish to be represented by a lawyer, you may obtain the services of either a private attorney or one whose services are paid for with public funds.

**Note to Claimant:** It is important that you file your weekly claim as directed, while this appeal is pending, to protect your continuing right to benefits.

## SERVICE INFORMATION:

A true and correct copy of this decision was mailed to each of the parties listed.

DERECHOS DE APELACIÓN. Si no está de acuerdo con la decisión, usted o cualquier parte interesada puede:

1. Apelar a la Junta de Apelaciones de Empleo dentro de los quince (15) días de la fecha bajo la firma del juez presentando una apelación por escrito por correo, fax o en línea a:

Iowa Employment Appeal Board 6200 Park Avenue Suite 100 Des Moines, Iowa 50321 Fax: (515)281-7191 En línea: eab.iowa.gov

El período de apelación se extenderá hasta el siguiente día hábil si el último día para apelar cae en fin de semana o día feriado legal. No hay tarifa de presentación para presentar una apelación ante la Junta de Apelación de Empleo.

#### UNA APELACIÓN A LA JUNTA DEBE ESTABLECER CLARAMENTE:

- 1) El nombre, dirección y número de seguro social del reclamante.
- 2) Una referencia a la decisión de la que se toma la apelación.
- 3) Que se interponga recurso de apelación contra tal decisión y se firme dicho recurso.
- 4) Los fundamentos en que se funda dicho recurso.

Una decisión de la Junta de Apelaciones de Empleo es una acción final de la agencia. Si una de las partes no está de acuerdo con la decisión de la Junta de Apelación de Empleo, puede presentar una petición de revisión judicial en el tribunal de distrito.

2. Si no presenta una apelación de la decisión del juez ante la Junta de Apelación de Empleo dentro de los quince (15) días, la decisión se convierte en una acción final de la agencia y tiene la opción de presentar una petición de revisión judicial en el Tribunal de Distrito dentro de los treinta (30) días. Puede encontrar información adicional sobre cómo presentar una petición en <a href="www.iowacourts.gov/efile">www.iowacourts.gov/efile</a>. Puede haber una tarifa de presentación para presentar la petición en el Tribunal de Distrito.

**Nota para las partes:** USTED PUEDE REPRESENTARSE en la apelación u obtener un abogado u otra parte interesada para que lo haga, siempre que no haya gastos para Workforce Development. Si desea ser representado por un abogado, puede obtener los servicios de un abogado privado o uno cuyos servicios se paguen con fondos públicos.

**Nota para el reclamante:** es importante que presente su reclamo semanal según las instrucciones, mientras esta apelación está pendiente, para proteger su derecho continuo a los beneficios.

### SERVICIO DE INFORMACIÓN:

Se envió por correo una copia fiel y correcta de esta decisión a cada una de las partes enumeradas.